

# **THERMALIZATION UNIVERSALITY CLASSES**

**of weakly nonintegrable many-body systems**

**Sergej FLACH**

**Center for Theoretical Physics of Complex Systems**  
**Institute for Basic Science**  
**Daejeon South Korea**

- **terminology and motivation**
- **network classes**
- **measuring thermalization**

# People

David  
Campbell



Carlo  
Danieli



Mithun  
Thudiyangal



Yagmur  
Kati



Alexander  
Cherny



Thomas  
Engl



Mikhail  
Fistul



Boris  
Altshuler



Merab  
Malishava



Weihua  
Zhang



Barbara  
Dietz



Emil  
Yuzbashyan



Gabriel  
Lando



Aniket  
Patra



## Goals

**Thermalization dynamics slowing down of many body system  
in proximity to integrable limit:**

- Use unique action-angle coordinates
- Identify different classes of nonintegrable perturbations networks
- Quantify thermalization process
- Identify novel dynamical regimes

## Measuring Thermalization : measuring time scales !

- Measure dynamics of observables
  - Measure and compare their time averages with ensemble averages
  - Extract time scales
- 
- Measure Lyapunov spectra
  - Invert to obtain Lyapunov times

## Approaching integrable limits

- Time scales will diverge (length scales perhaps as well)
- How will they diverge? How many will diverge? Which ones will diverge?
- Are there different universality classes?
- Can we observe and compute critical exponents?
- Are there further universal quantities?

## Nutshell summary

**Thermalization of macroscopic systems**

**Typical systems are nonintegrable**

**Systems can be tuned close to integrable limits**

*We studied ergodicity and mixing in ordered and disordered lattices*

*We found two different classes of weak nonintegrability*

*LRN: one diverging time scale controls all thermalization dynamics*

*SRN: one diverging time scale and one diverging (length) scale control the thermalization dynamics*

*SRN: dramatical slowing down of thermalization*

## Nutshell summary

Thermalization of macroscopic systems

Typical systems are nonintegrable

Systems can be tuned close to integrable limits

We studied ergodicity and mixing in translationally invariant lattices

We found two different classes of weak nonintegrability

LRN: ordered systems

weak nonlinearity (weak two-body interaction)  
all to all nonintegrable interaction between  
conserved quantities

SRN: weak finite range lattice coupling/hopping

short range nonintegrable interaction between  
conserved quantities

and also

disordered systems and weak nonlinearity (weak two-body int.)

# ERGODICITY: Finite time average distribution evolution of action observables

Observables: the actions  $J(t)$  of the corresponding integrable limit

Do their infinite time averages equal ensemble averages?

We don't have infinite time at our disposal!

Finite time averages (FTA)

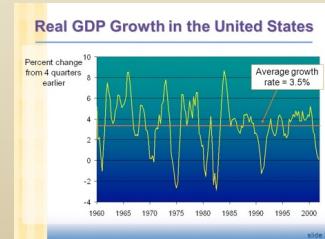
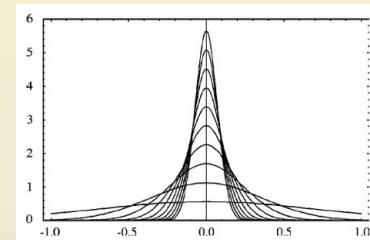
FTA distributions must tend to delta functions for infinite times

Convergence for large averaging times

Extract time scales from that convergence

Note: we do not need to know the ensemble average!

Alternative route: if you know the ensemble average, compute the average of the distance between times when  $J(t_n)=\langle J \rangle$



# ERGODICITY: Finite time average distribution evolution of action observables

RAPID COMMUNICATIONS

PHYSICAL REVIEW E **95**, 060202(R) (2017)

## Intermittent many-body dynamics at equilibrium

C. Danieli,<sup>1,2</sup> D. K. Campbell,<sup>3</sup> and S. Flach<sup>2,1</sup>

PHYSICAL REVIEW LETTERS **122**, 054102 (2019)

## Dynamical Glass and Ergodization Times in Classical Josephson Junction Chains

Thudiyangal Mithun,<sup>1</sup> Carlo Danieli,<sup>1</sup> Yagmur Kati,<sup>1,2</sup> and Sergej Flach<sup>1</sup>

PHYSICAL REVIEW E **100**, 032217 (2019)

