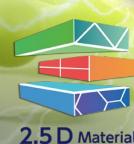


ICTP Summer School, Sep 22. 2023, Bukhara, Uzbekistan

# Exploring Moiré 2D Materials and Topological Quasicrystals

Mikito Koshino (Osaka Univ.)



Grant-in-Aid for Transformative Research Areas(A)

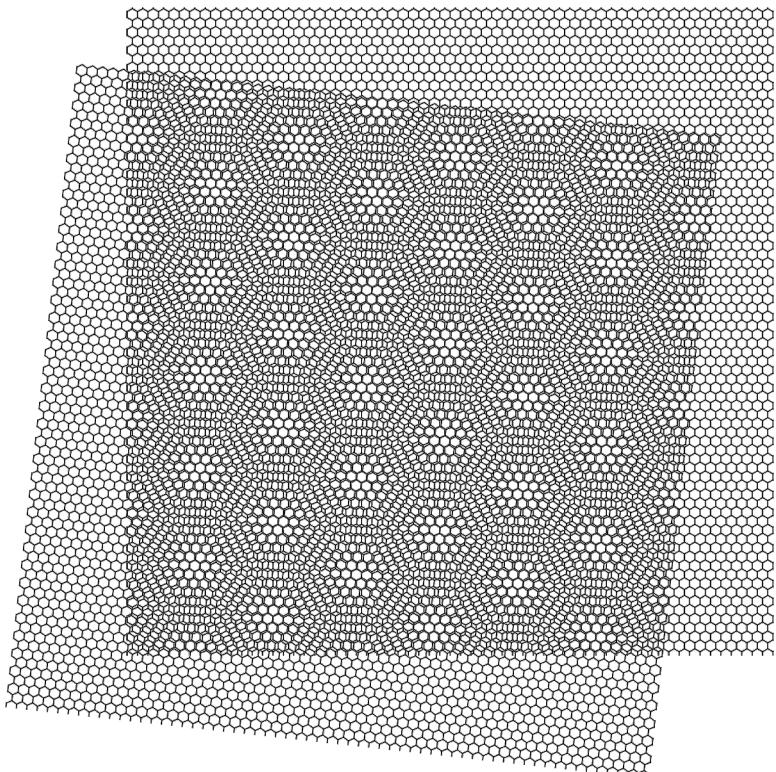
Science of 2.5 Dimensional Materials

Paradigm Shift of Materials Science Toward Future Social Innovation

# Moiré 2D materials

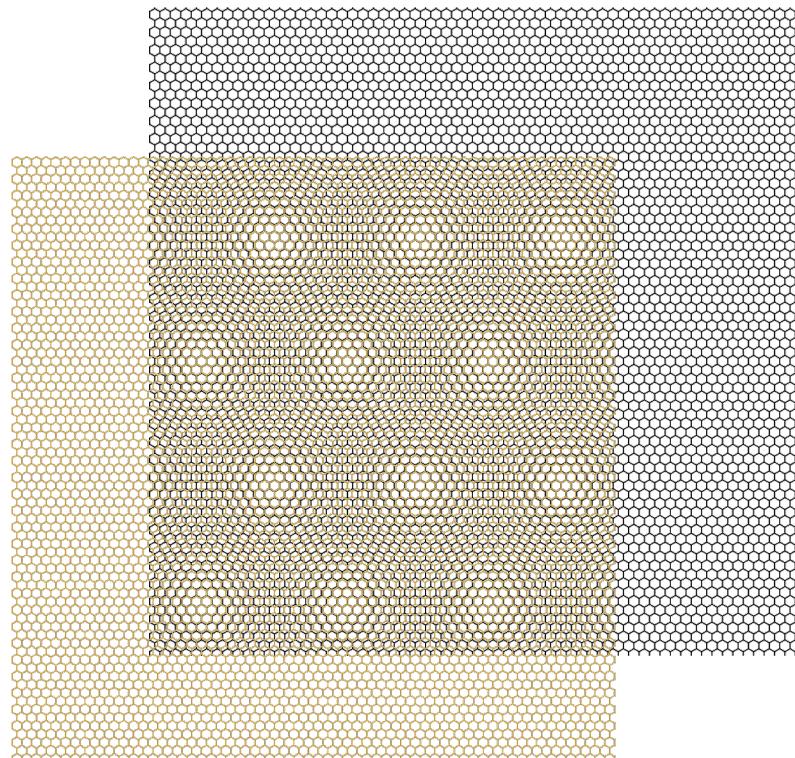
## Homo-bilayer

(moiré pattern from rotation)



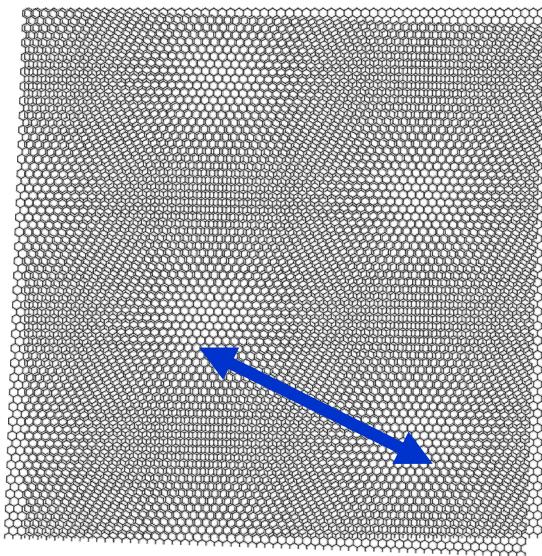
## Hetero-bilayer

(moiré pattern from lattice mismatch)



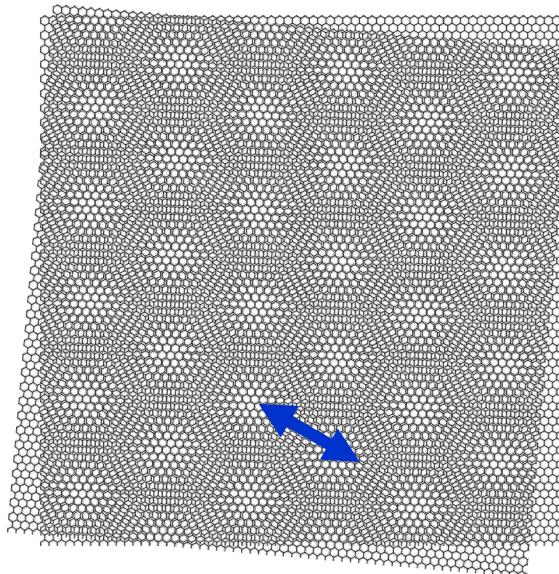
# Twisted bilayer graphene (TBG)

$\theta = 2^\circ$



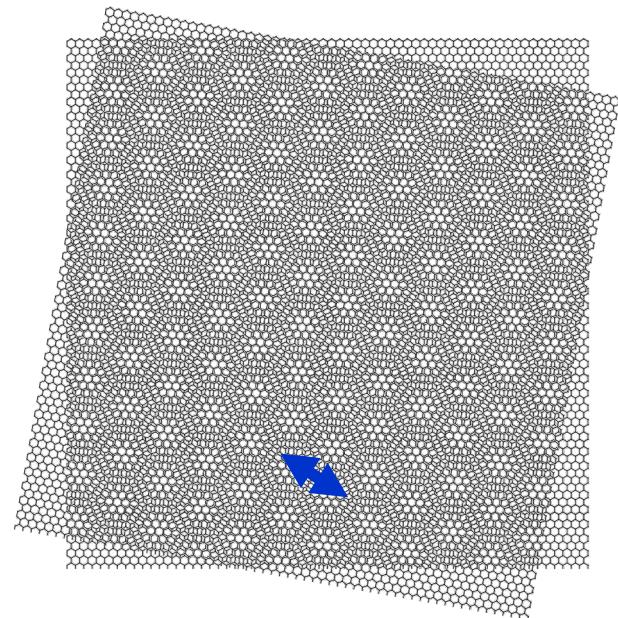
**L = 7.04nm**

$\theta = 5^\circ$



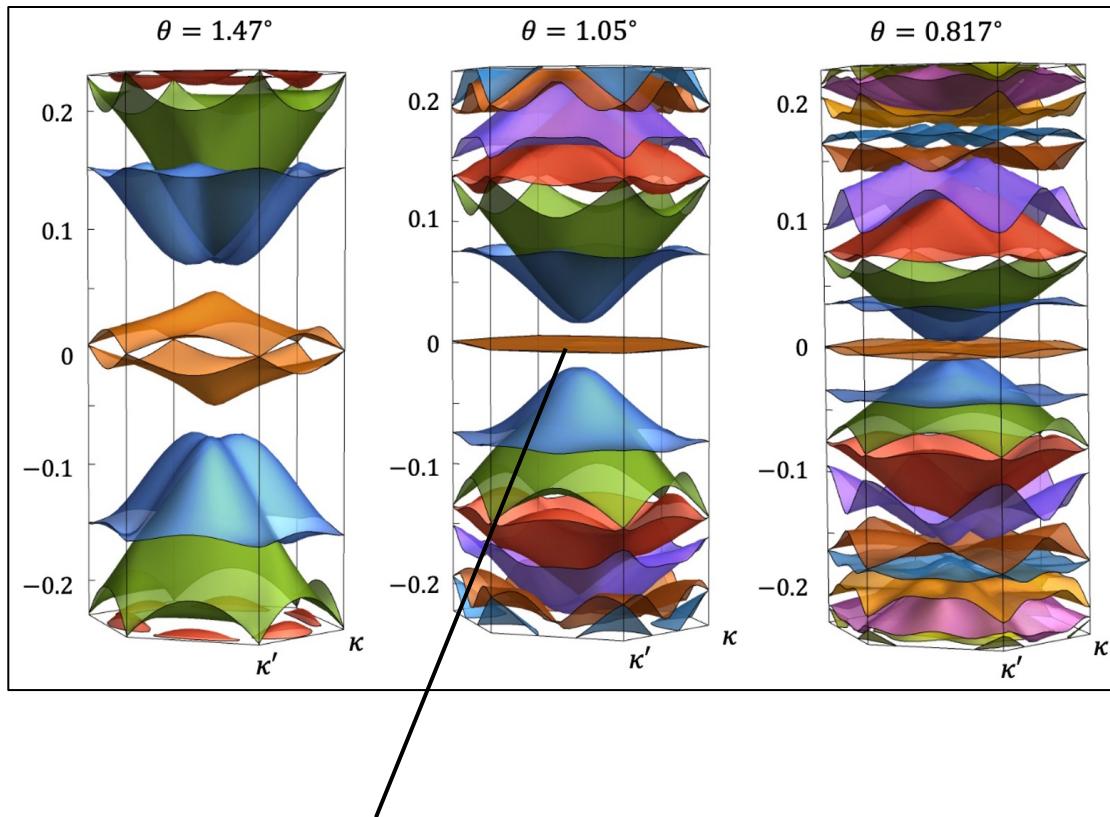
**2.82nm**

$\theta = 10^\circ$



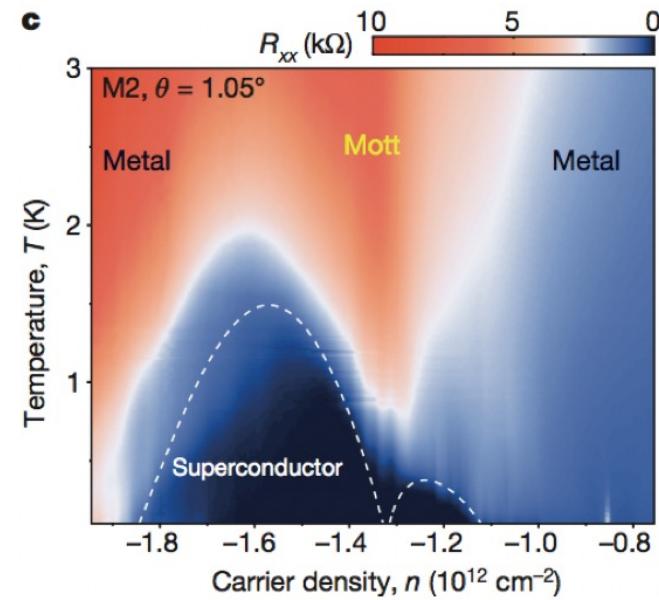
**1.41nm**

# Flat band and superconductivity



Flat bands at a magic angle

R. Bistritzer and A. MacDonald, PNAS  
108, 12233 (2011).

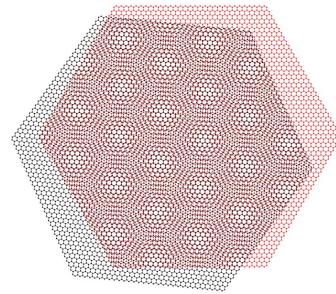


Correlated Insulating states and superconductivity at magic angle

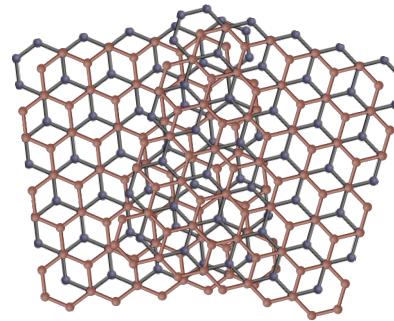
Y. Cao et al, Nature 556, 43 (2018)  
Y. Cao et al, Nature 556, 80 (2018) →  
M. Yankowitz et al., Science 363, 1059 (2019).

# Family of moiré 2D materials

## Twisted bilayer graphene

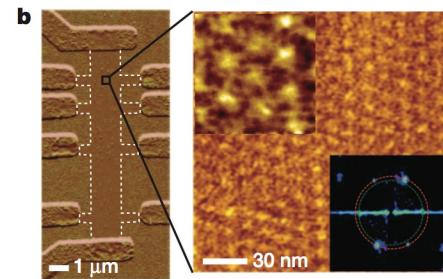
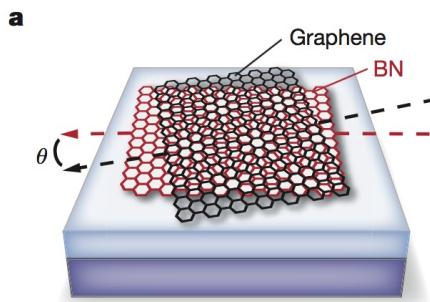


## Twisted multilayer graphenes



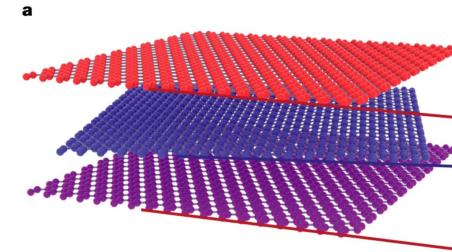
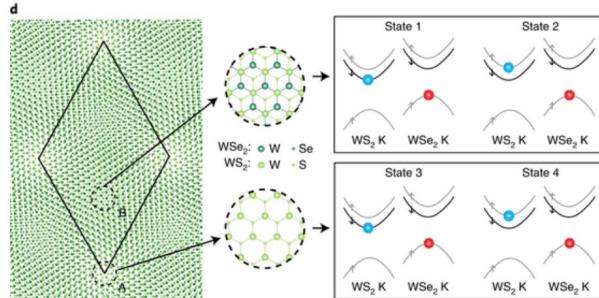
## Graphene-hBN

Dean, et al, Nature 497, 598 (2013)



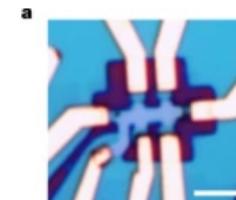
## TMDC-TMDC

C. Jin et al,  
Nat. Phys. 15, 1140 (2019)

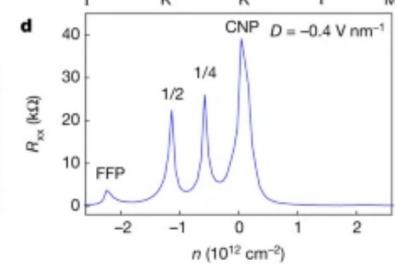
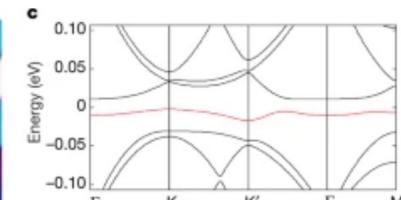
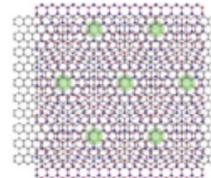


Park et al, Nature 590, 249 (2021)

## ABC-trilayer graphene-hBN



1/4-filling Mott insulator

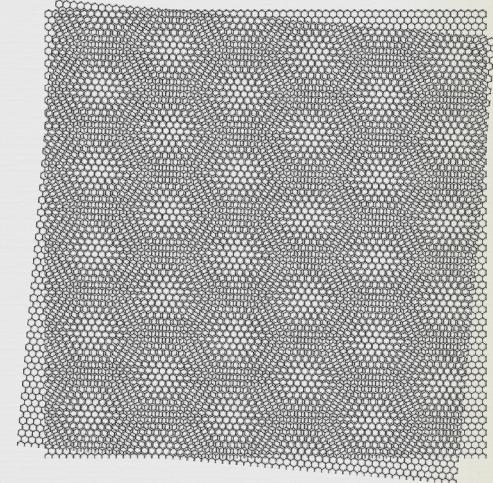


Chen et al Nature 572, 215 (2019)

# Outline: Physics of moiré materials & beyond

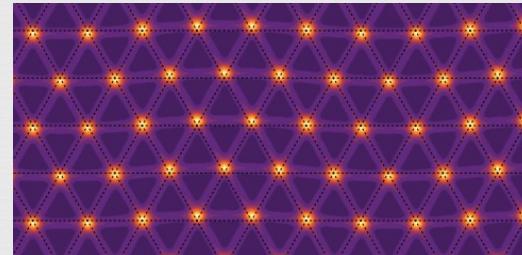
## Twisted bilayer graphene

-- Moiré electron bands and continuum model



## Moiré phonons

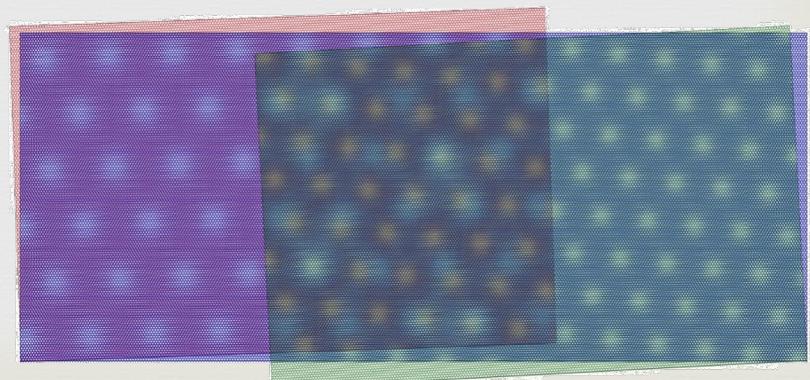
-- Vibration of “moiré atoms”

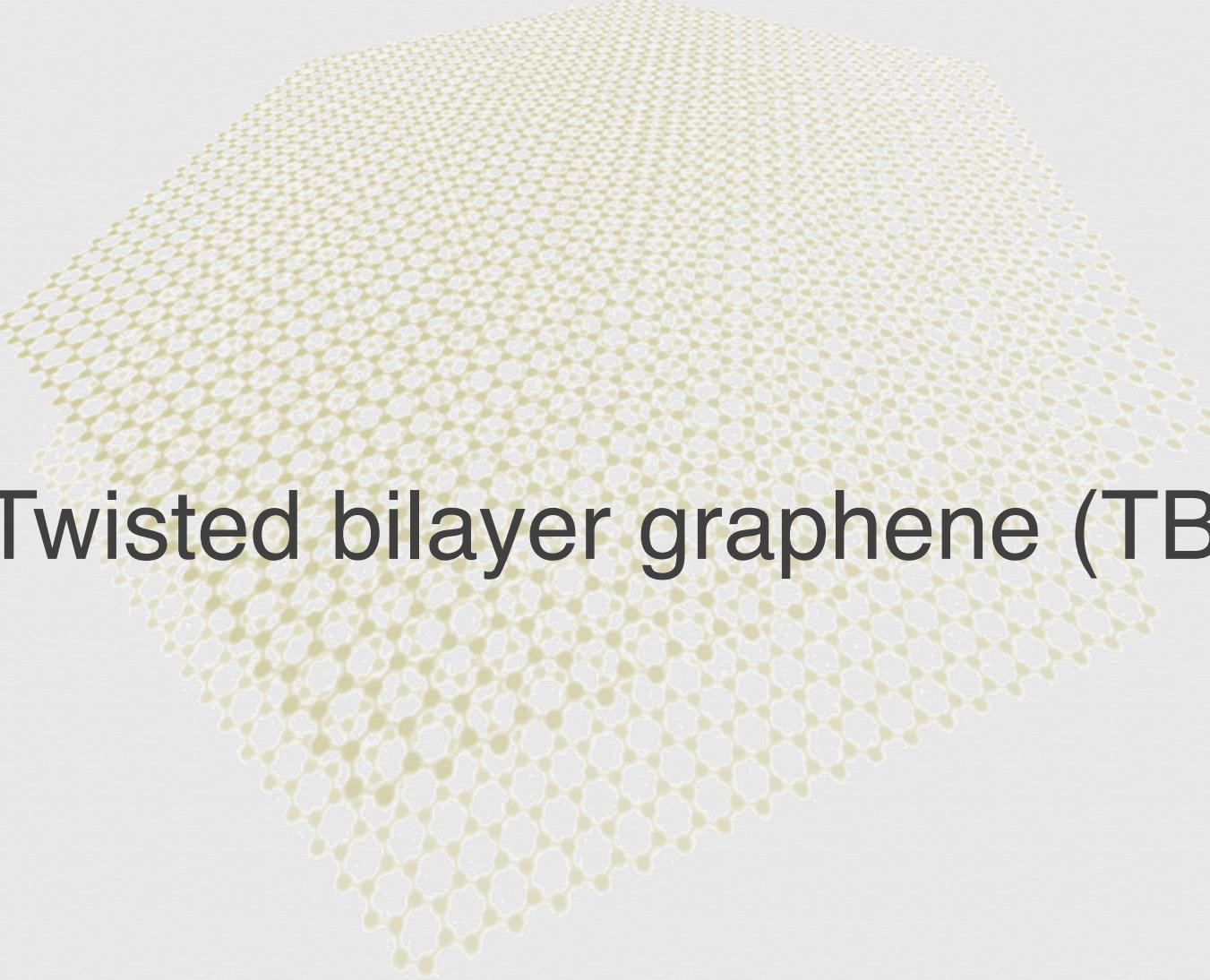


## Moiré trilayer systems

-- moiré-of-moiré quasicrystal

-- topological gap labeling



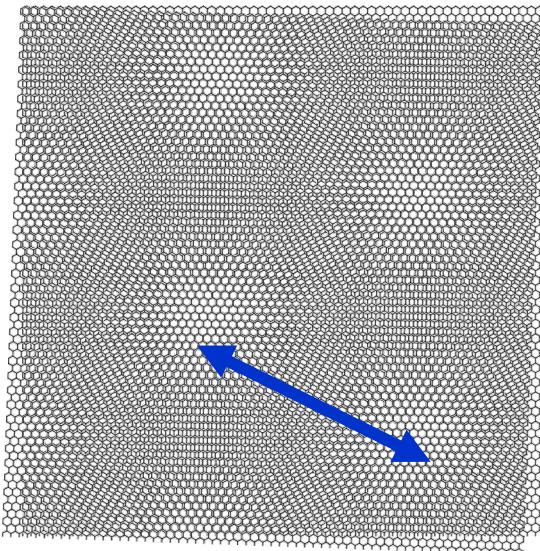


# Twisted bilayer graphene (TBG)

# Moiré period

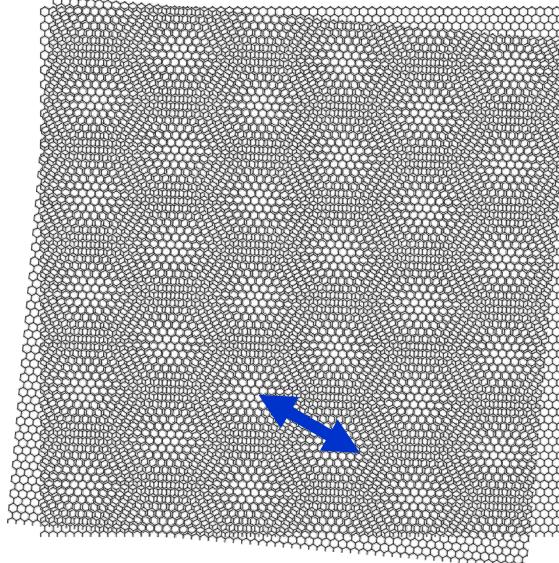
$$L = \frac{a}{2 \sin \frac{\theta}{2}}$$

$\theta = 2^\circ$



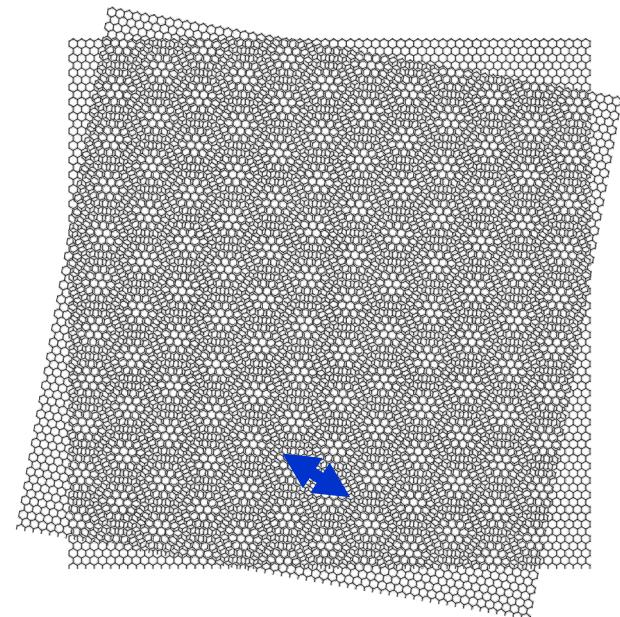
**7.04nm**

$\theta = 5^\circ$



**2.82nm**

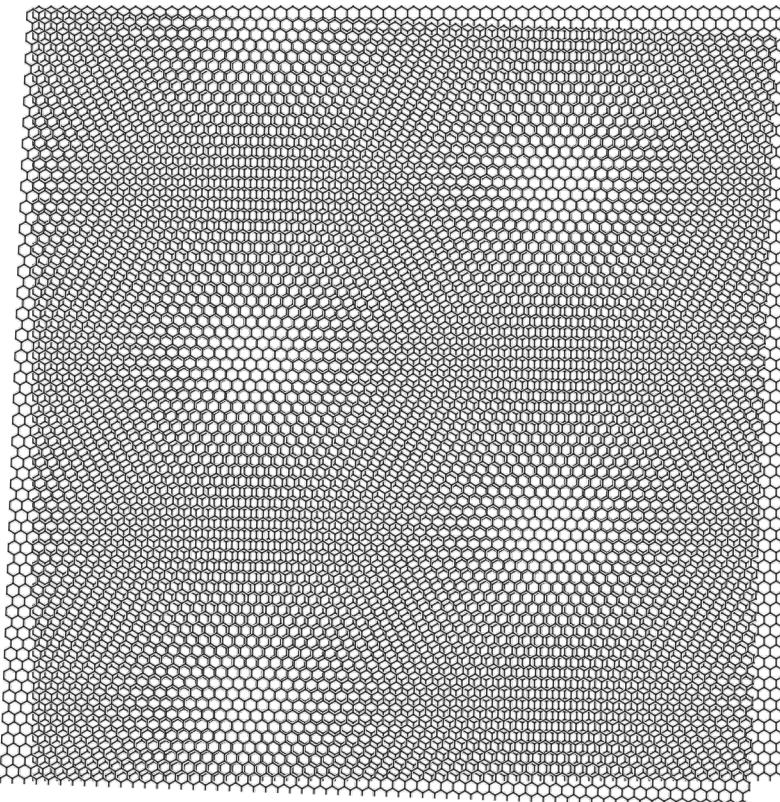
$\theta = 10^\circ$



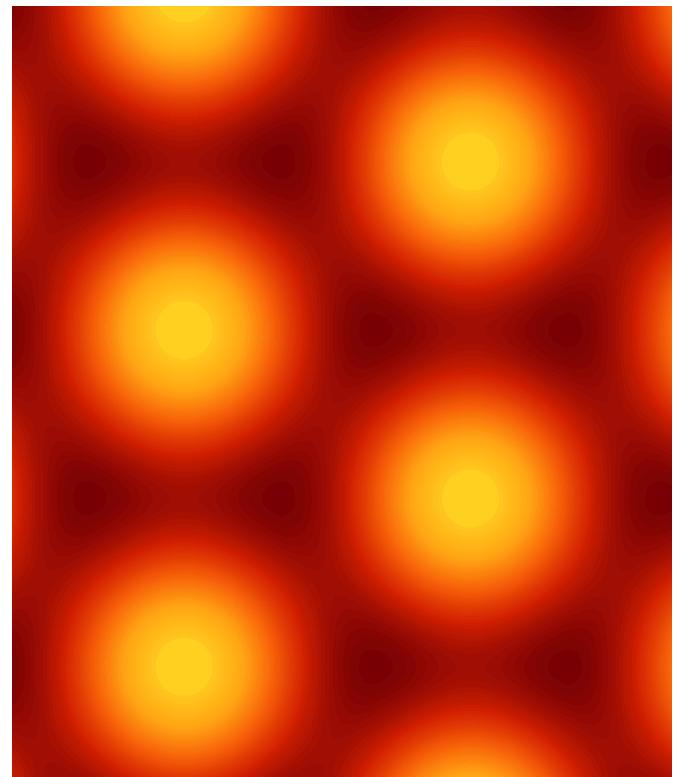
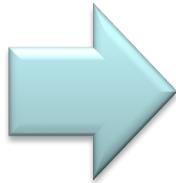
**1.41nm**

# Continuum model

Huge number of atoms  
(10,000 at  $\theta = 1^\circ$ )

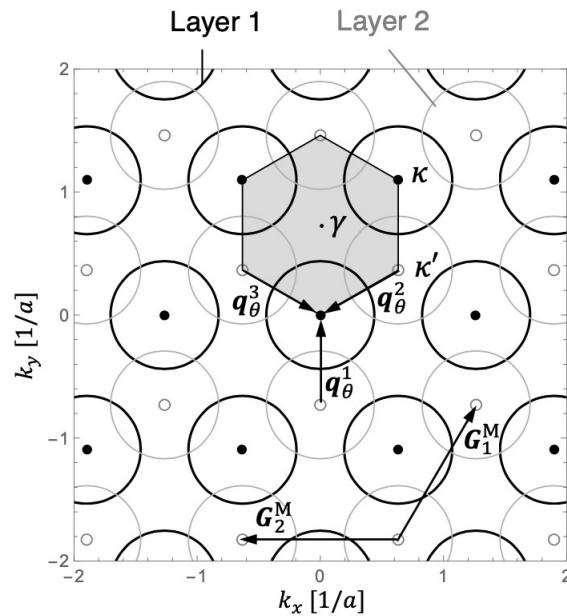
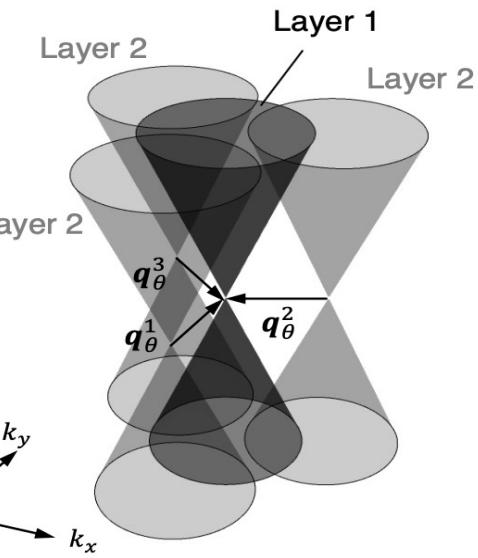
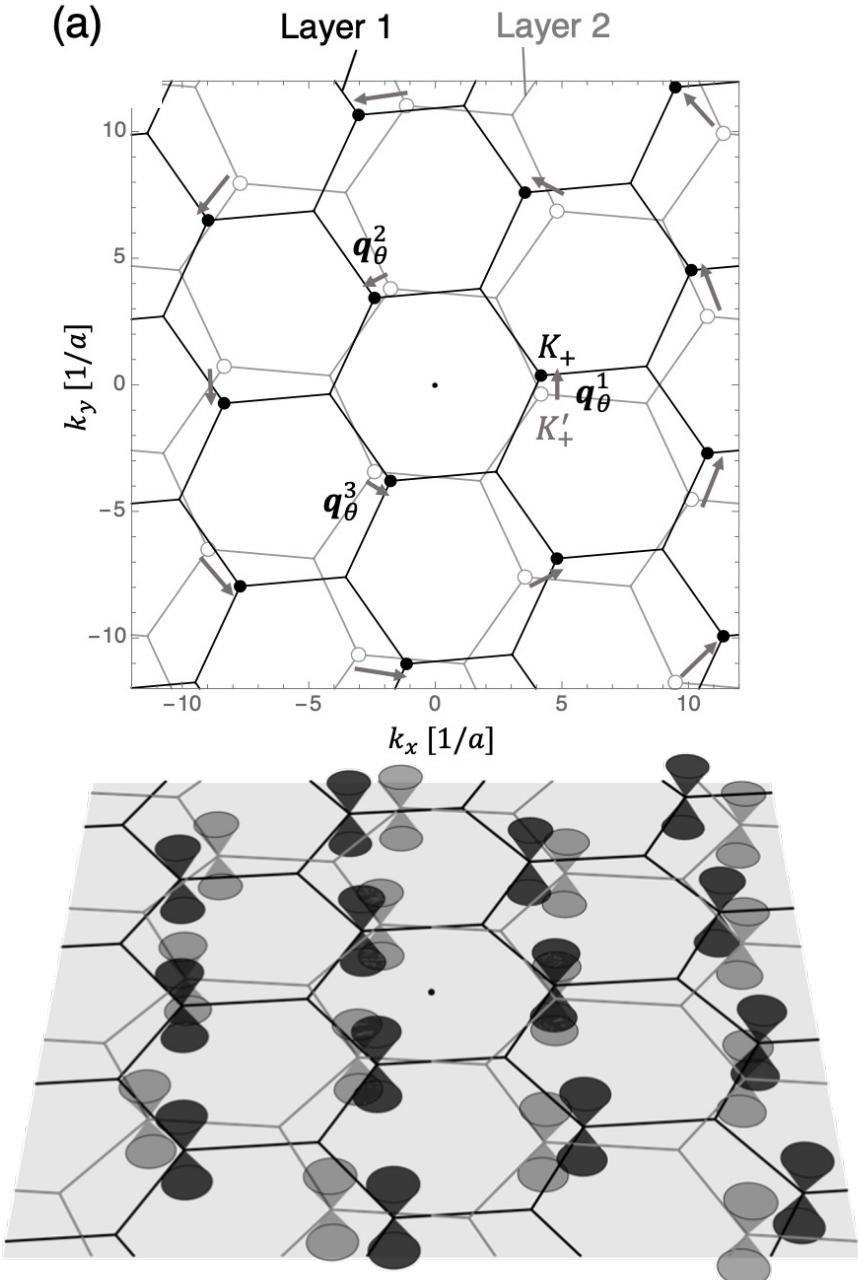


**Coarse-graining**  
to extract the long-wave components



# Continuum model (k-space picture)

(a)



# Continuum Hamiltonian

Lopes dos Santos et al, PRL 99, 256802 (2007)  
 Bistritzer and MacDonald, PNAS 108, 12233 (2011)  
 Kindermann and First, PRB 83, 045425 (2011)  
 Moon and Koshino, PRB 87, 205404 (2013)

$$H_{\text{eff}} = \begin{pmatrix} H_0 & U^\dagger \\ U & H_0 \end{pmatrix}$$

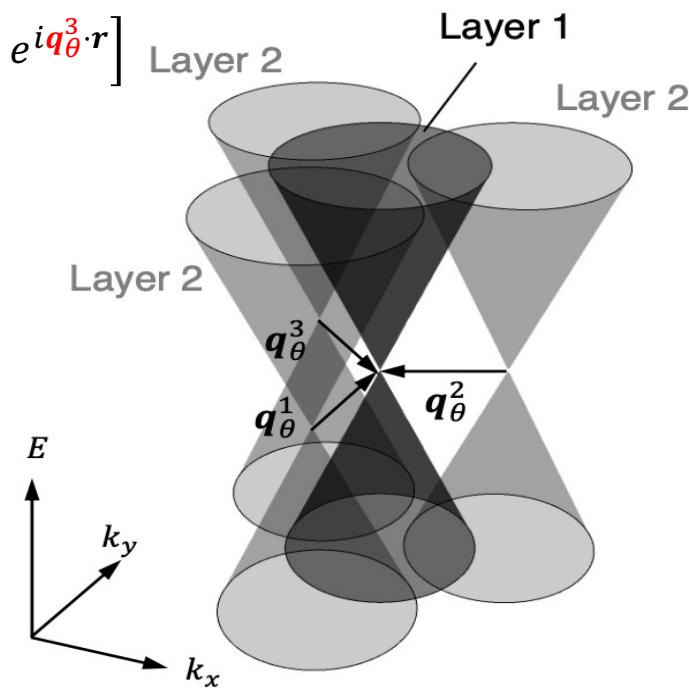
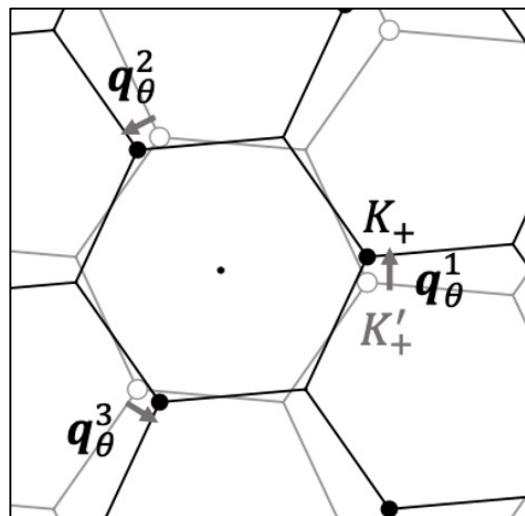
graphene's Dirac Hamiltonian



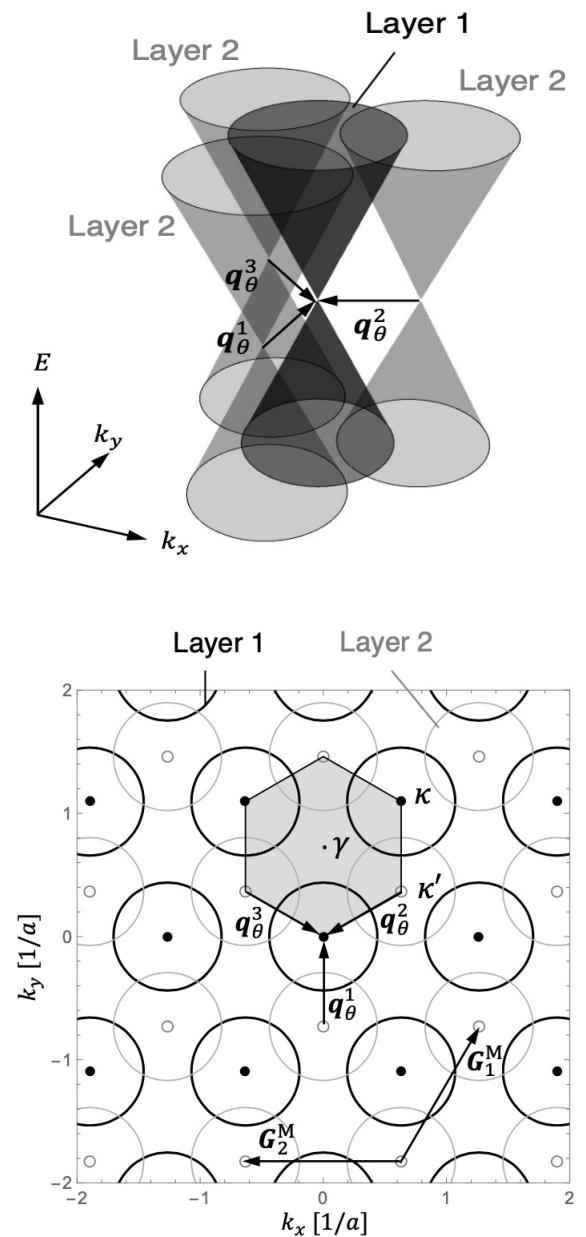
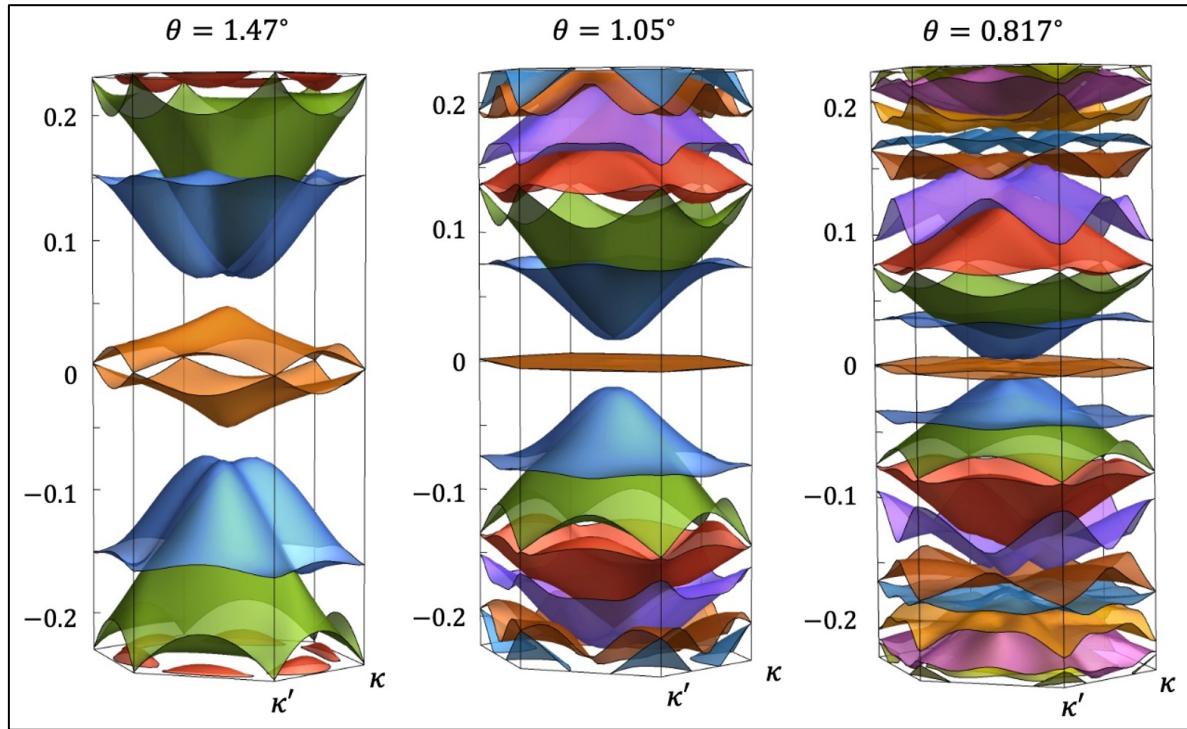
$$H_0 = v\boldsymbol{\sigma} \cdot \boldsymbol{p}$$

**Moiré interlayer coupling:**

$$\begin{pmatrix} U_{AA} & U_{AB} \\ U_{BA} & U_{BB} \end{pmatrix} = u_0 \left[ \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} e^{i\mathbf{q}_\theta^1 \cdot \mathbf{r}} + \begin{pmatrix} 1 & \omega^* \\ \omega & 1 \end{pmatrix} e^{i\mathbf{q}_\theta^2 \cdot \mathbf{r}} + \begin{pmatrix} 1 & \omega \\ \omega^* & 1 \end{pmatrix} e^{i\mathbf{q}_\theta^3 \cdot \mathbf{r}} \right]$$