## Dynamical glass in weakly nonintegrable Klein-Gordon chains

Carlo Danieli,<sup>1</sup> Thudiyangal Mithun,<sup>1</sup> Yagmur Kati,<sup>1,2</sup> David K. Campbell,<sup>3</sup> and Sergej Flach<sup>1,4</sup>

PHYSICAL REVIEW E **104**, 014218 (2021)

## Fragile many-body ergodicity from action diffusion

Thudiyangal Mithun<sup>1,2</sup>, Carlo Danieli<sup>1,3,2</sup>, M. V. Fistul,<sup>2,4,5</sup> B. L. Altshuler,<sup>6,2</sup> and Sergej Flach<sup>2,7</sup>

Chaos

ARTICLE

[scitation.org/journal/cha](https://scitation.org/journal/cha)

## Thermalization dynamics of macroscopic weakly nonintegrable maps

Cite as: Chaos **32**, 063113 (2022); doi: [10.1063/5.0092032](https://doi.org/10.1063/5.0092032)

Submitted: 20 March 2022 · Accepted: 16 May 2022 ·

Published Online: 3 June 2022



Merab Malishava<sup>1,2,a</sup>  and Sergej Flach<sup>1,2,a</sup>

# ERGODICITY: Finite time average distribution evolution of action observables

## Open Issues

Numerical implementation very demanding

Ambiguity in observable choice

Can be fooled by law of large numbers

Even integrable systems are ergodic, but not mixing!

Lack of aesthetic satisfaction

# The Lyapunov Spectrum

**Number of Lyapunov exponents = phase space dimension**

**Lyapunov exponents come in  $\pm\lambda$  pairs**

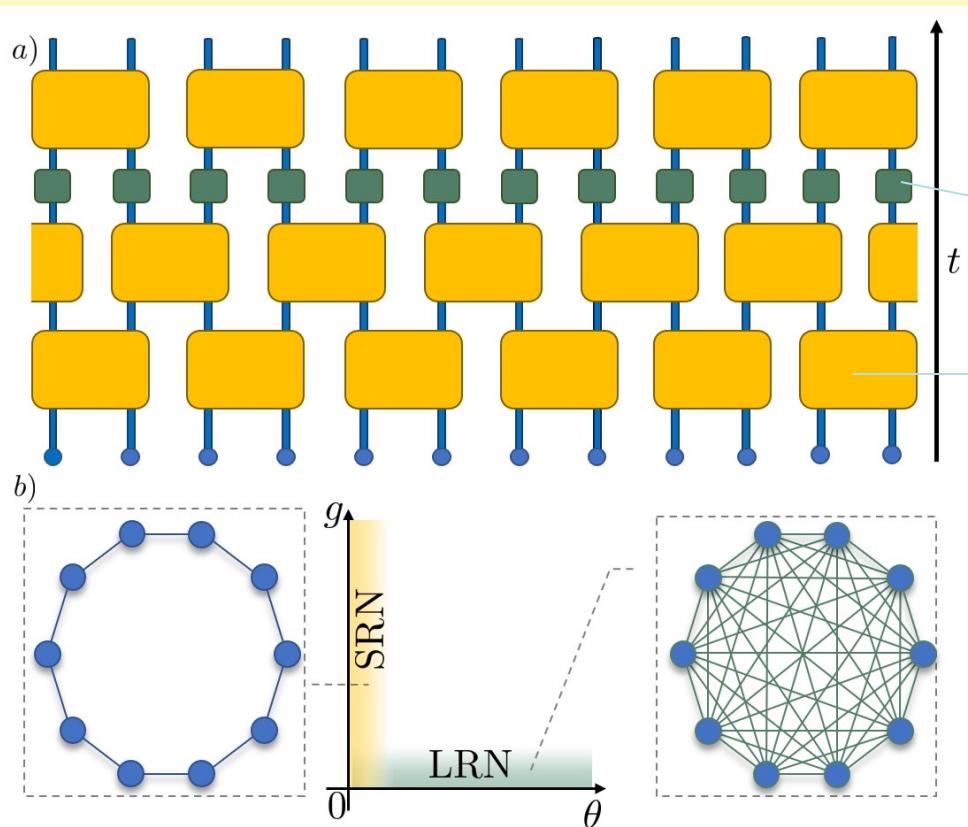
**Per each integral of motion: two zero Lyapunov exponents**