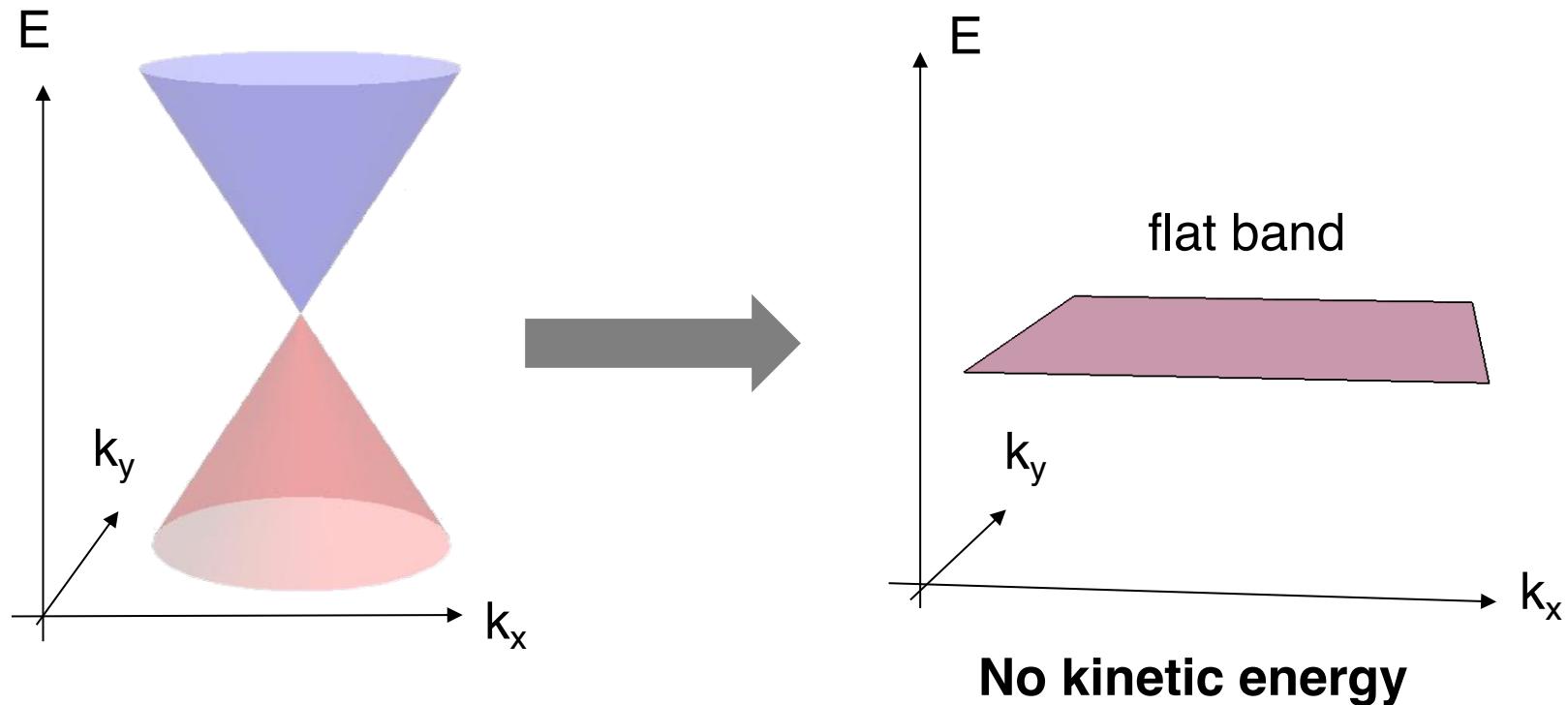


# Band structure of TBG



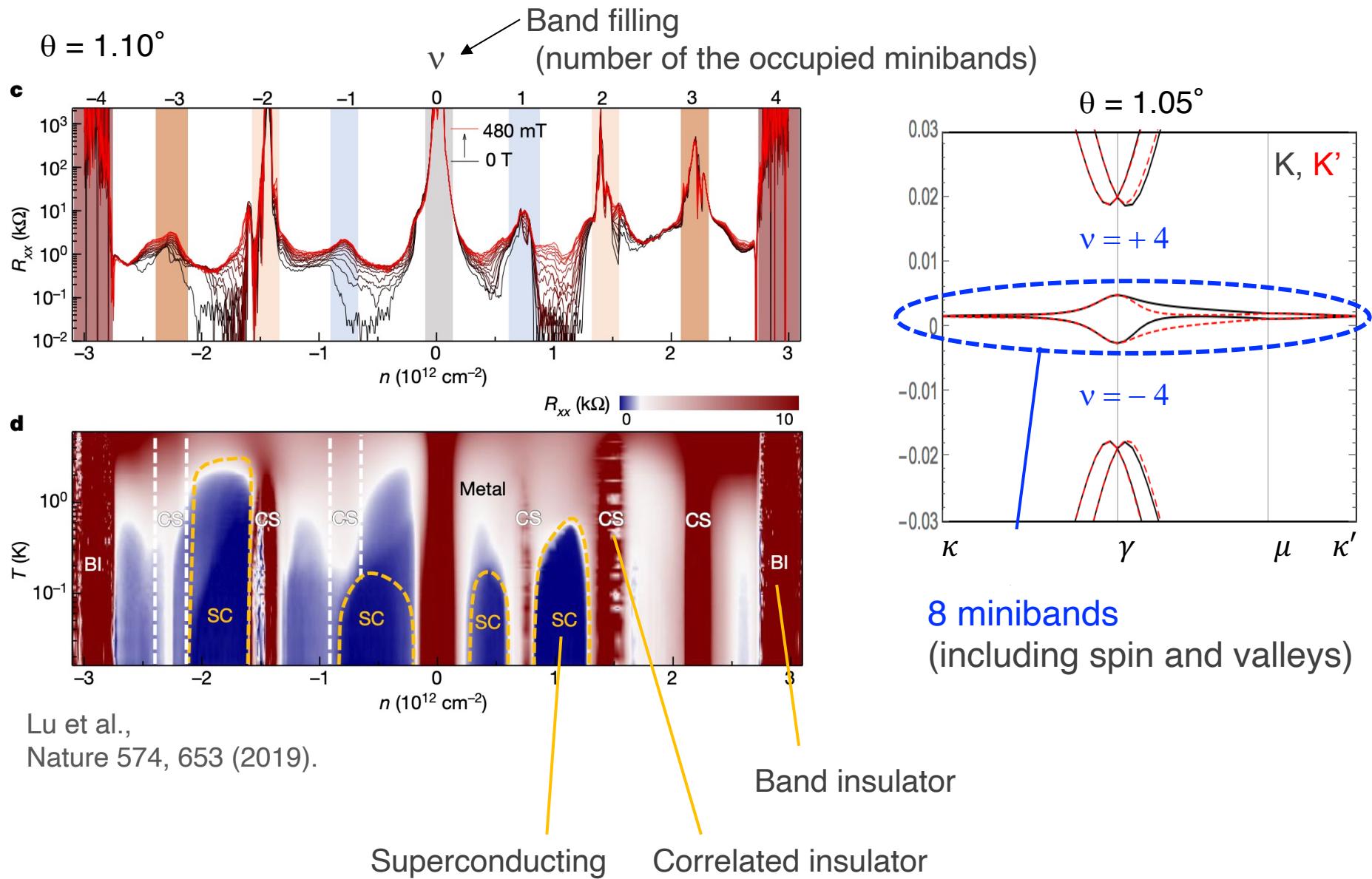
# Flat band physics

Total energy of electrons = **kinetic energy** + **potential energy**  
(band energy) (electron-electron interaction)

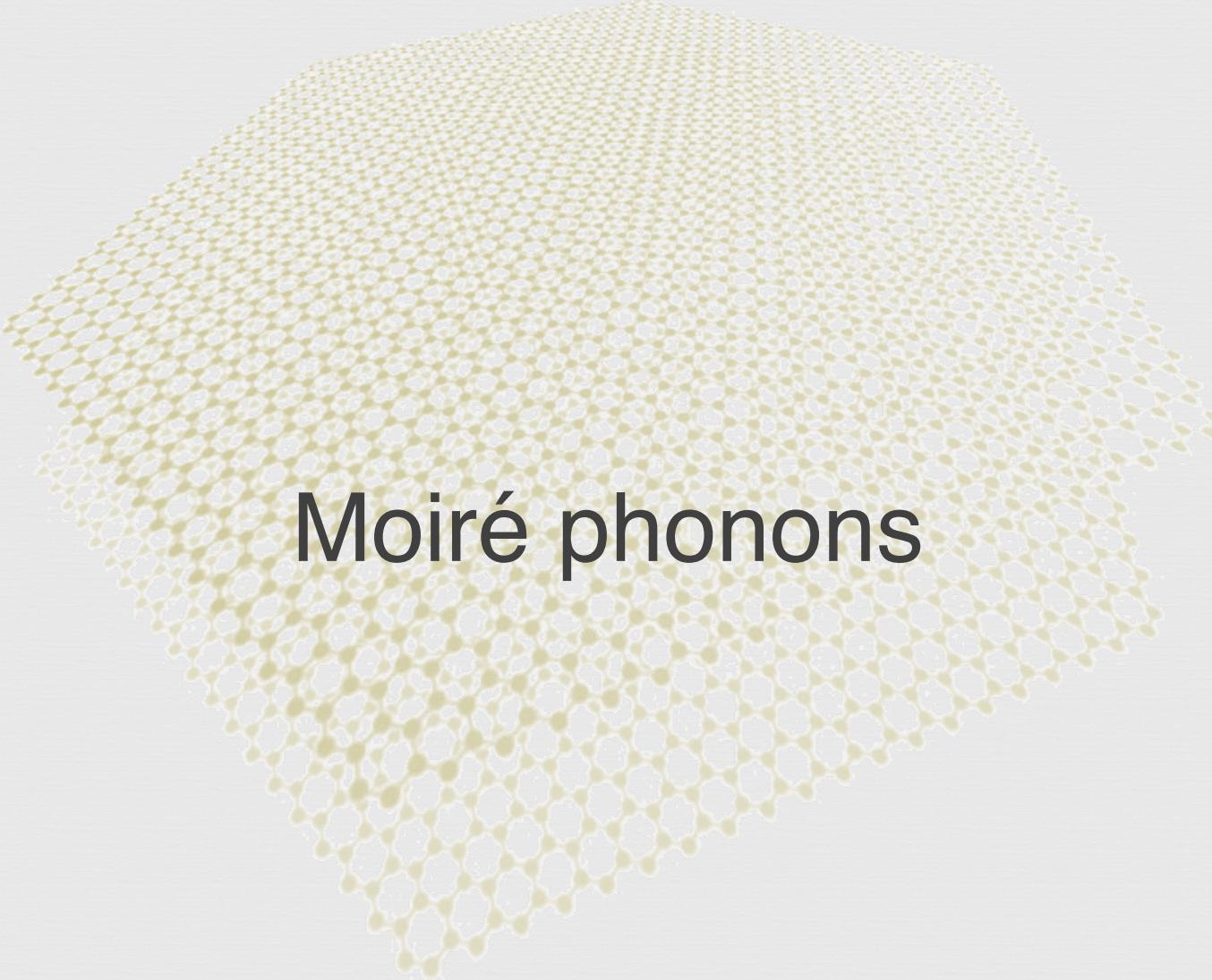


→ physics is governed by  
electron-electron interaction!

# Correlated insulating states & superconductivity



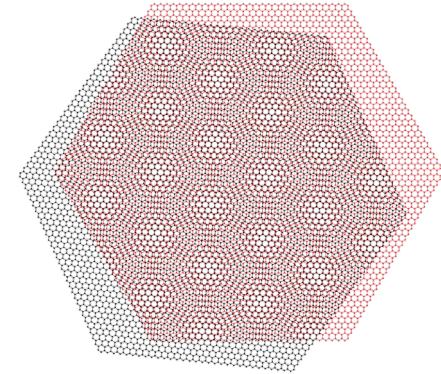
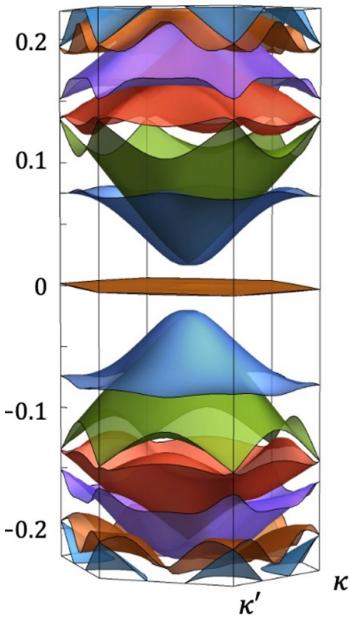
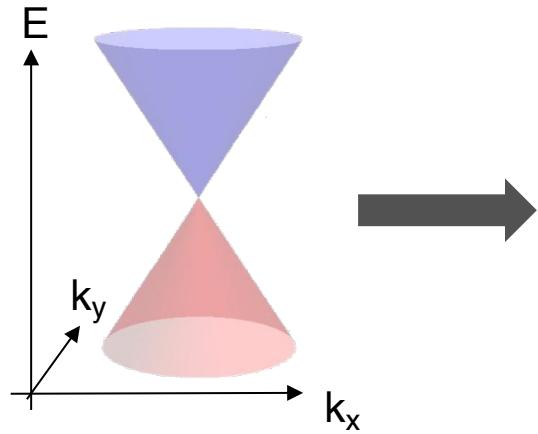
Lu et al.,  
Nature 574, 653 (2019).



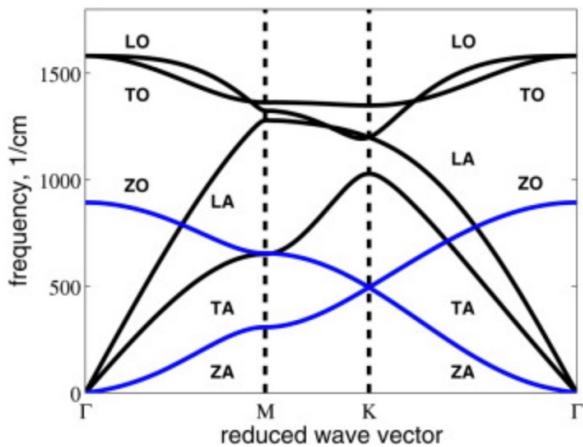
Moiré phonons

# Moiré phonons?

Electronic band



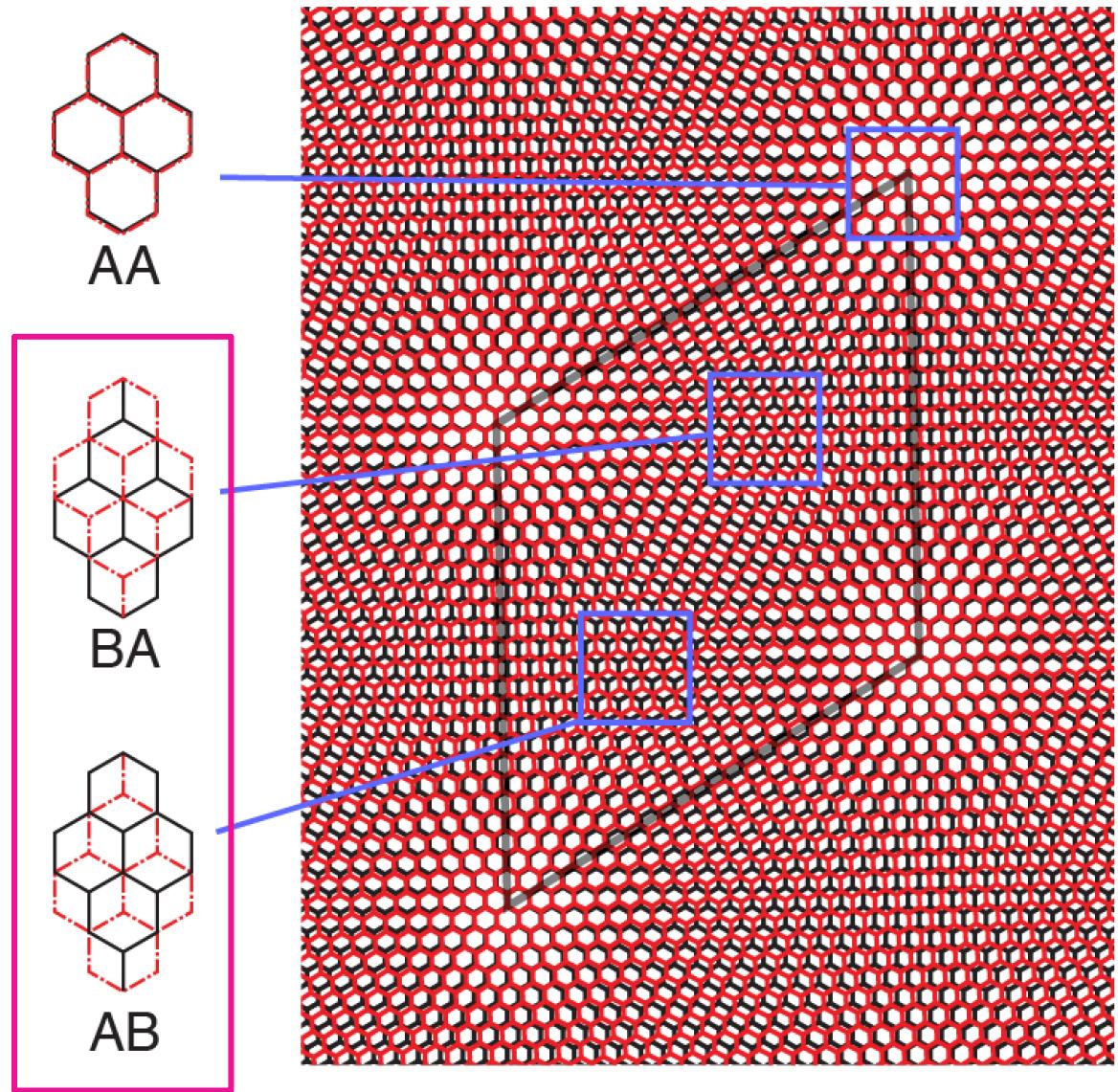
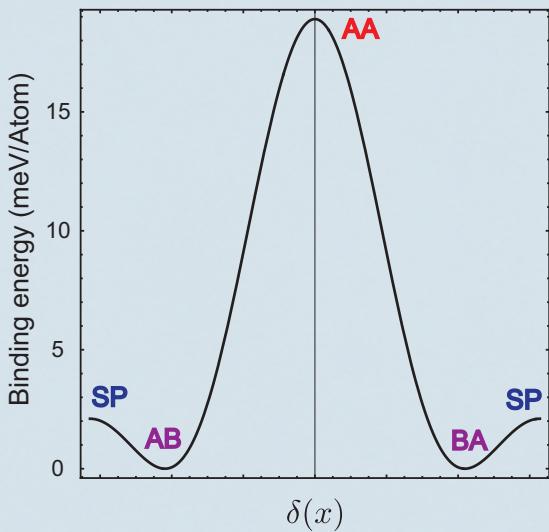
Phonon band



# Local stacking structure in TBG

AA: highest energy

AB / BA: lowest energy

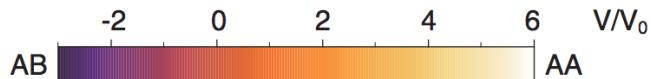


# Lattice relaxation of TBG

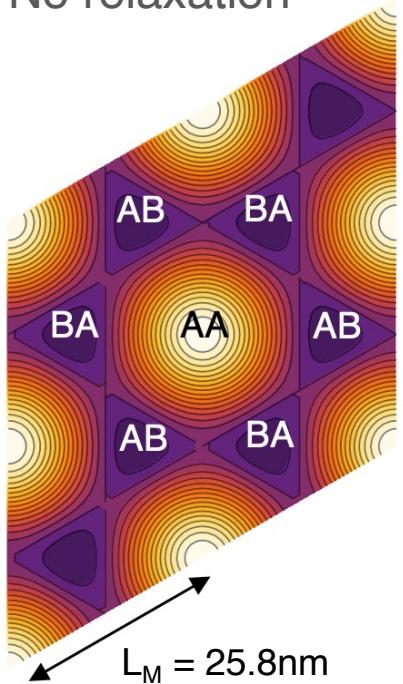
Nam and Koshino,  
Phys. Rev. B 96, 075311 (2017)



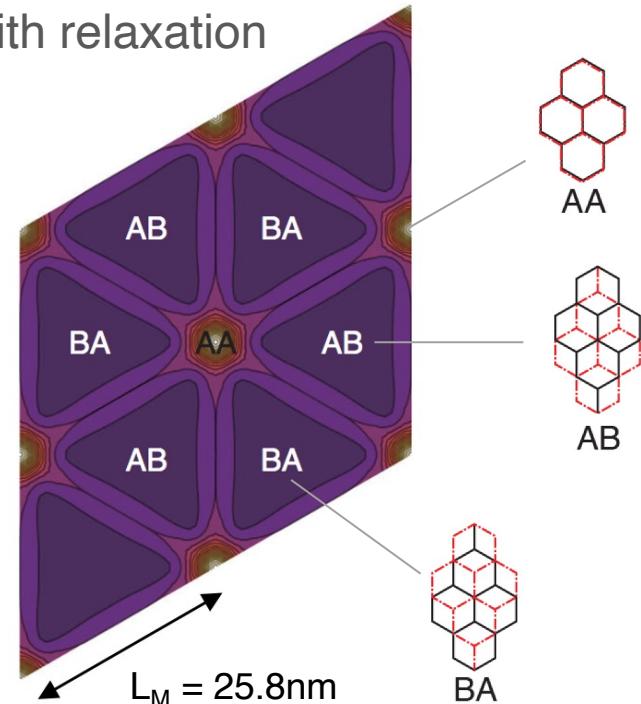
Local binding energy



ex.)  $\theta = 0.547^\circ$  No relaxation



With relaxation



See also:

- K. Uchida, S. Furuya, J.-I. Iwata, and A. Oshiyama, Phys. Rev. B 90, 155451 (2014).
- M. van Wijk, A. Schuring, M. Katsnelson, and A. Fasolino, 2D Mater. 2, 034010 (2015).
- S. Dai, Y. Xiang, and D. J. Srolovitz, Nano Lett. 16, 5923 (2016).
- S. K. Jain, V. Jurivcić, and G. T. Barkema, 2D Mater. 4, 015018 (2016).

# Theoretical method — continuum approach

Nam and Koshino,  
Phys. Rev. B 96, 075311 (2017)



N. N. T. Nam  
(Tohoku)

## Elastic energy

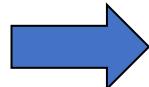
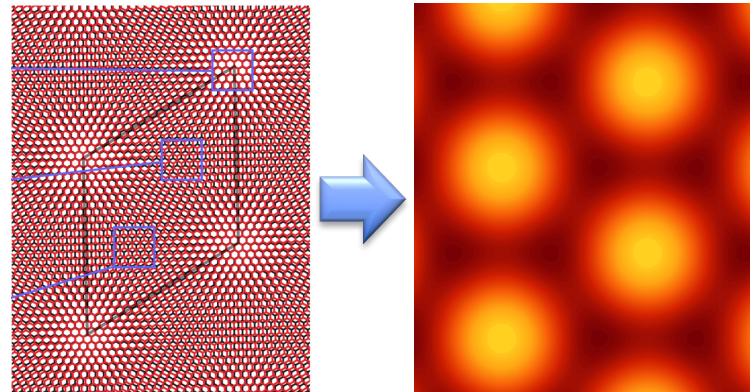
$$U_E = \sum_{l=1}^2 \int \frac{1}{2} \left\{ (\lambda + \mu)(u_{xx}^{(l)} + u_{yy}^{(l)})^2 + \mu \left[ (u_{xx}^{(l)} - u_{yy}^{(l)})^2 + 4(u_{xy}^{(l)})^2 \right] \right\} d^2\mathbf{r}$$

$u_{ij}^{(l)} = (\partial_i u_j^{(l)} + \partial_j u_i^{(l)})/2$ : strain tensor,  
 $\mu$  and  $\lambda$ : Lamé factors.

## Binding energy

$$U_B = \int V[\delta(\mathbf{r})] d^2\mathbf{r}.$$

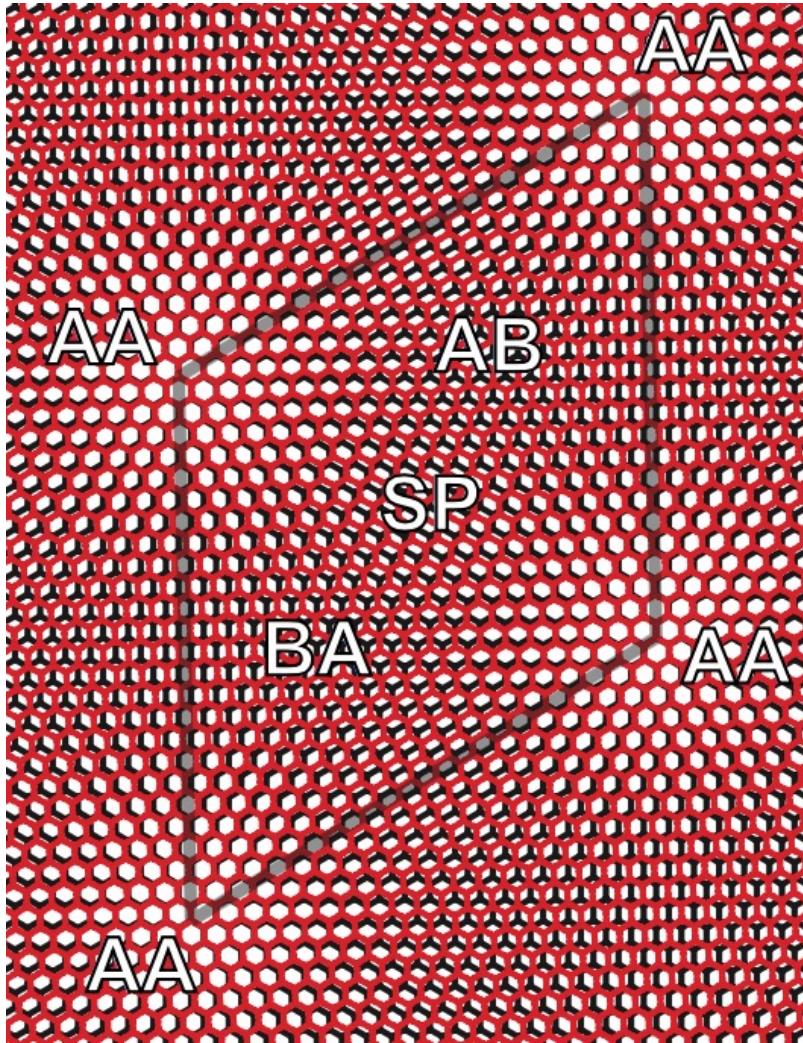
$$V[\delta(\mathbf{r})] = \sum_{j=1}^3 2V_0 \cos[\mathbf{G}_j^M \cdot \mathbf{r} + \mathbf{a}_j^* (\mathbf{u}^{(2)} - \mathbf{u}^{(1)})]$$



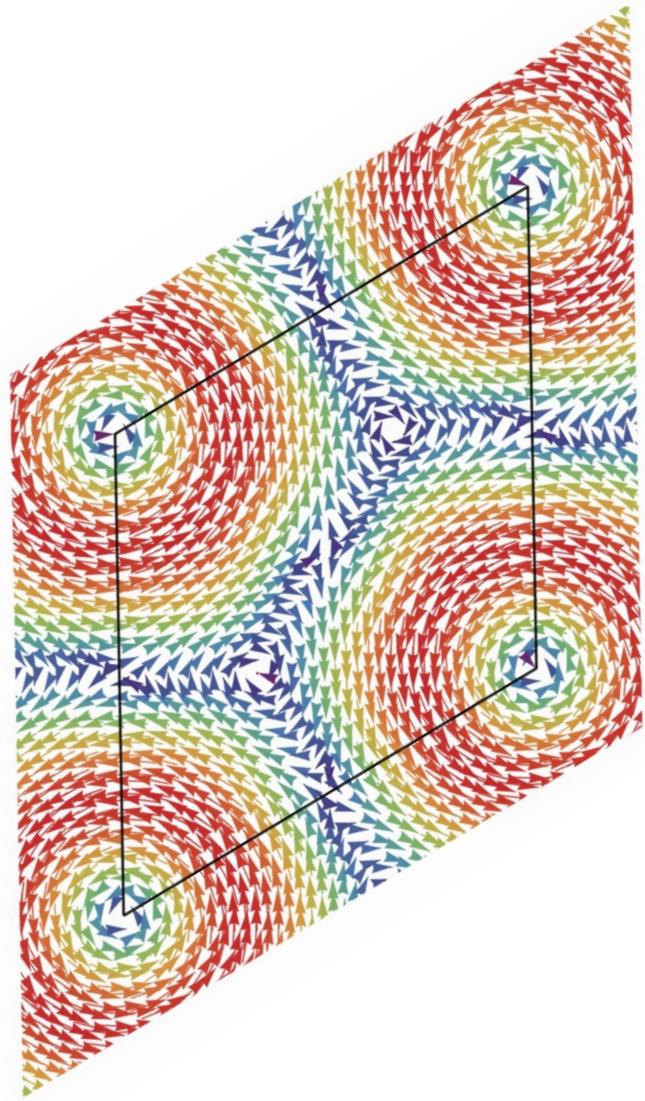
Minimize the total energy  
(Numerically solving Euler-Lagrange equation)

# Lattice distortion in atomic level

Original structure (No distortion)

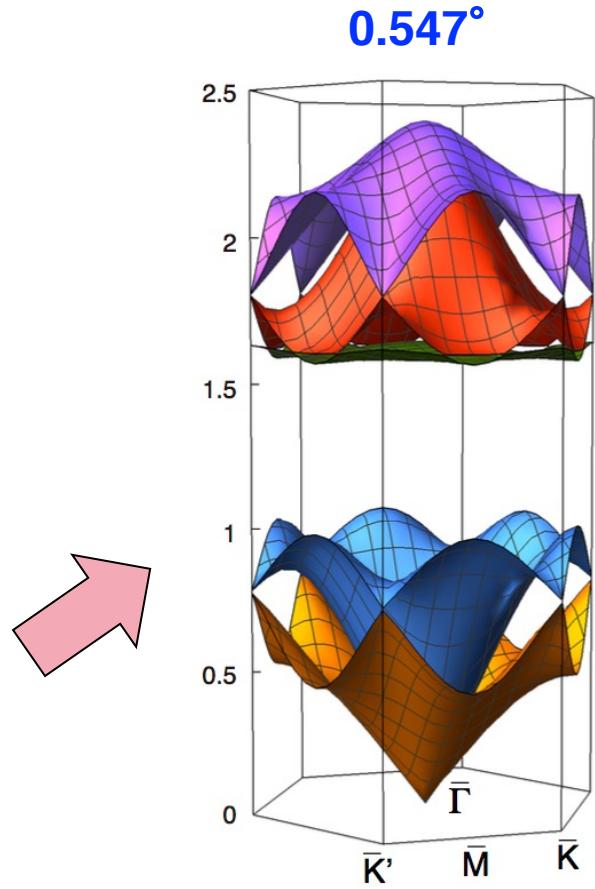
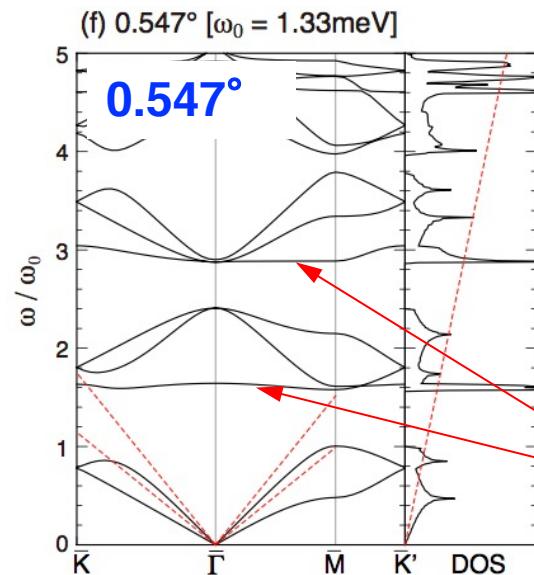
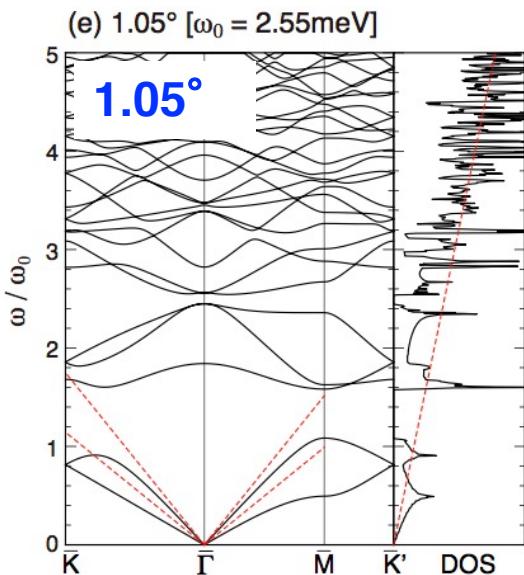
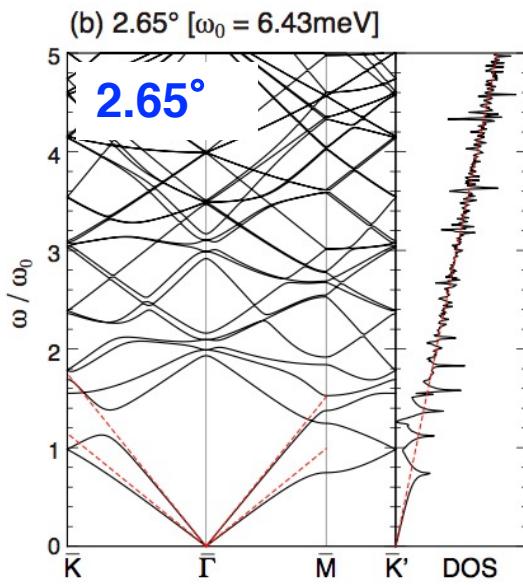
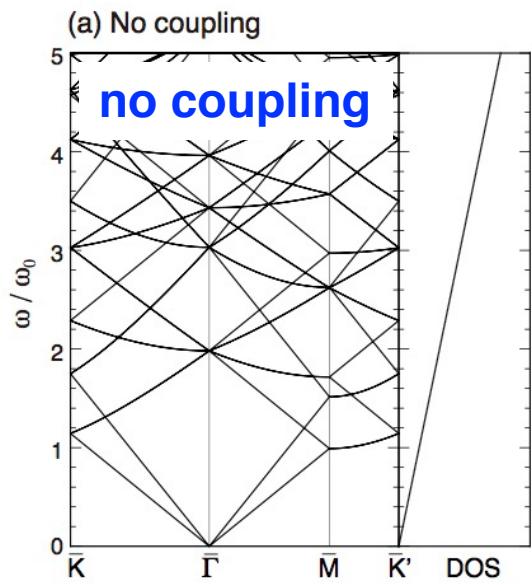


Displacement vector (layer 2)



# Moiré phonons in TBG

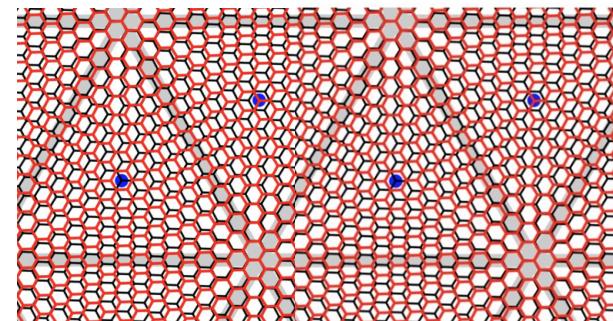
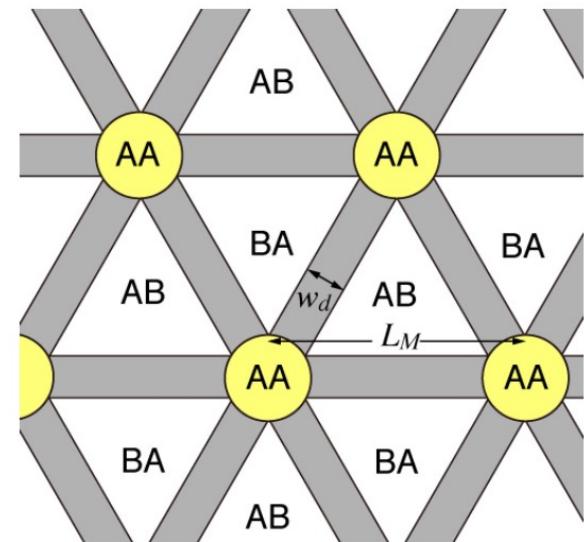
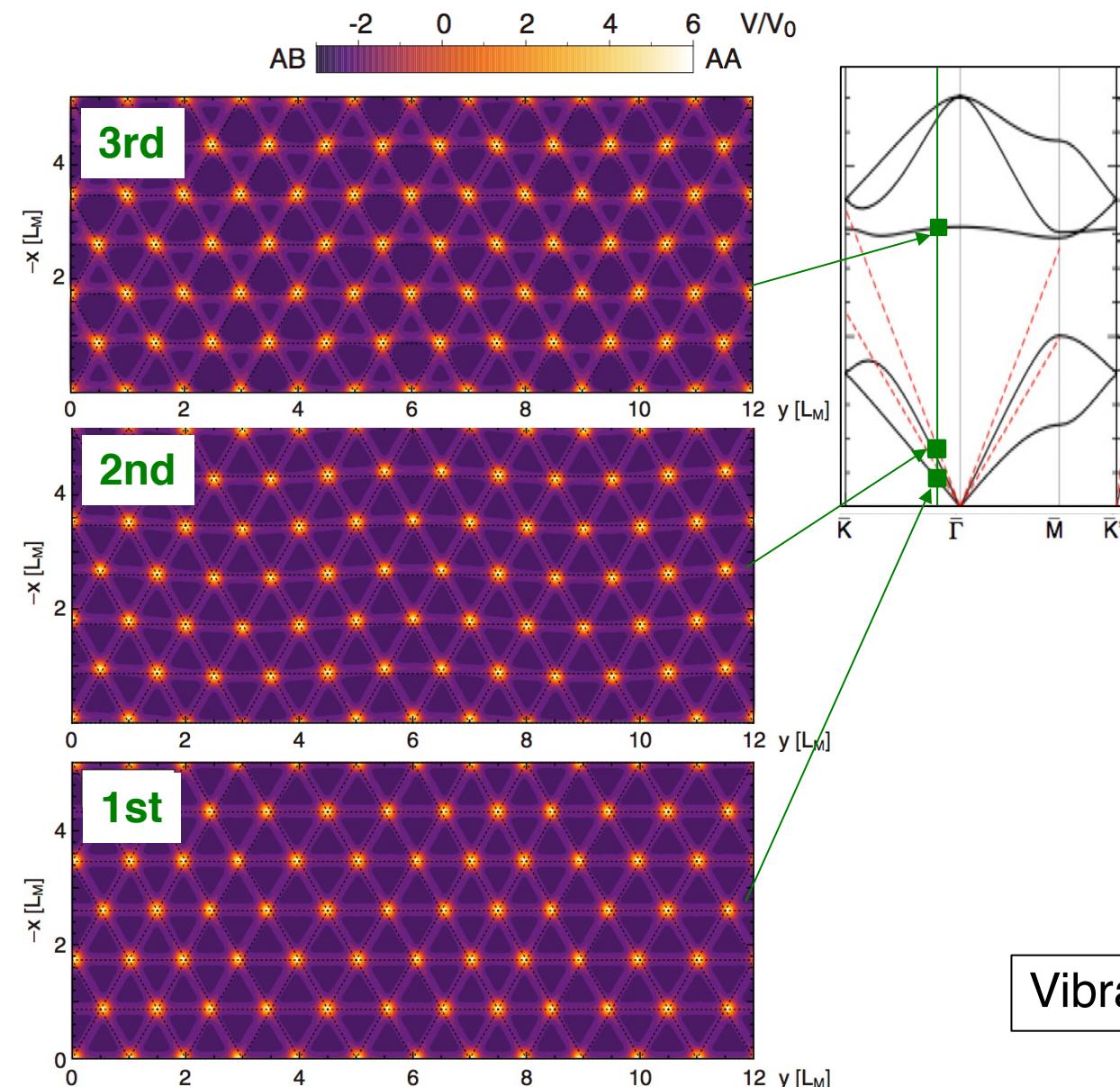
M. Koshino, and Y.-W. Son,  
Phys. Rev. B 100, 075416 (2019)



Flat phonon bands

# Moiré phonon wavefunctions

M. Koshino, and Y.-W. Son,  
Phys. Rev. B 100, 075416 (2019)  
M. Koshino and NNT Nam,  
Phys. Rev. B 101, 195425 (2020)



Vibration of "big atoms"

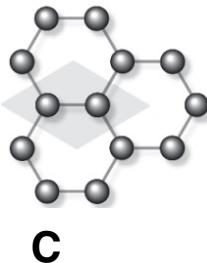


# Moiré phonons in G/hBN

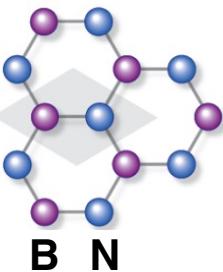
Krishna and Koshino,  
Phys. Rev. B 107, 115301 (2023)

Lukas Krisna  
(Osaka)

Graphene

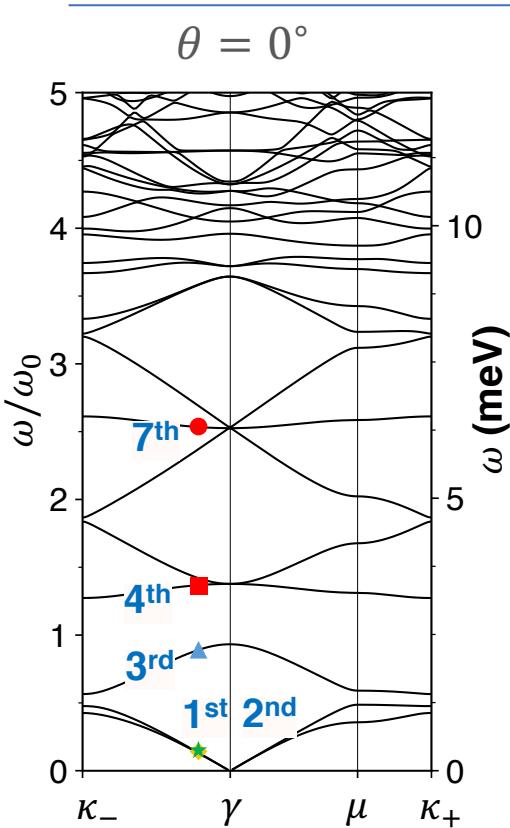


h-BN

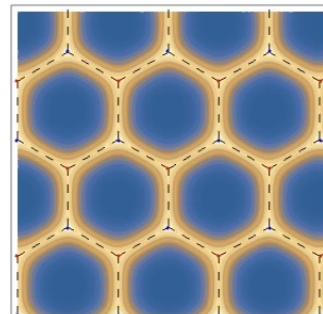


1.8 % lattice constant mismatch

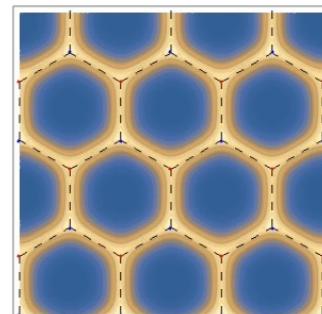
$$\theta = 0^\circ$$



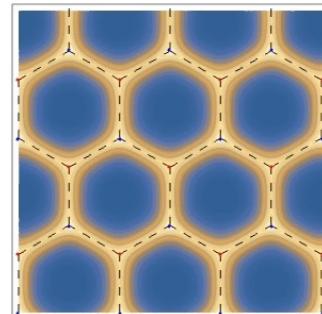
1st



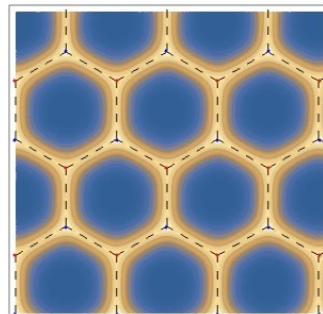
2nd



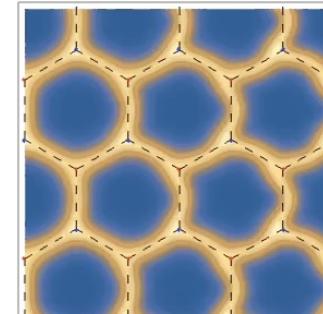
3rd



4th



7th



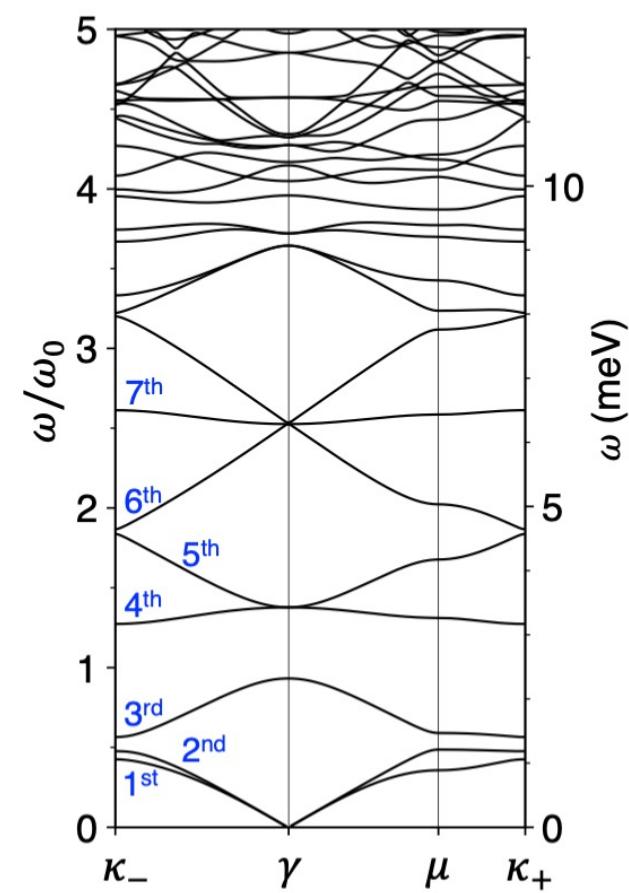
# “Chiral phonons” in G/hBN

Krishna and Koshino,  
Phys. Rev. B 107, 115301 (2023)