TITLE	CITED BY	YEAR
Lyapunov characteristic exponents for smooth dynamical systems and for Hamiltonian systems; a method for computing all of them. Part 1: Theory G Benettin, L Galgani, A Giorgilli, JM Strelcyn Meccanica 15 (1), 9-20	2377	1980

# Unitary Circuits for Thermalization



Merab Malishava, SF  
PRL 128 134102 (2022)  
Chaos 32 063113 (2022)



$$\hat{U} = \left( \prod_{n=1}^N \hat{D}_n \hat{G}_n \right) \left( \prod_{n \text{ odd}} \hat{C}_n \right) \left( \prod_{n \text{ even}} \hat{C}_n \right)$$

$$\hat{G}_n = e^{ig|\psi_n|^2} |n\rangle\langle n|$$

$$\hat{C}_{n,n+1} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$\vec{\Psi}(t+1) = \hat{U} \vec{\Psi}(t)$$

$$g \rightarrow 0 : \text{LRN}$$

$$\theta \rightarrow 0 : \text{SRN}$$

- Fast numerical evolution due to parallelization
- No time discretization roundoff errors (except for roundoff errors)
- Versatile, highly efficient unitary map toolbox for long time evolution

## Unitary Circuits for Thermalization

$$\vec{\Psi}(t) = \{\psi_n^A(t), \psi_n^B(t)\}_{n=1}^{N/2}$$

$$\begin{aligned}\alpha_n^A(t) &\equiv \cos^2 \theta \psi_n^A(t) - \cos \theta \sin \theta \psi_{n-1}^B(t) \\ &+ \sin^2 \theta \psi_{n-1}^A(t) + \cos \theta \sin \theta \psi_n^B(t)\end{aligned}$$

$$\begin{aligned}\alpha_n^B(t) &\equiv \sin^2 \theta \psi_{n+1}^B(t) - \cos \theta \sin \theta \psi_n^A(t) \\ &+ \cos^2 \theta \psi_n^B(t) + \cos \theta \sin \theta \psi_{n+1}^A(t) .\end{aligned}$$

$$\begin{aligned}\psi_n^A(t+1) &= e^{ig|\alpha_n^A|^2} [\cos^2 \theta \psi_n^A(t) - \cos \theta \sin \theta \psi_{n-1}^B(t) \\ &+ \sin^2 \theta \psi_{n-1}^A(t) + \cos \theta \sin \theta \psi_n^B(t)]\end{aligned}$$

$$\begin{aligned}\psi_n^B(t+1) &= e^{ig|\alpha_n^B|^2} [\sin^2 \theta \psi_{n+1}^B(t) - \cos \theta \sin \theta \psi_n^A(t) \\ &+ \cos^2 \theta \psi_n^B(t) + \cos \theta \sin \theta \psi_{n+1}^A(t)]\end{aligned}$$

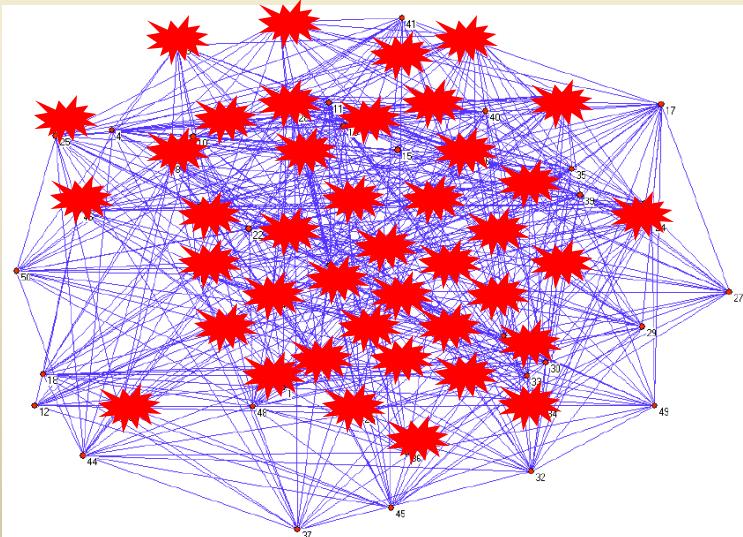
## Long Range Network (small g)