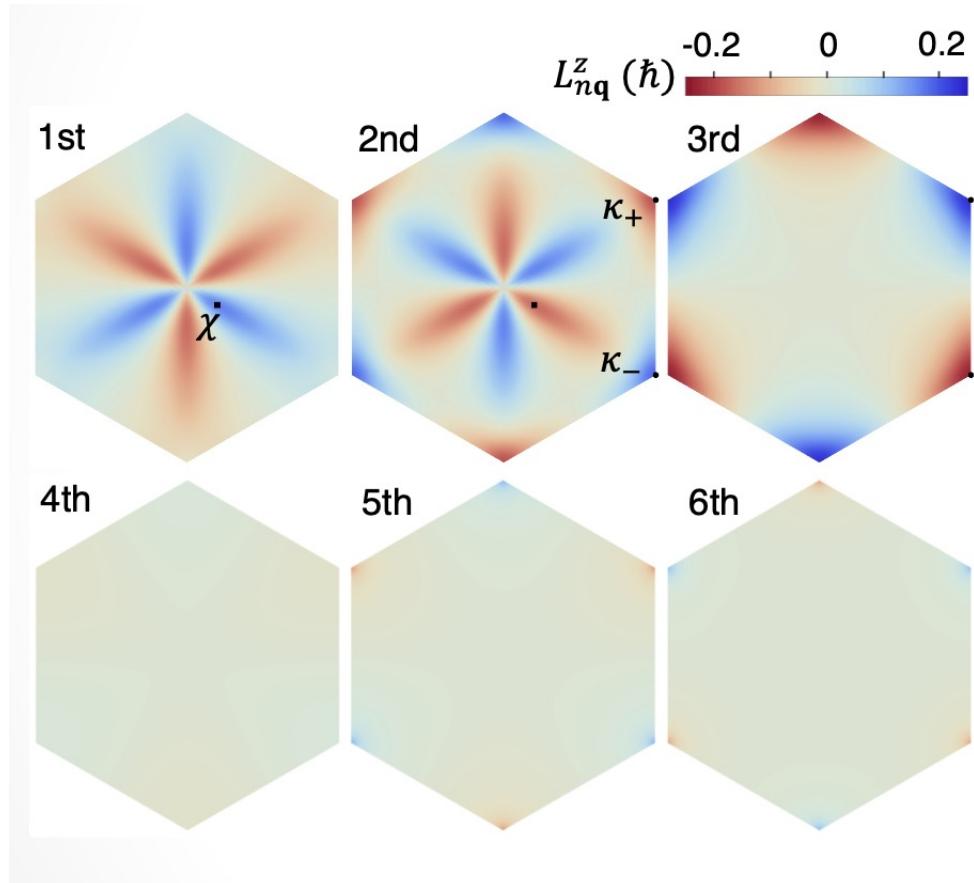
G/hBN: inversion symm. broken

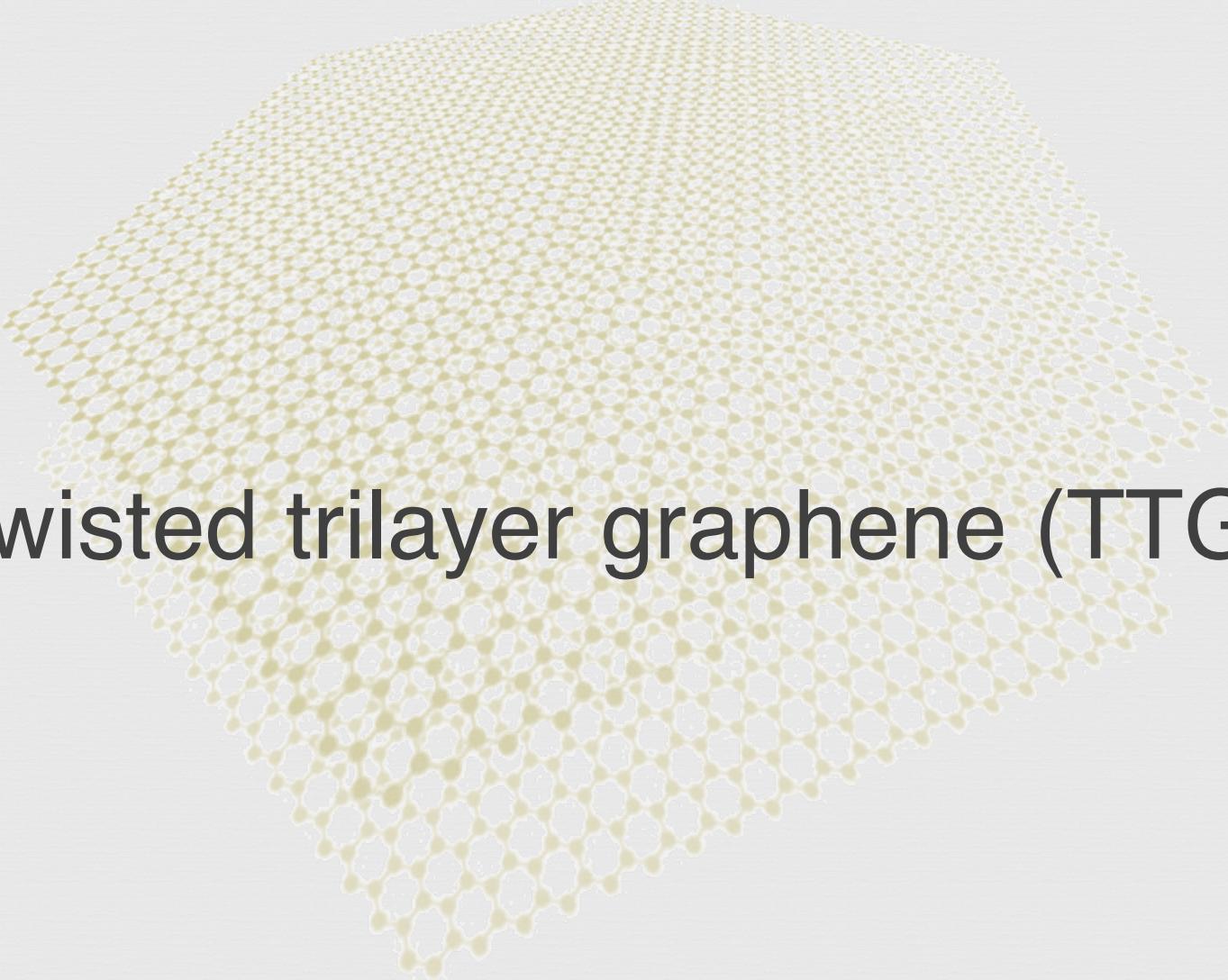


$\theta = 0^\circ$



Angular momentum in phonons



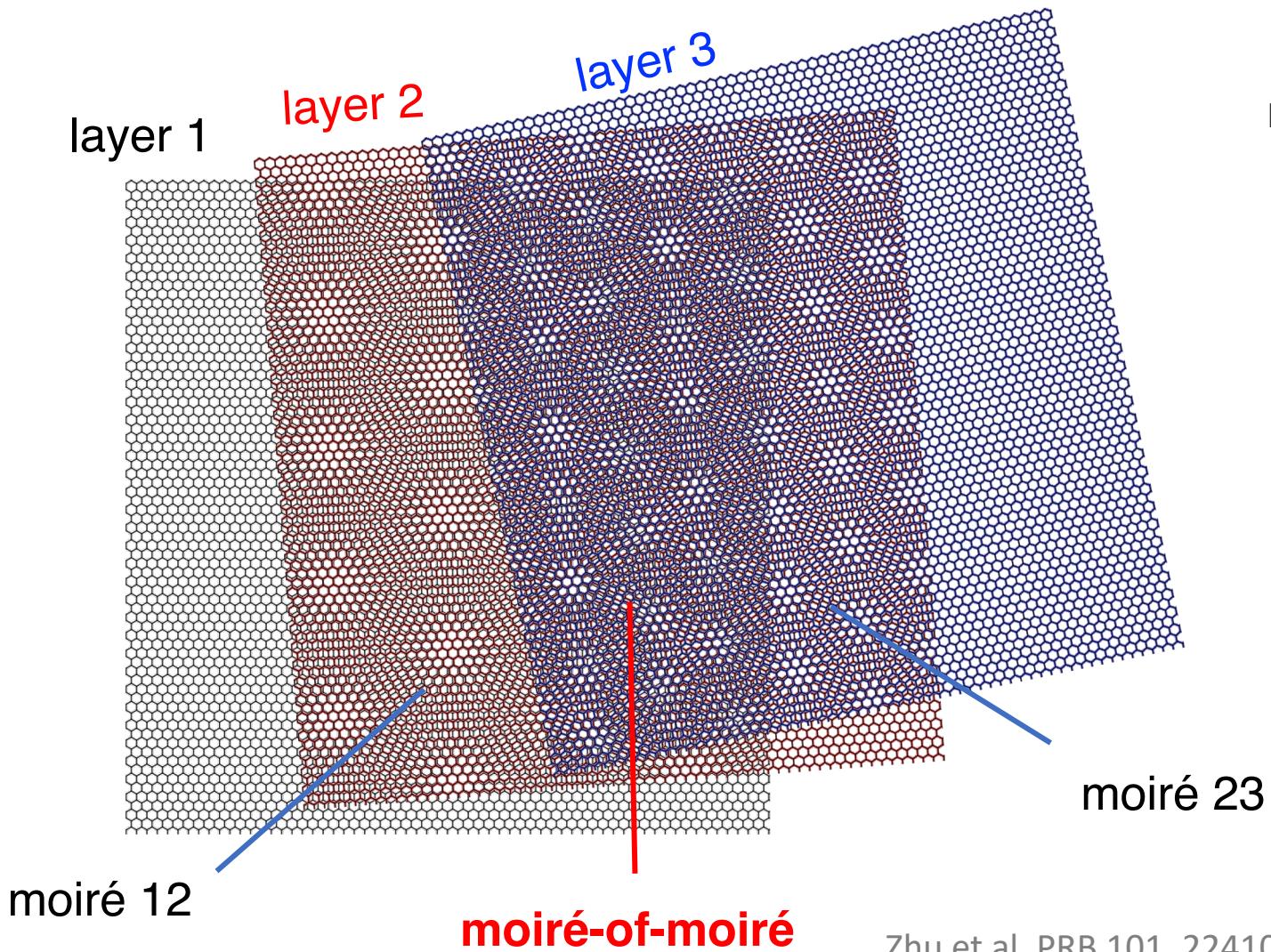


# Twisted trilayer graphene (TTG)

# Twisted trilayer graphene (TTG)



Naoto Nakatsuji  
(Osaka)

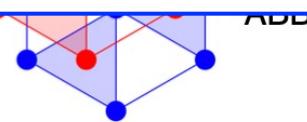
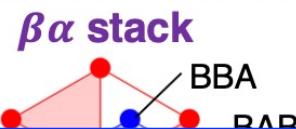
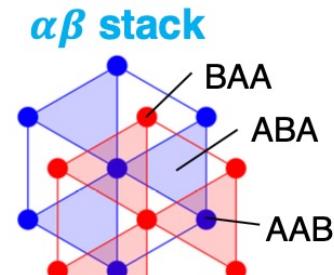
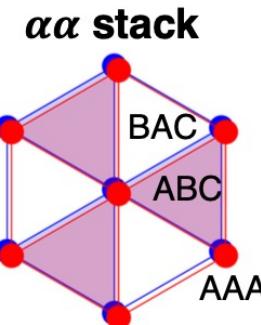
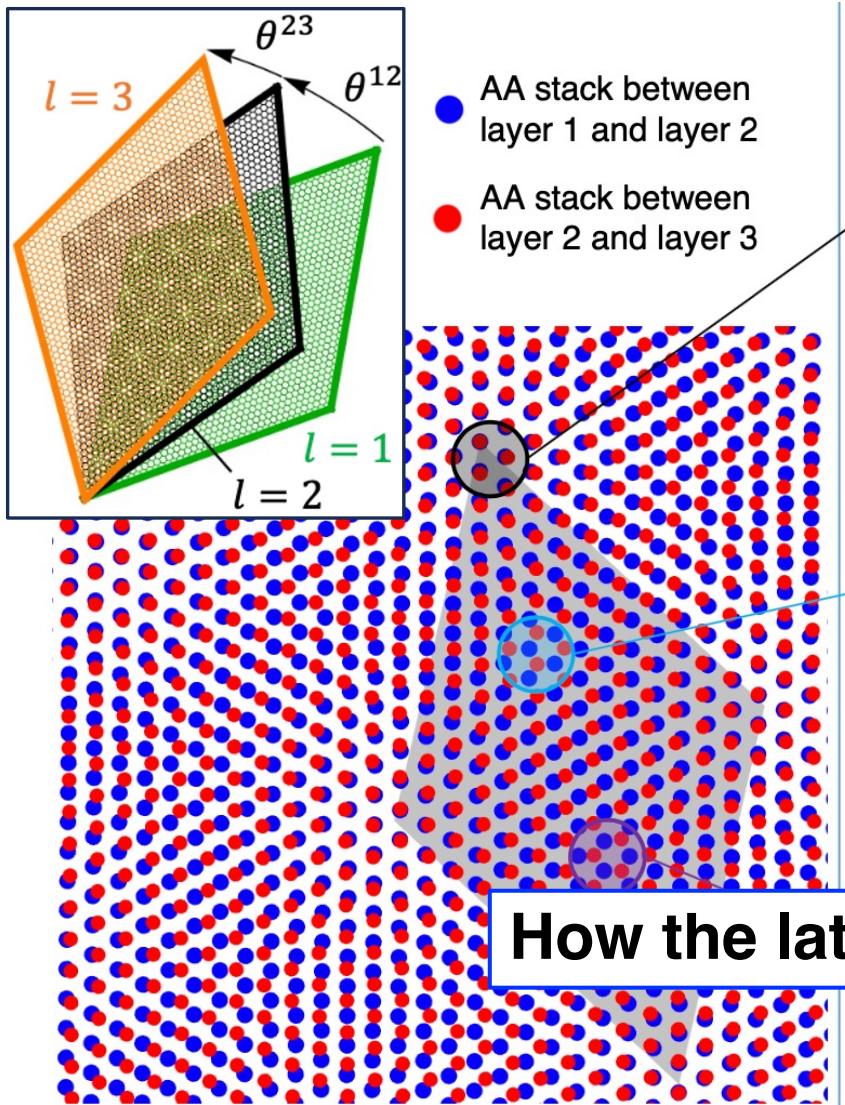


Zhu et al, PRB 101, 224107 (2020)  
Zhang et al. PRL 127, 166802 (2021)

...

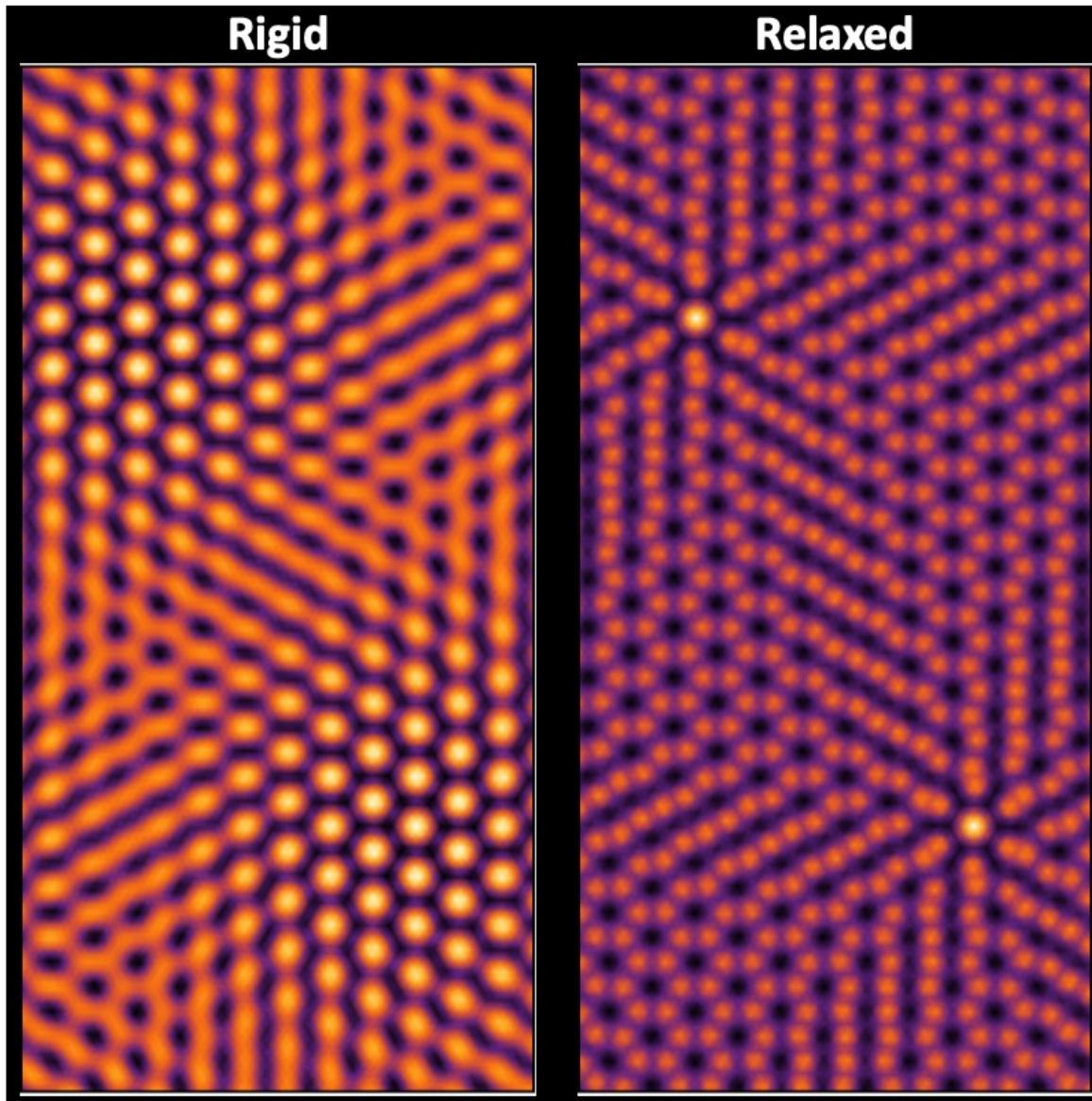
# Twisted trilayer graphene (TTG)

Nakatsuji, Kawakami and Koshino,  
arXiv:2305.13155; Phys. Rev. X, in press.



# Lattice relaxation in TTG

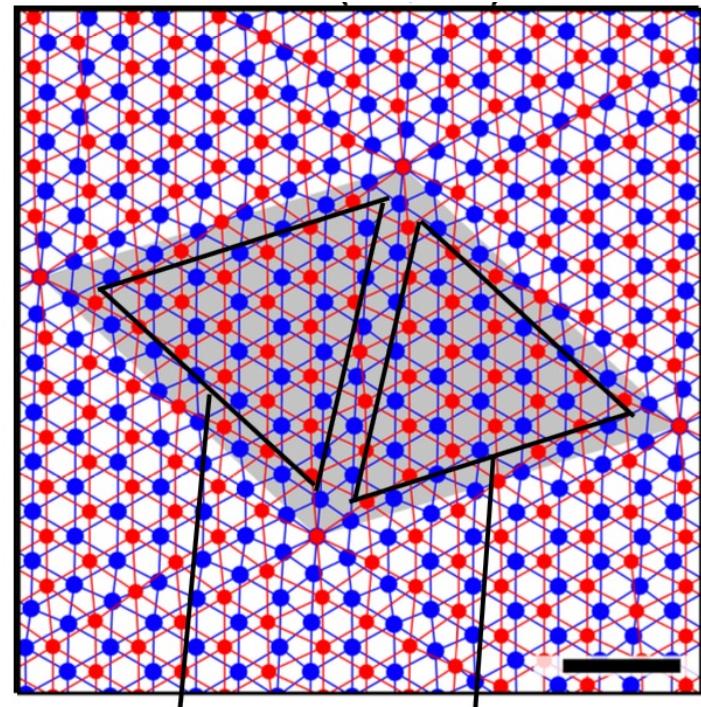
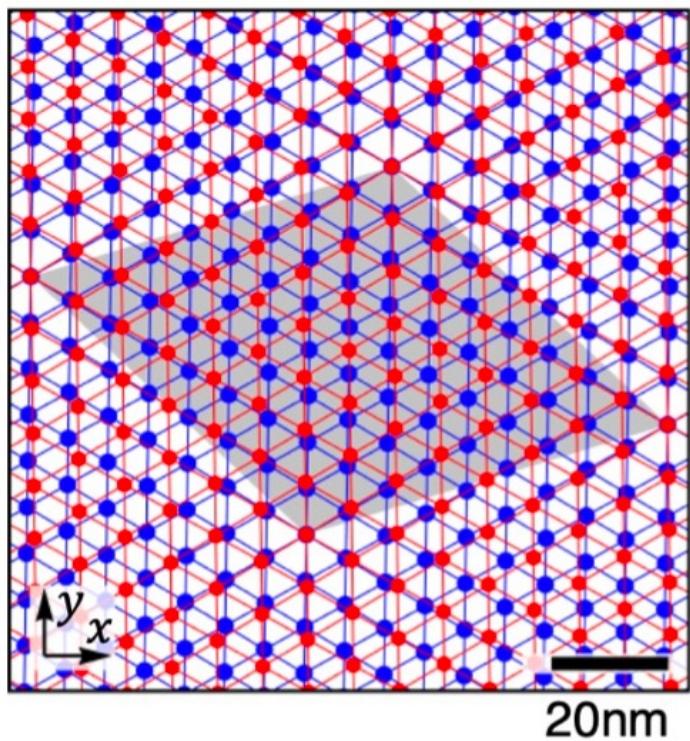
Nakatsuji, Kawakami and Koshino,  
arXiv:2305.13155; Phys. Rev. X, in press.



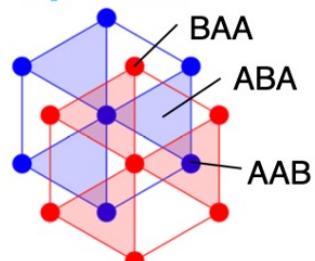
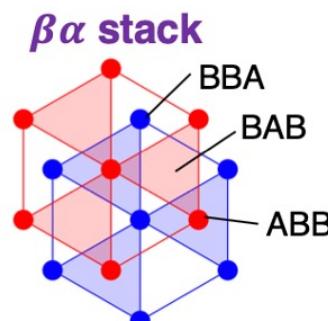
# Lattice relaxation in TTG

$$(\theta^{12}, \theta^{23}) = (1.79^\circ, 1.58^\circ)$$

Nakatsuji, Kawakami and Koshino,  
arXiv:2305.13155; Phys. Rev. X, in press.



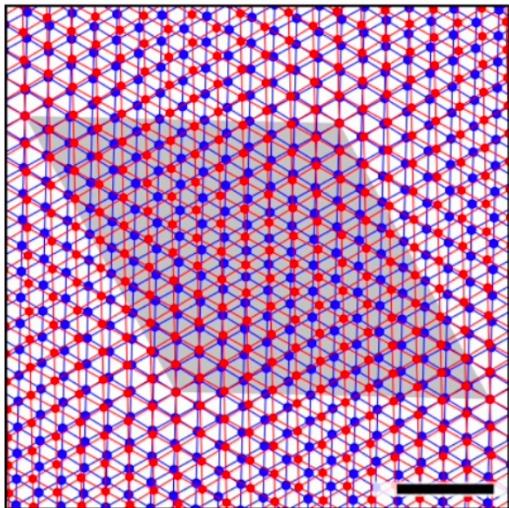
AA spots (● & ●) avoid each other  
--> patchwork of commensurate  
super-moiré domains



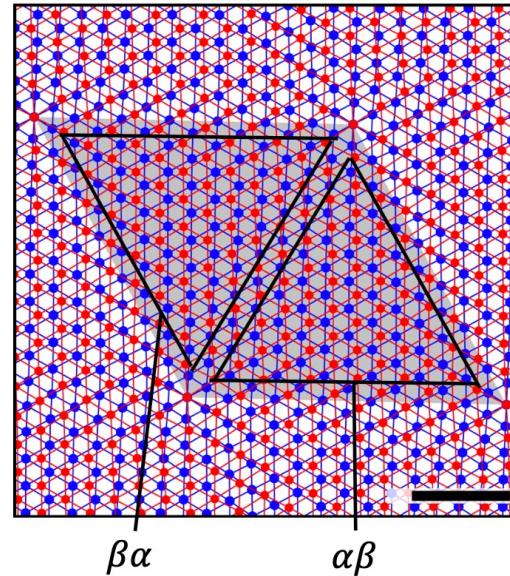
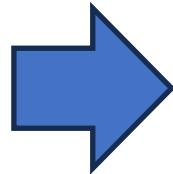
# Lattice relaxation in TTG

Nakatsuji, Kawakami and Koshino,  
arXiv:2305.13155; Phys. Rev. X, in press.

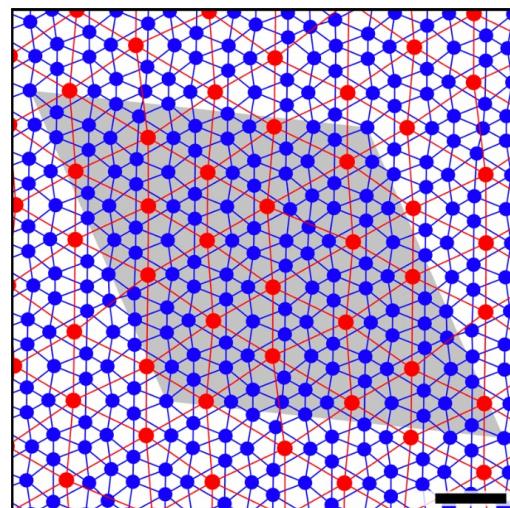
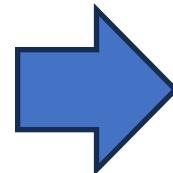
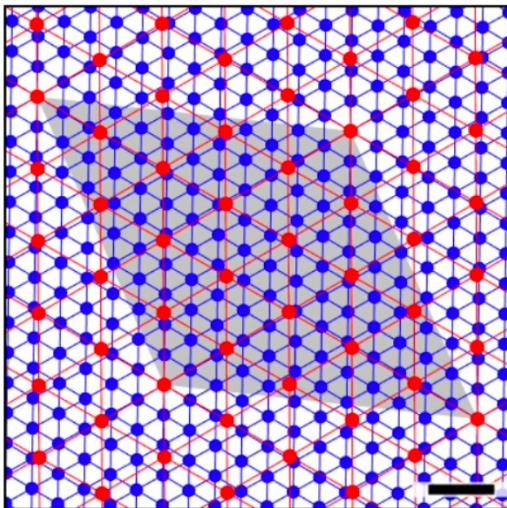
$$C2 (\theta^{12}, \theta^{23}) = (2.64^\circ, 2.45^\circ)$$



relaxation



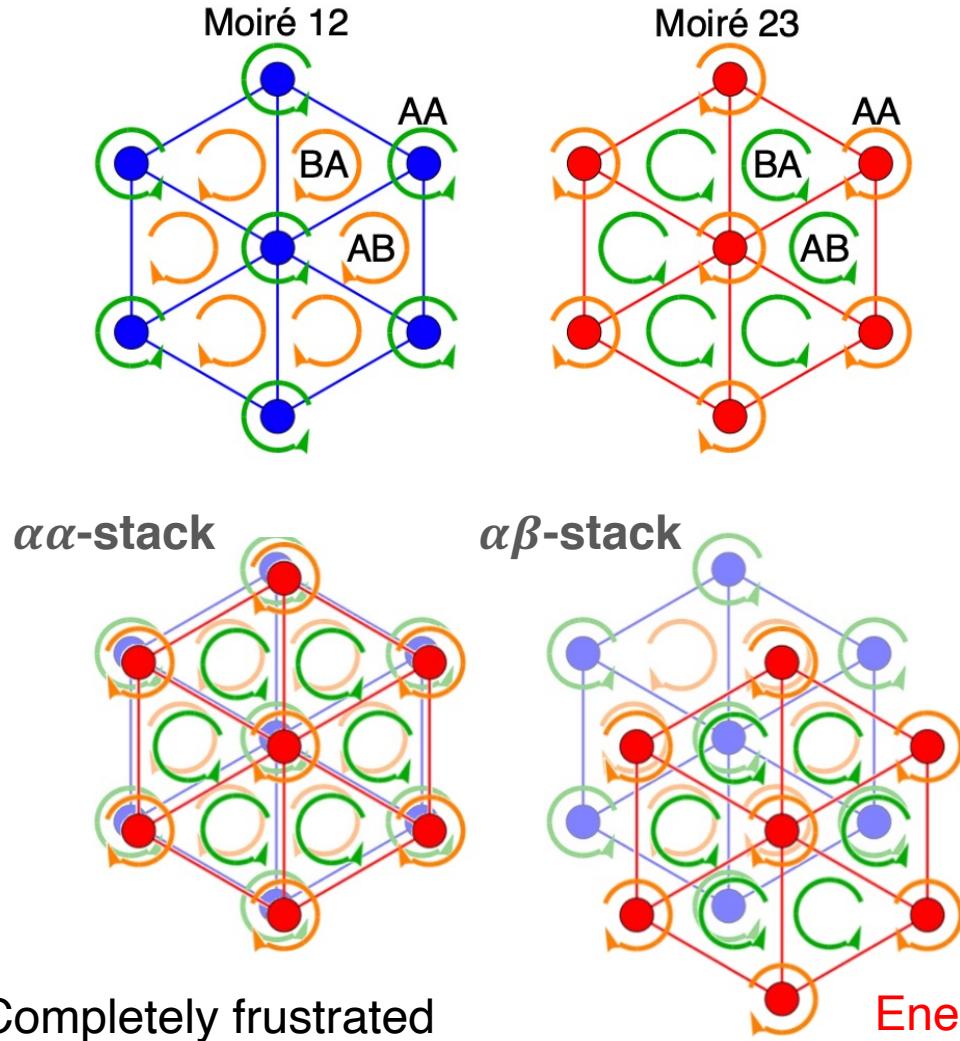
$$C3 (\theta^{12}, \theta^{23}) = (1.54^\circ, 0.64^\circ)$$



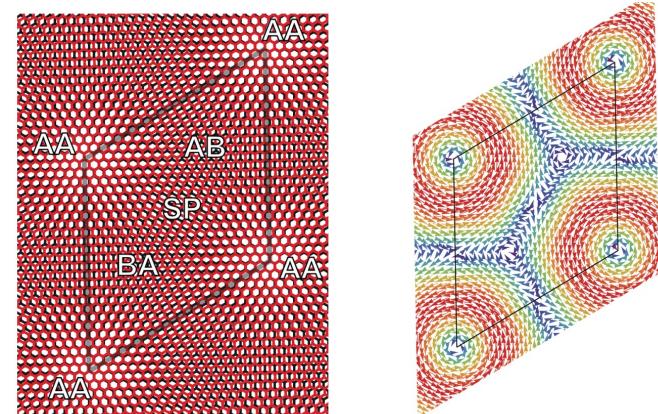
# Why are the domains favored?

Nakatsuji, Kawakami and Koshino,  
arXiv:2305.13155; Phys. Rev. X, in press.

## Preferred rotation direction of the middle layer



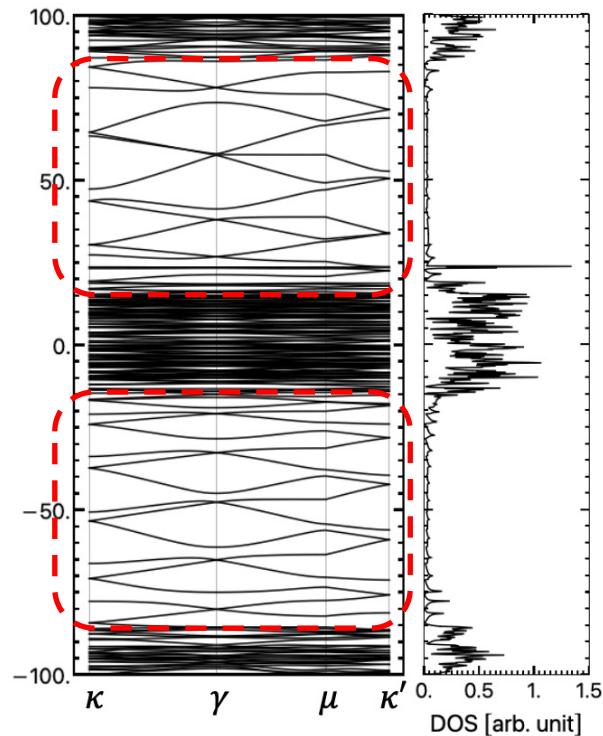
Cf. twisted bilayer graphene



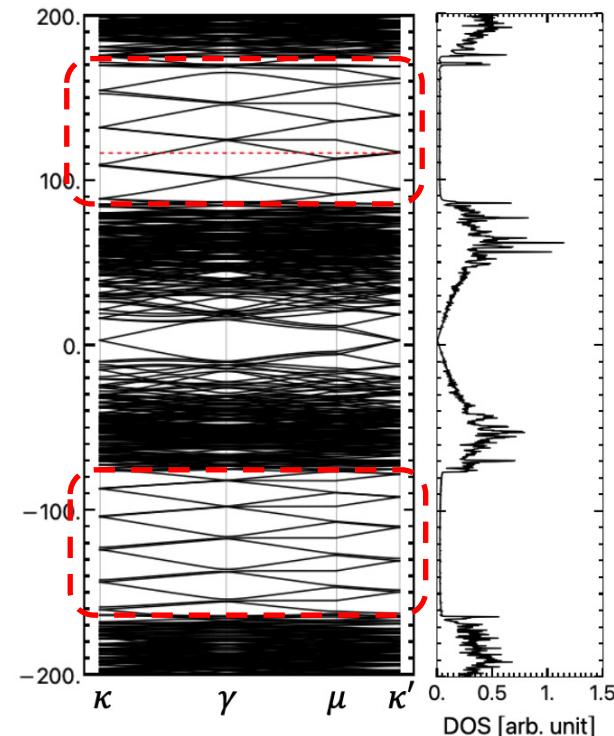
# Electronic structure of TTG

Nakatsuji, Kawakami and Koshino,  
arXiv:2305.13155; Phys. Rev. X, in press.

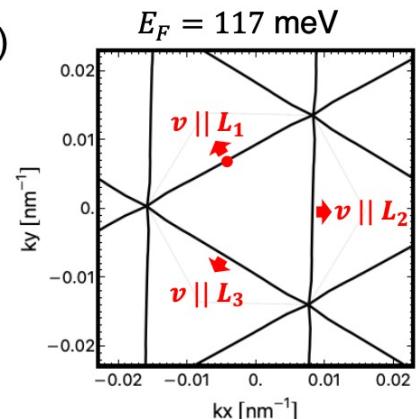
(a) C1 ( $\theta^{12}, \theta^{23}$ ) = (1.79°, 1.58°)



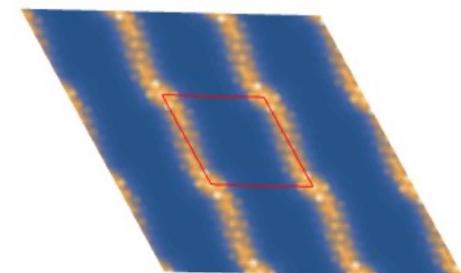
(b) C2 ( $\theta^{12}, \theta^{23}$ ) = (2.64°, 2.45°)



(c)



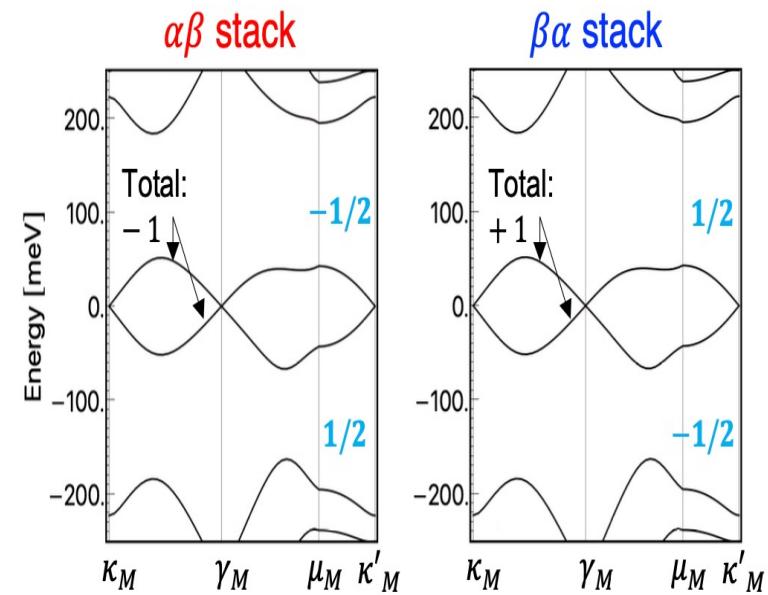
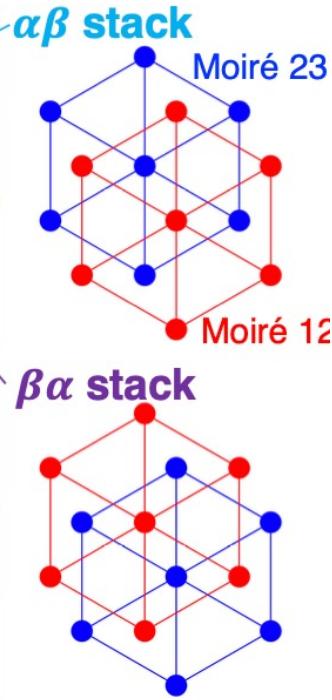
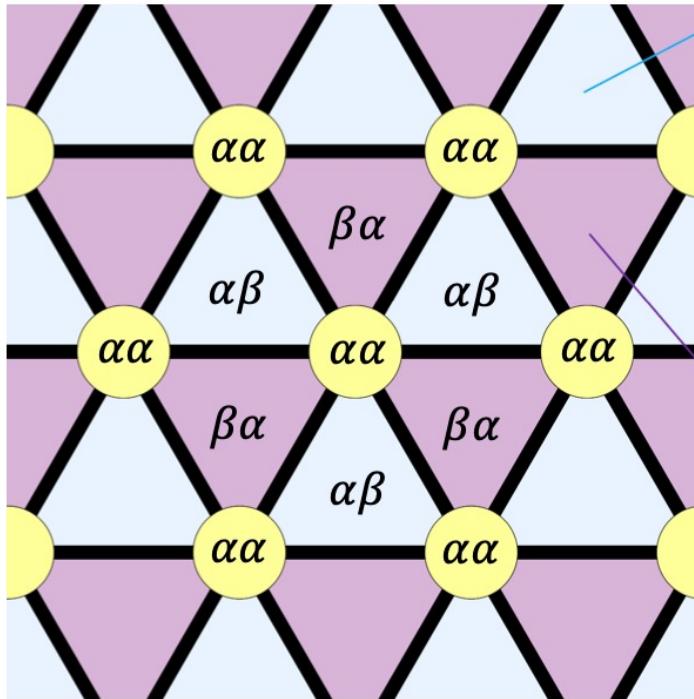
(d)



Energy window with low DOS featuring 1D electron bands

# Topological domain-wall mode

Nakatsuji, Kawakami and Koshino,  
arXiv:2305.13155; Phys. Rev. X, in press.

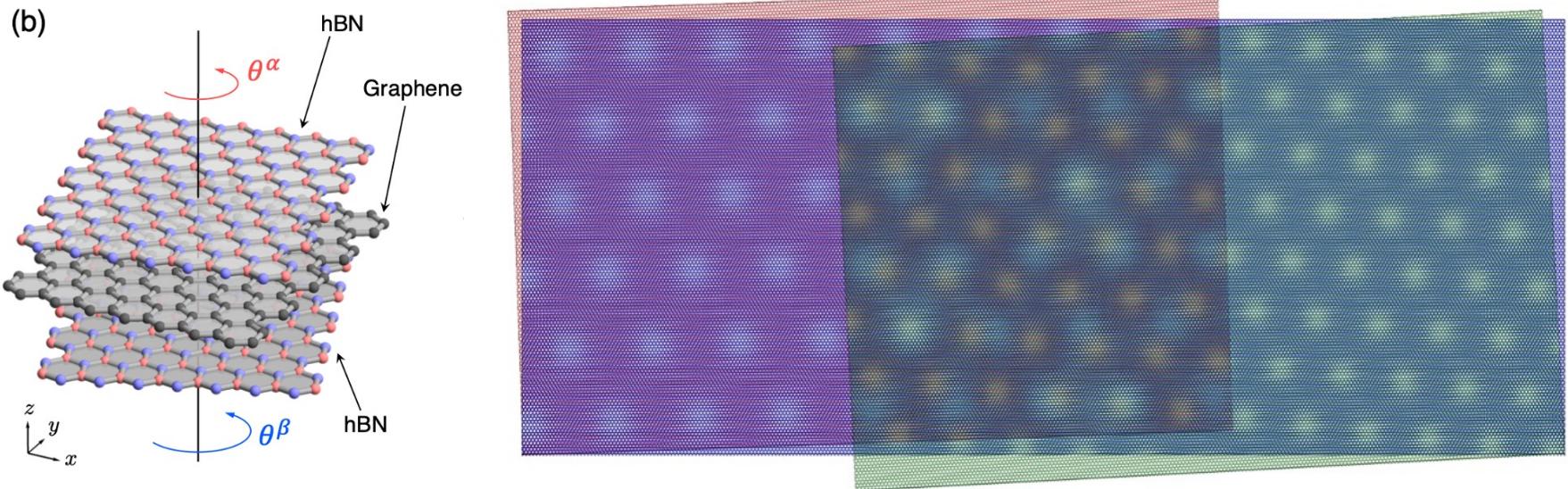


**1D bands = Topological boundary states between distinct Chern insulators  
(valley Hall insulators)**



# Topological gap labeling in moiré trilayers

# hBN / graphene / hBN trilayer



**Double moiré pattern**

Experiment:

N. R. Finney et al,

Nat. Nanotechnol. 14, 1029 (2019).

L. Wang, et al, Nano Lett. 19, 2371 (2019).

Z. Wang, et al., Sci. Adv. 5, eaay8897 (2019).

Y. Yang, et al., Sci. Adv. 6, eabd3655 (2020).

Theory:

Andelkovic, et al,

Nano Lett. 20, 979 (2020).

Leconte and J. Jung,

2D Mater. 7, 031005 (2020).

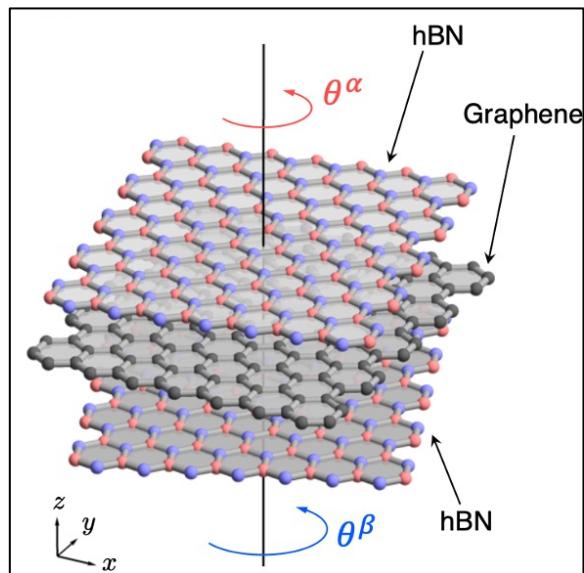
Oka and Koshino,

Phys. Rev. B 104, 035306 (2021).