$$\left(\psi_n^A(t),\psi_n^B(t)\right)^T = e^{-i(\omega_k t - kn)} \left(\psi_k^A,\psi_k^B\right)^T \quad \vec{\Psi}(t) = \sum_k c_k^r(t) \vec{\Psi}_k^r$$

$$\omega(k)=\pm\arccos\left(\cos^2\theta+\sin^2\theta\cos k\right)$$

$$c_k^r(t+1) = e^{i\omega_k} c_k^r(t) + \frac{ig}{N} \sum_{\substack{r_1,r_2,r_3 \\ k_1,k_2,k_3}} e^{i(\omega_{k_1}^{r_1} + \omega_{k_2}^{r_2} - \omega_{k_3}^{r_3})} I_{k,k_1,k_2,k_3}^{r,r_1,r_2,r_3} c_{k_1}^{r_1}(t) c_{k_2}^{r_2}(t) \left(c_{k_3}^{r_3}(t)\right)^*$$

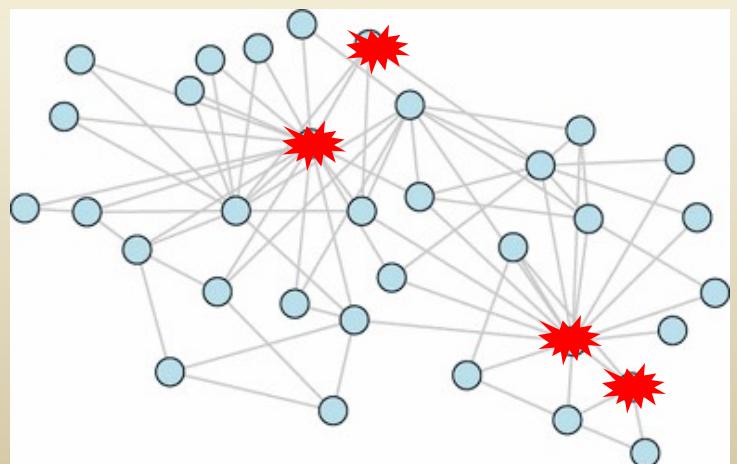
$$I_{k,k_1,k_2,k_3}^{r,r_1,r_2,r_3} = \delta_{k_1+k_2-k_3-k,0} \sum_p \psi_{k_1}^{r_1,p} \psi_{k_2}^{r_2,p} (\psi_{k_3}^{r_3,p})^* (\psi_k^{r,p})^*.$$



## Short Range Network (small $\Theta$ )

$$\psi_n^A(t+1) = e^{ig|\alpha_n^A|^2} [\psi_n^A(t) - \theta(\psi_{n-1}^B(t) - \psi_n^B(t))]$$

$$\psi_n^B(t+1) = e^{ig|\alpha_n^B|^2} [\psi_n^B(t) + \theta(\psi_{n+1}^A(t) - \psi_n^A(t))].$$



# Unitary Circuits for Thermalization



Merab Malishava, SF  
PRL 128 134102 (2022)  
Chaos 32 063113 (2022)

- initial state: random local phases, Gibbs-distributed local norm
- run the trajectory
- add small perturbation, linearize and obtain the tangent map
- run  $2N$  trajectories in the tangent map TM
- TM1: obtain LLE
- TM2: project  $\perp$  to TM1 and obtain 2<sup>nd</sup> largest LE
- ... and so on

$N$  DoF (sites),  $2N$  sorted LEs:  $\Lambda_i > \Lambda_j$  with  $\{i < j\} \in 1, \dots, 2N$

total norm conserved:  $\Lambda_N = \Lambda_{N+1} = 0$

unitary evolution:  $\Lambda_{i < N} = -\Lambda_{2N-i+1}$

LLE :  $\Lambda_1$

Irreducible normalized LEs:  $\bar{\Lambda}(\rho) = \Lambda_i / \Lambda_1$ ,  $\rho = i/N$

## Fitting the rescaled LS

$$\bar{\lambda}(\rho) = (1 - \rho^\alpha)e^{-\beta\rho}$$

**Rescaled Kolmogorov-Sinai Entropy Density:  
an easy to use class quantifier**

$$\kappa = \int_0^1 \bar{\lambda}(\rho) d\rho = \frac{1}{\beta} (1 - e^{-\beta}) + \beta^{1-\alpha} (\Gamma_{ui}(1+\alpha, \beta) - \Gamma(1+\alpha))$$