# Energy spectrum of BN / graphene / BN

Oka and Koshino,  
Phys. Rev. B 104, 035306 (2021).

$$(\theta^\alpha, \theta^\beta) = (\theta, -\theta)$$

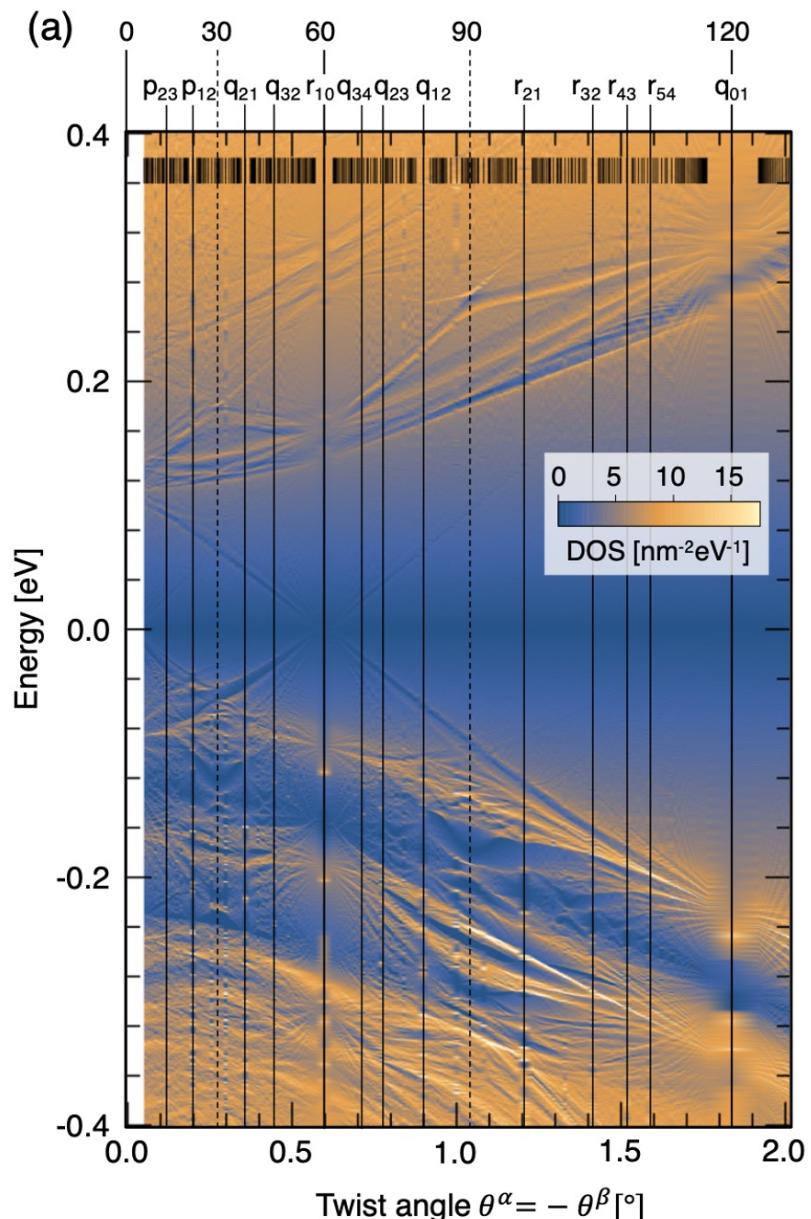


Interference of the two periodicities

→ **fractal gap structure**

like Hofstadter's butterfly

→ **Any topological characterization  
for energy gaps??**

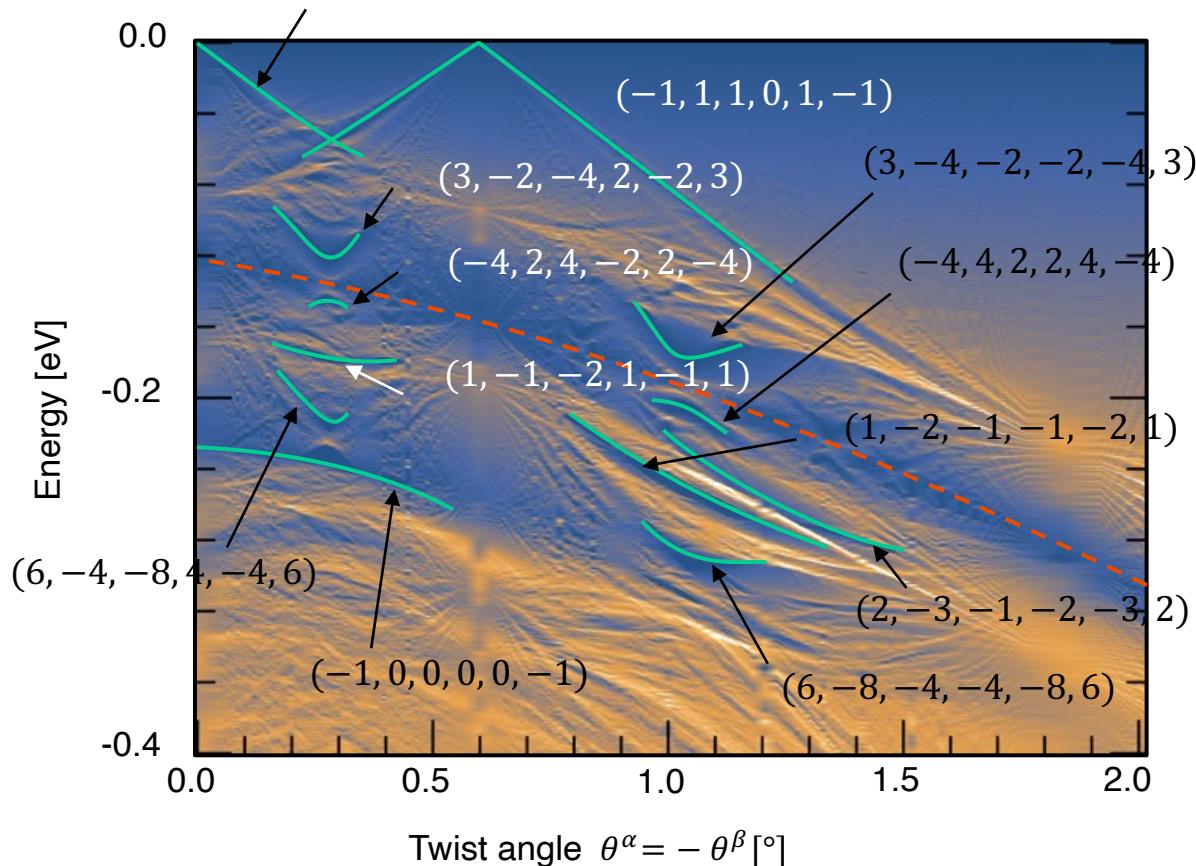


# Topological numbers for energy gaps

$$(\nu_{12}, \nu_{13}, \nu_{14}, \nu_{23}, \nu_{24}, \nu_{34})$$

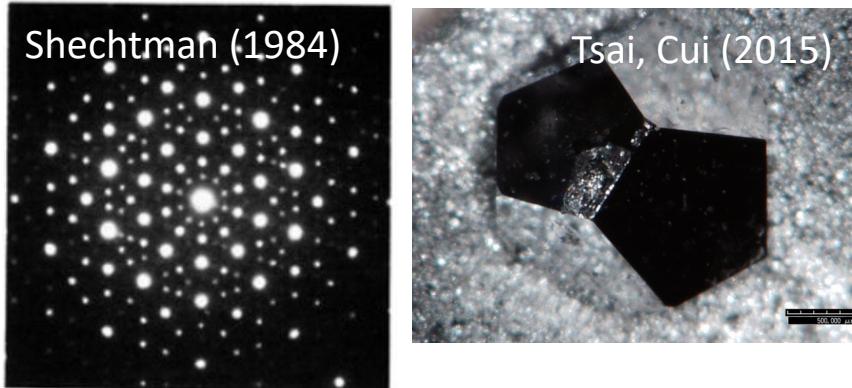
$$= (-1, 0, 1, -1, 0, -1)$$

Oka and Koshino,  
Phys. Rev. B 104, 035306 (2021);  
Koshino and Oka,  
Phys. Rev. Research 4, 013028 (2022)

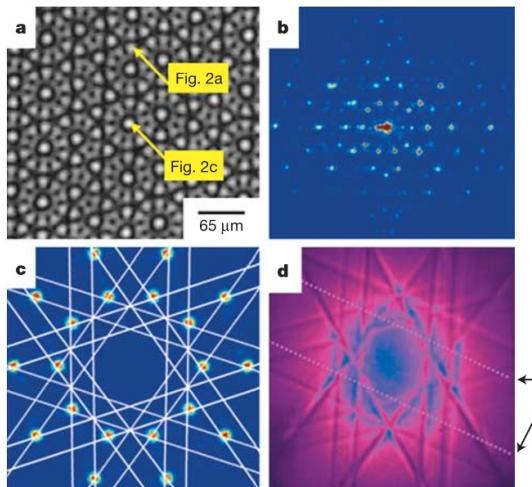


# Quasicrystals

## Alloy quasicrystals



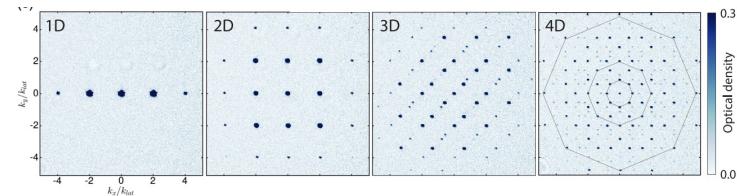
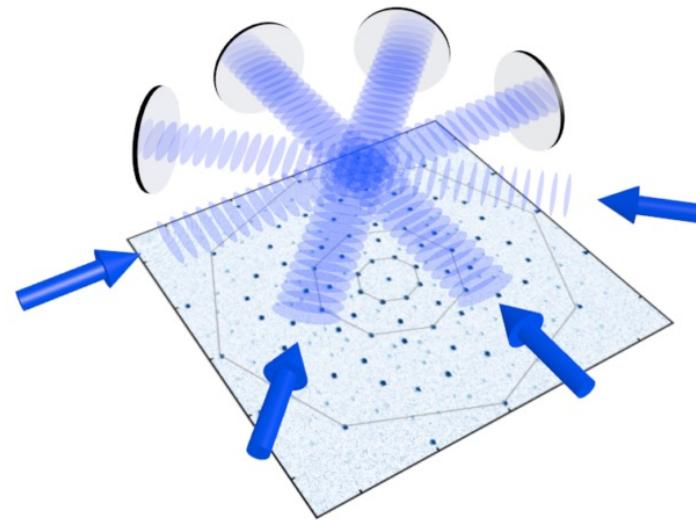
## Photonic quasicrystals



Freedman et al, Nature 440, 1166 (2006)

## Cold-atom optical lattice

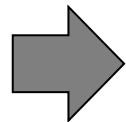
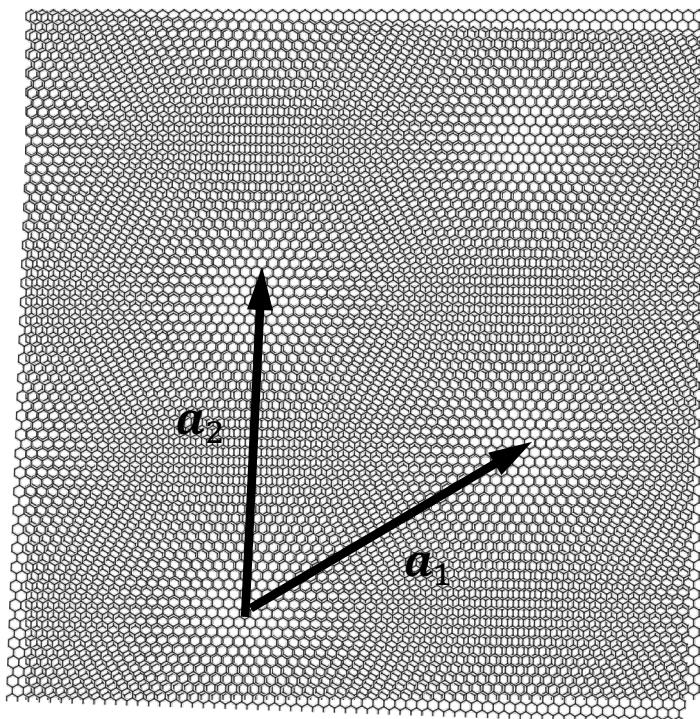
Viebahn et al, Phys. Rev. Lett. 122, 110404 (2019)



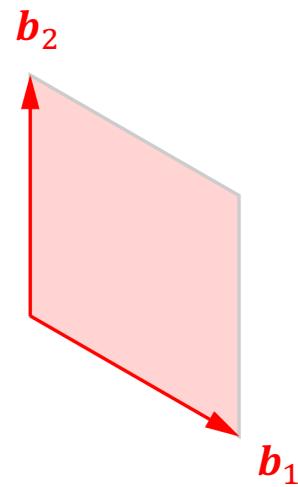
Quasicrystals =  
**Redundant lattice vectors**  
more than the spatial dimension

# Single moiré system $\approx$ crystal (periodic)

Real space

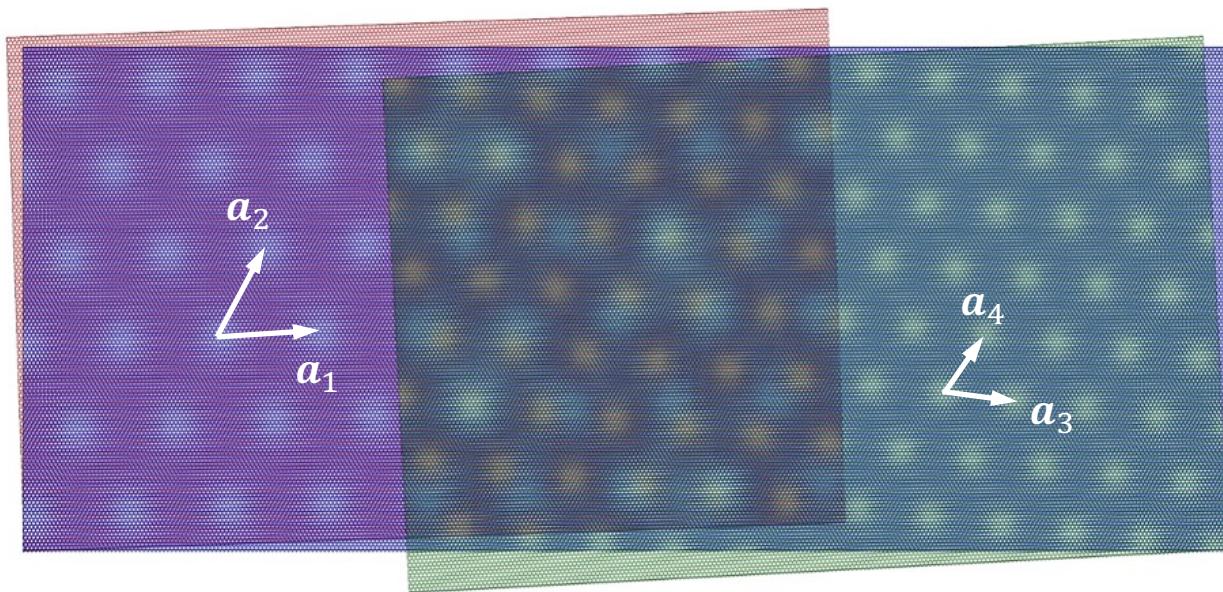


k-space  
(Brillouin zone)

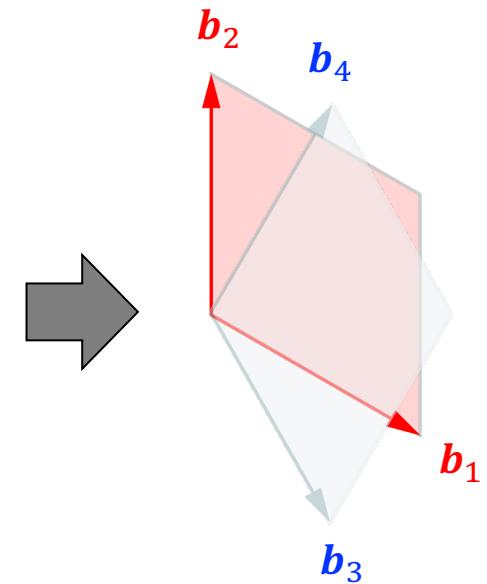


# Double moiré system = **quasicrystal**

Real space



k-space  
(Brillouin zone)



**Redundant lattice vectors**  
more than the spatial dimension

→ “moiré quasicrystal”

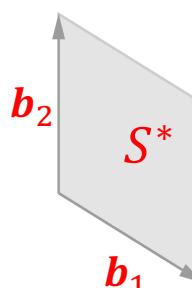
# Topological gap labeling in quasicrystals

Oka and Koshino,  
 Phys. Rev. B 104, 035306 (2021).  
 Koshino and Oka,  
 Phys. Rev. Res. 4, 013028 (2022)

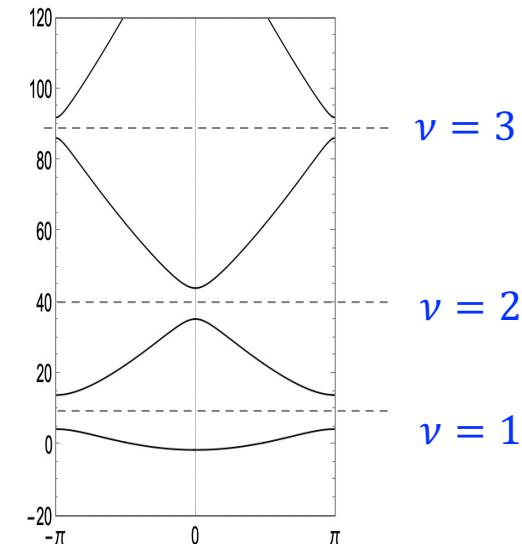
## Periodic system

$$n_e = \frac{1}{(2\pi)^2} \nu S^*$$

Brillouin zone area  
 $b_1 \times b_2$



Integer (# of occupied bands)

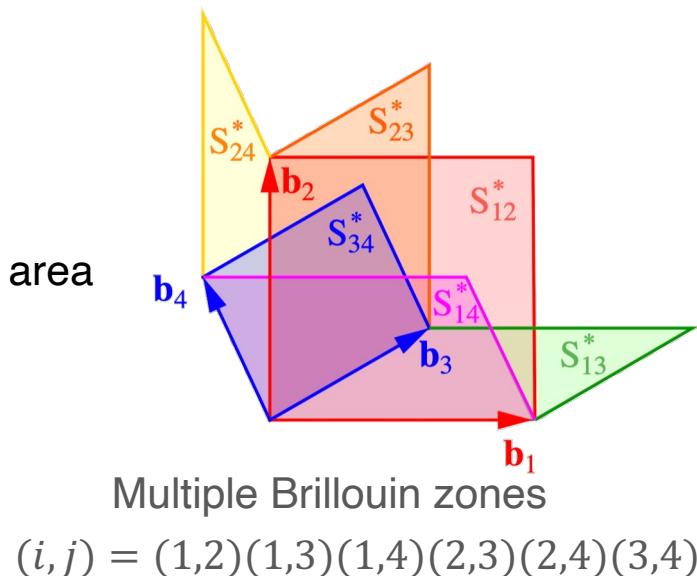


## Quasiperiodic system

$$n_e = \frac{1}{(2\pi)^2} \sum_{ij} \nu_{ij} S_{ij}^*$$

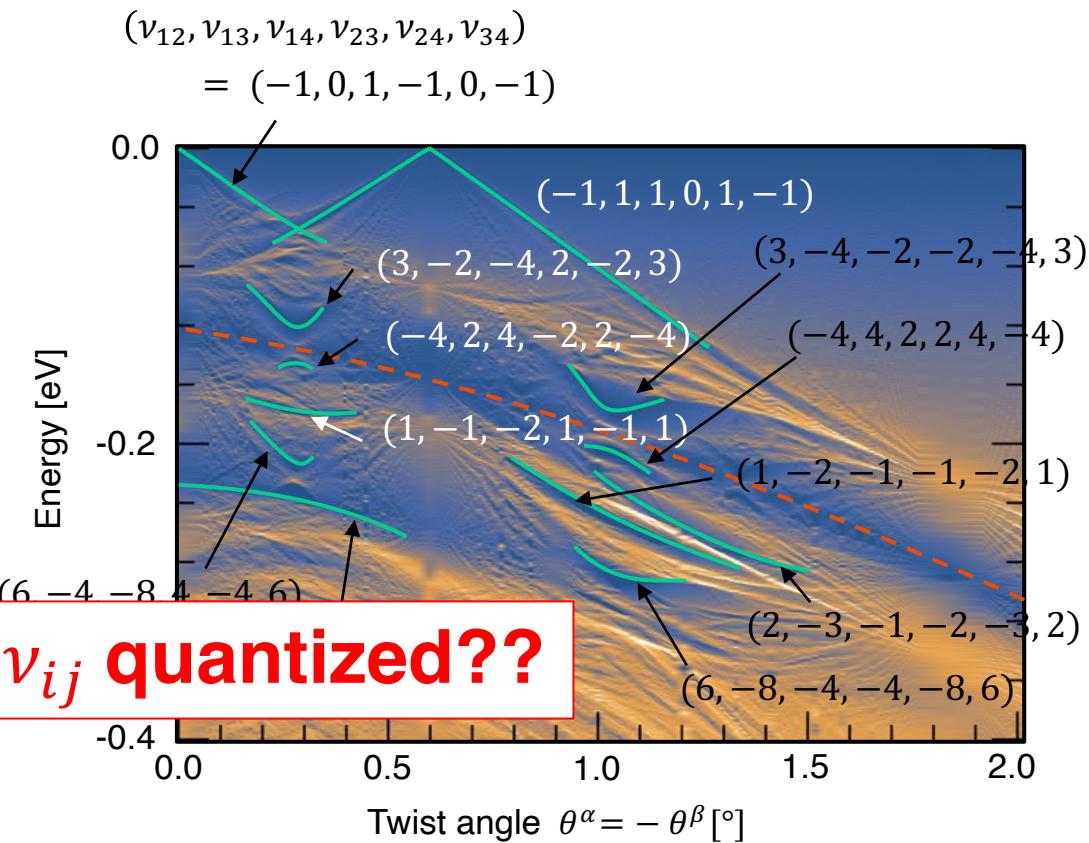
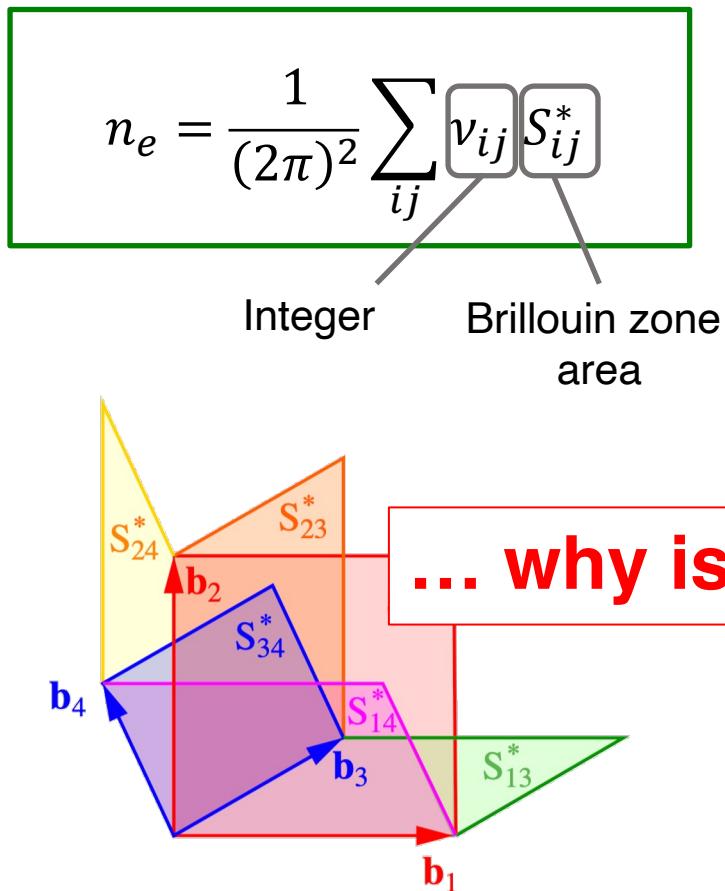
Brillouin zone area  
 $b_i \times b_j$

Integer



# Topological gap labeling in hBN / graphene / hBN

## Quasiperiodic system



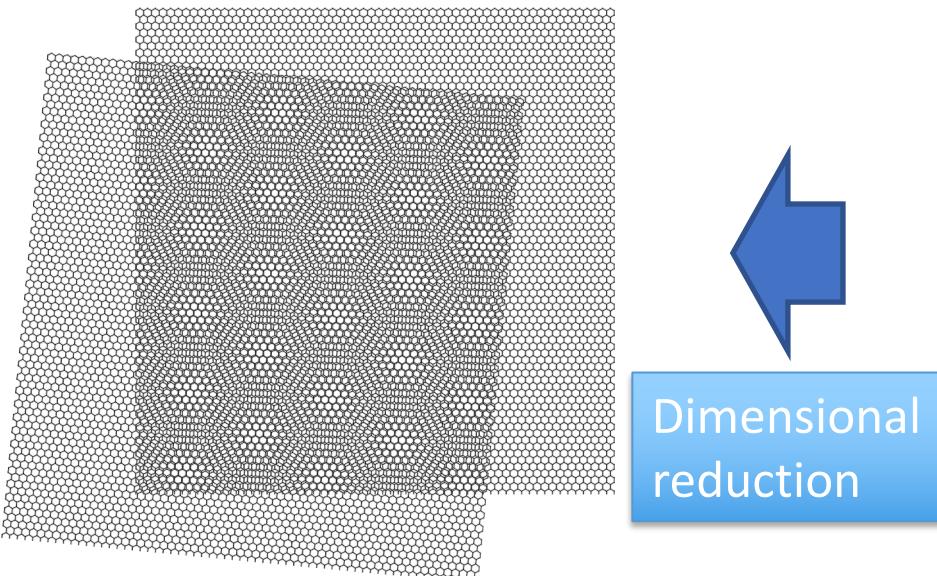
Oka and Koshino,  
 Phys. Rev. B 104, 035306 (2021);  
 Koshino and Oka,  
 Phys. Rev. Research 4, 013028 (2022)

# $\nu_{ij}$ = a second Chern number

Koshino and Oka,  
Phys. Rev. Research  
4, 013028 (2022)

## Adiabatic pumping in interlayer sliding

M. Fujimoto, H. Koschke, and M. Koshino,  
Phys. Rev. B 101, 041112 (2020).



movement of moiré pattern  
→ charge current

... quantized by  $\nu_{ij}$

## 4D Quantum Hall effect

### Electromagnetic response

$$j_\mu^{(4D)} = \frac{e^3}{h^2} C^{(2)} \epsilon^{\mu\nu\lambda\rho} B_{\nu\lambda} E_\rho$$

### Second Chern number

$$C^{(2)} = \frac{1}{4\pi^2} \int_{BZ} d^4k (F^{xy}F^{zw} + F^{xw}F^{zy} + F^{zx}F^{wy})$$

$$F^{\mu\nu} = i[\langle \partial_\mu u | \partial_\nu u \rangle - \langle \partial_\nu u | \partial_\mu u \rangle]$$

X.-L. Qi, T. L. Hughes, and S.-C. Zhang,  
Phys. Rev. B 78, 195424 (2008).

Y. E. Kraus, Z. Ringel, and O. Zilberberg,  
Phys. Rev. Lett. 111, 226401 (2013).

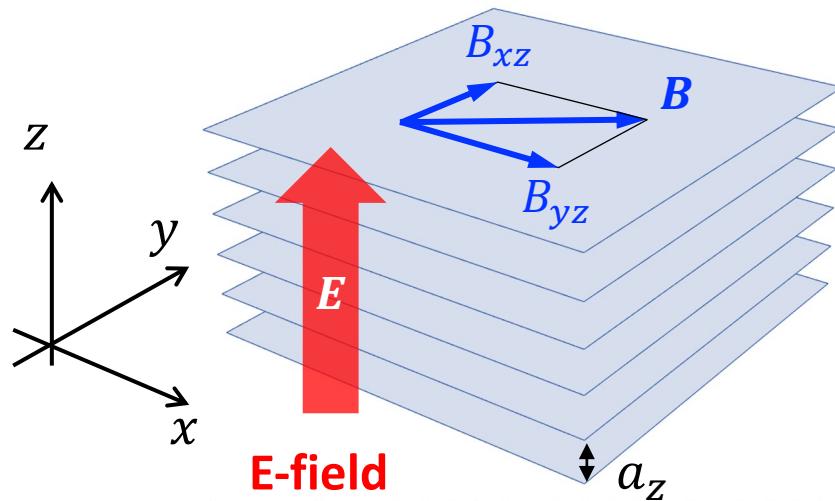
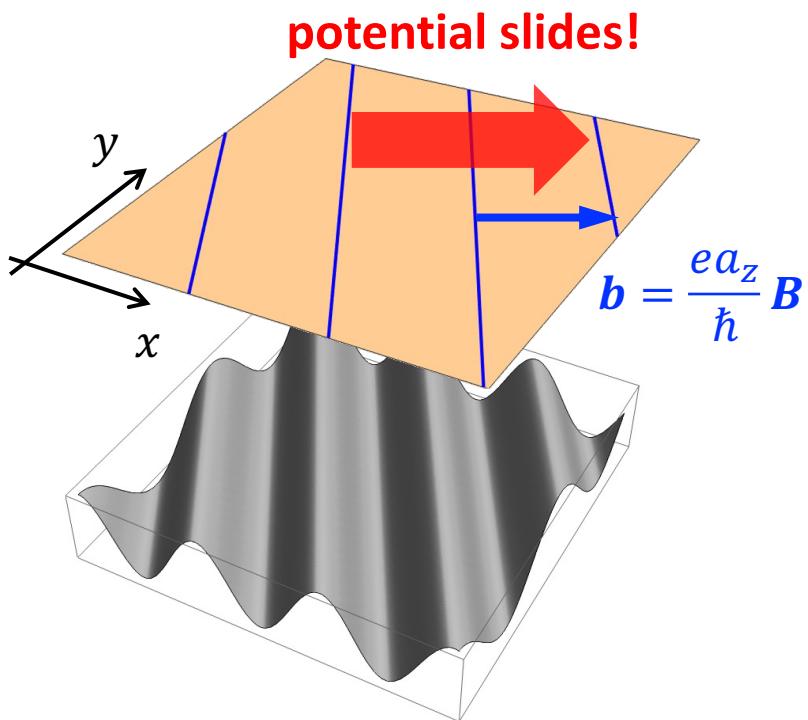
Cf. First Chern number:  $C^{(1)} = \frac{1}{2\pi} \int_{BZ} d^2k F^{xy}$

# Mapping to higher-dimensional system

Koshino and Oka,  
Phys. Rev. Research  
4, 013028 (2022)

2D system + a periodic potential

3D system + B field



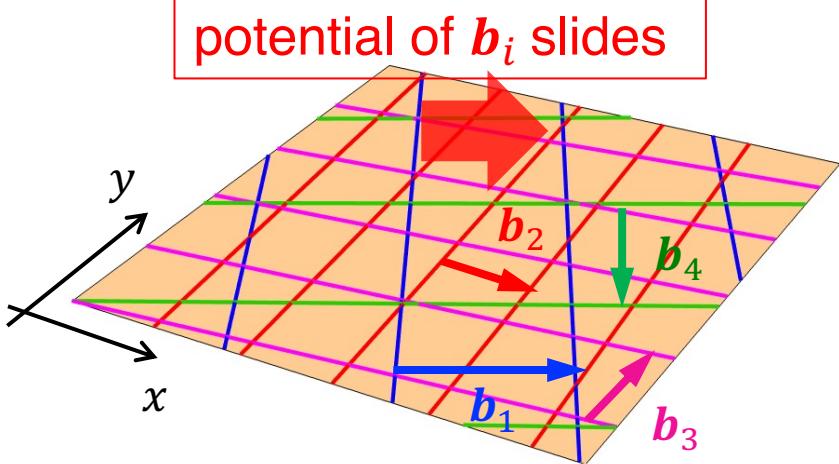
Adiabatic pumping

Quantum Hall effect

# Mapping to higher-dimensional system

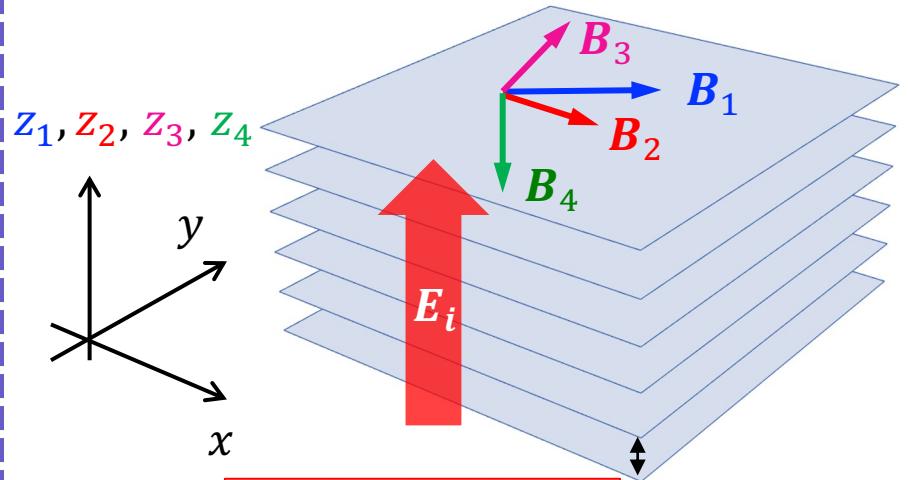
Koshino and Oka,  
Phys. Rev. Research  
4, 013028 (2022)

2D system + 4 periodic potentials



6D system + B field

$$\mathbf{B}_i = (B_{xi}, B_{yi}) \quad (i = 1, 2, 3, 4)$$



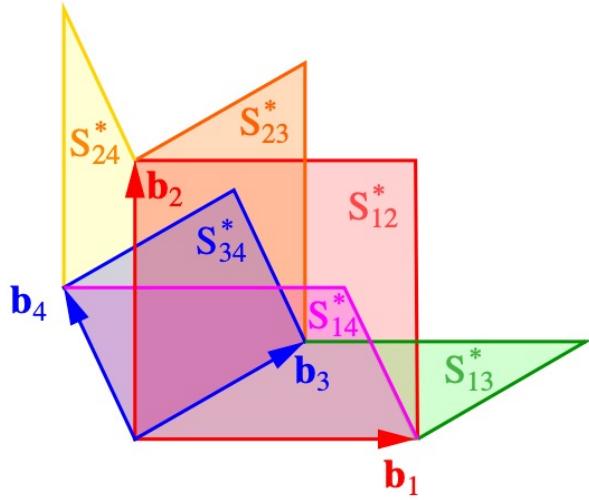
Adiabatic pumping

Quantum Hall effect

# Physical meaning of $\nu_{ij}$

Koshino and Oka,  
Phys. Rev. Research 4, 013028 (2022)

k-space

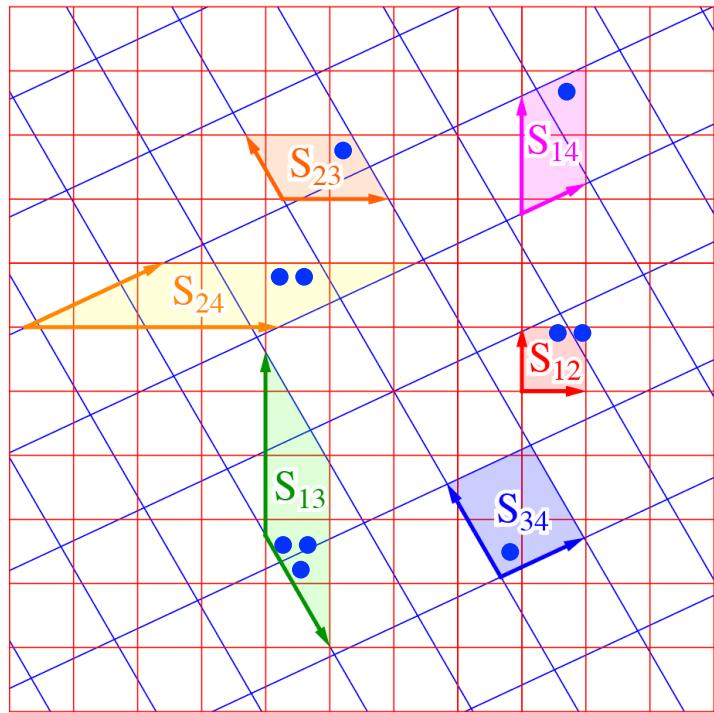


r-space

Real space unit cell

$$S_{ij} = \frac{(2\pi)^2}{S_{ij}^*}$$

$$n_e = \frac{1}{(2\pi)^2} \sum_{ij} \nu_{ij} S_{ij}^*$$



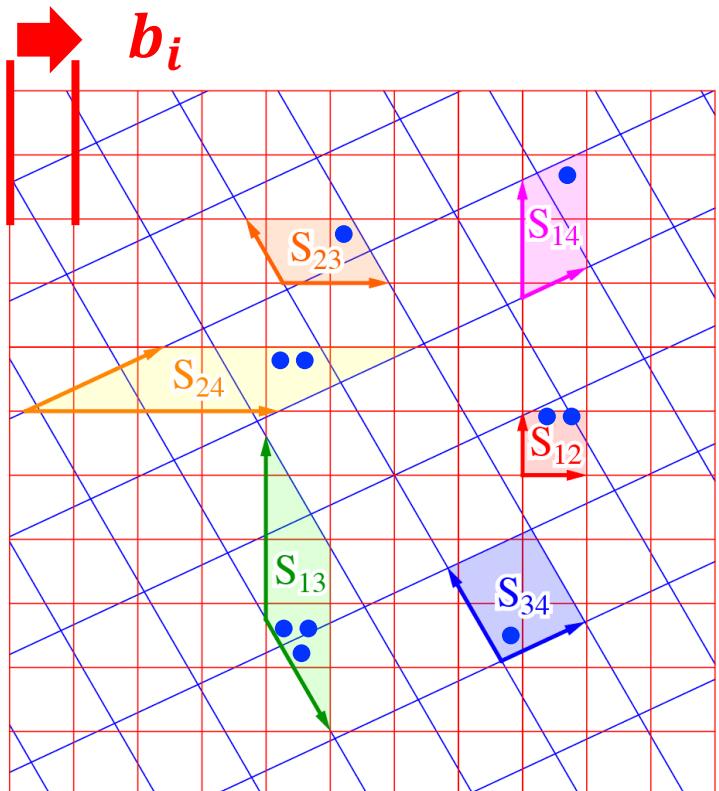
$$n_e = \sum_{ij} \frac{\nu_{ij}}{S_{ij}}$$

$\nu_{ij}$  electrons reside in every unit cell of  $S_{ij}$

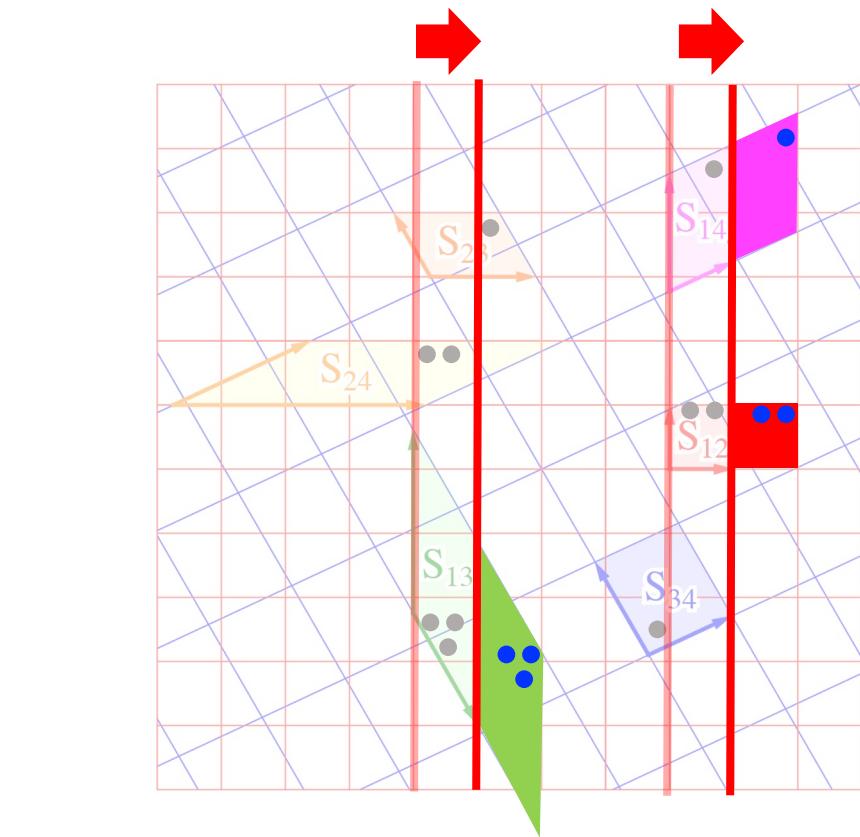
# Adiabatic charge pumping

Koshino and Oka,  
Phys. Rev. Research 4, 013028 (2022)

Charge pumping by sliding a single potential



$$n_e = \sum_{ij} \frac{\nu_{ij}}{S_{ij}}$$



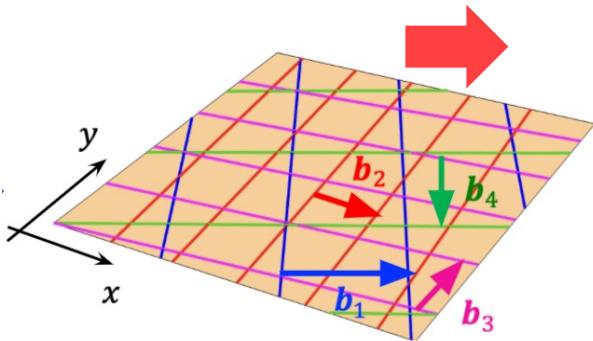
Charge shift:

$$\Delta P_i = \frac{1}{2\pi} \sum_j \nu_{ij} (\mathbf{b}_j \times \mathbf{e}_z)$$

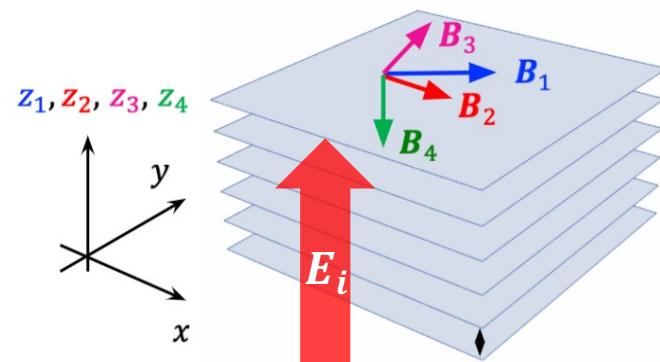
# Mapping to a high-dimensional system

Koshino and Oka,  
Phys. Rev. Research 4,  
013028 (2022)

2D quasi-periodic system



6D system + B field



Adiabatic charge pumping  
in potential sliding

$$\Delta P_i = \frac{1}{2\pi} \sum_j \boxed{v_{ij}} (\mathbf{b}_j \times \mathbf{e}_z)$$

Electromagnetic response  
in  $(x, y, z_i, z_j)$ -subspace (4D QHE)

$$\mathbf{j}^{(4D)} = \frac{1}{4\pi^2} \boxed{C_{ij}^{(2)}} E_i (\mathbf{B}_j \times \mathbf{e}_z)$$

Second Chern number

Quantization of  
electron density

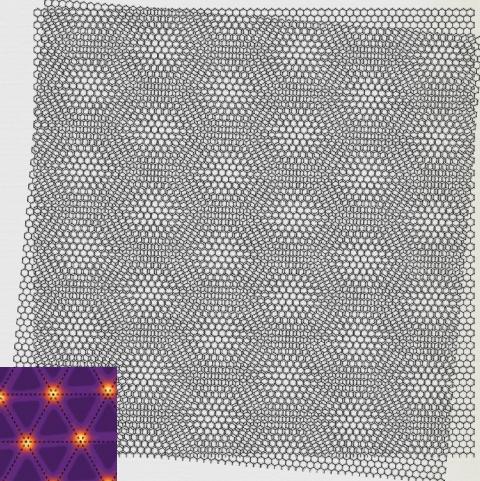
$$v_{ij} = C_{ij}^{(2)}$$

Quantization of  
4D Hall conductivity

# Summary: Physics of moiré materials & beyond

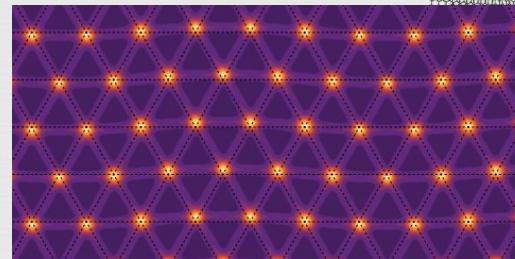
## Twisted bilayer graphene

- Moiré electron bands and continuum model



## Moiré phonons

- Vibration of “moiré atoms”



## Moiré trilayer systems

- Moiré-of-moiré domain
- Topological gap labelling with 2nd Chern number