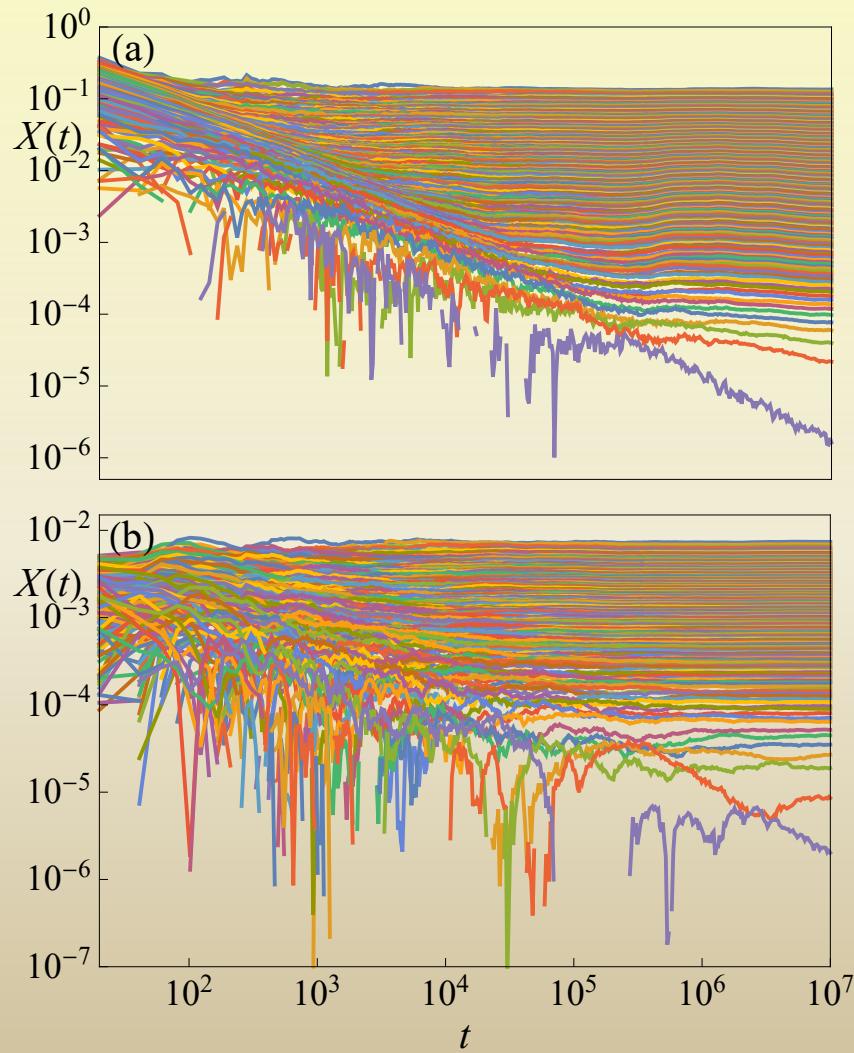
(upper incomplete Gamma function)

# Unitary Circuits for Thermalization

Merab Malishava, SF  
PRL 128 134102 (2022)  
Chaos 32 063113 (2022)



raw data:

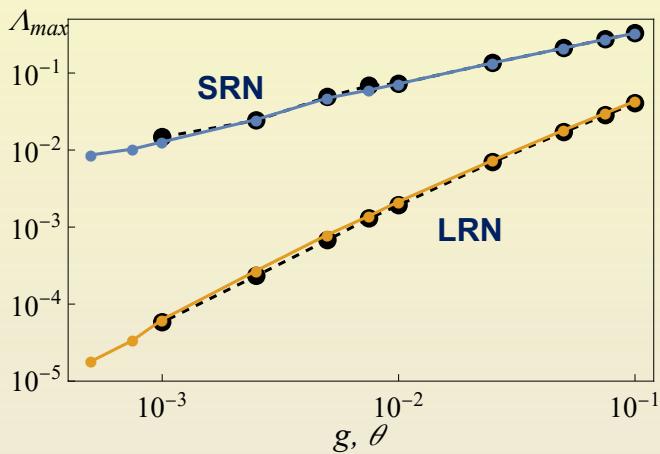


# Unitary Circuits for Thermalization



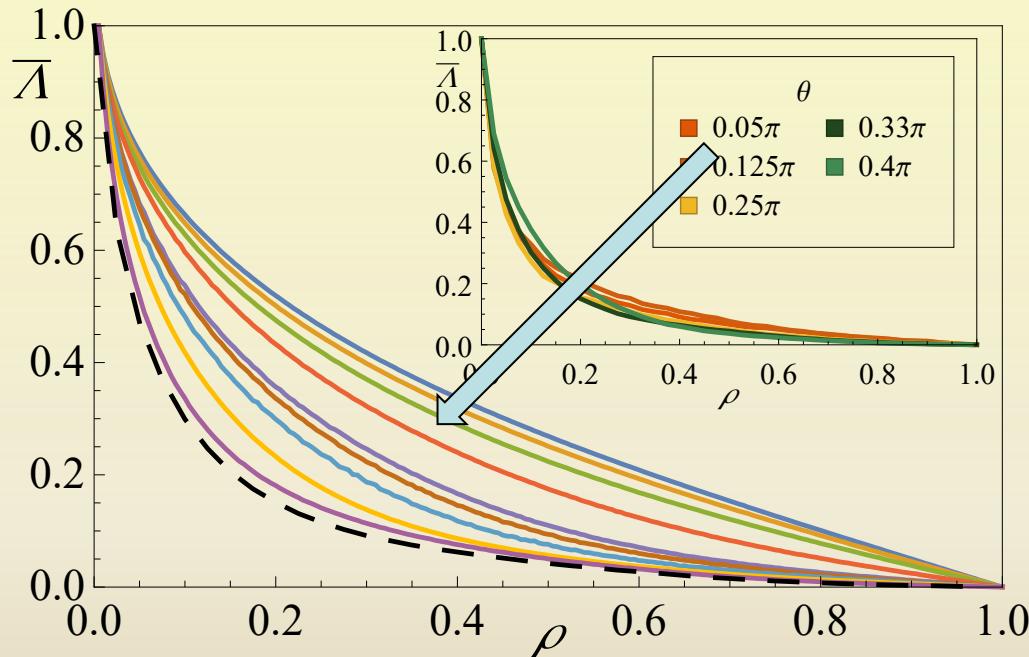
Merab Malishava, SF  
PRL 128 134102 (2022)  
Chaos 32 063113 (2022)

## processed data: LLE





## LRN, one diverging scale



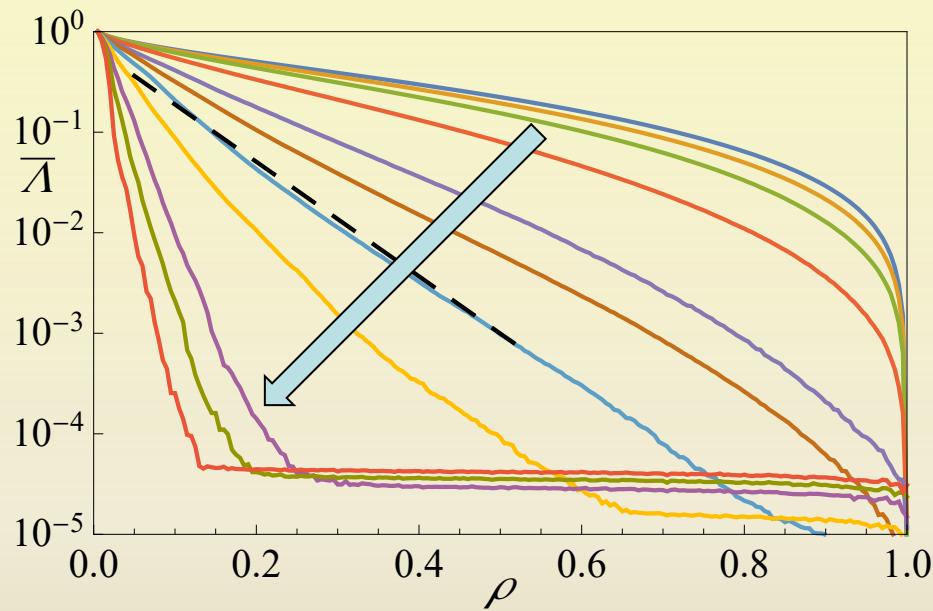
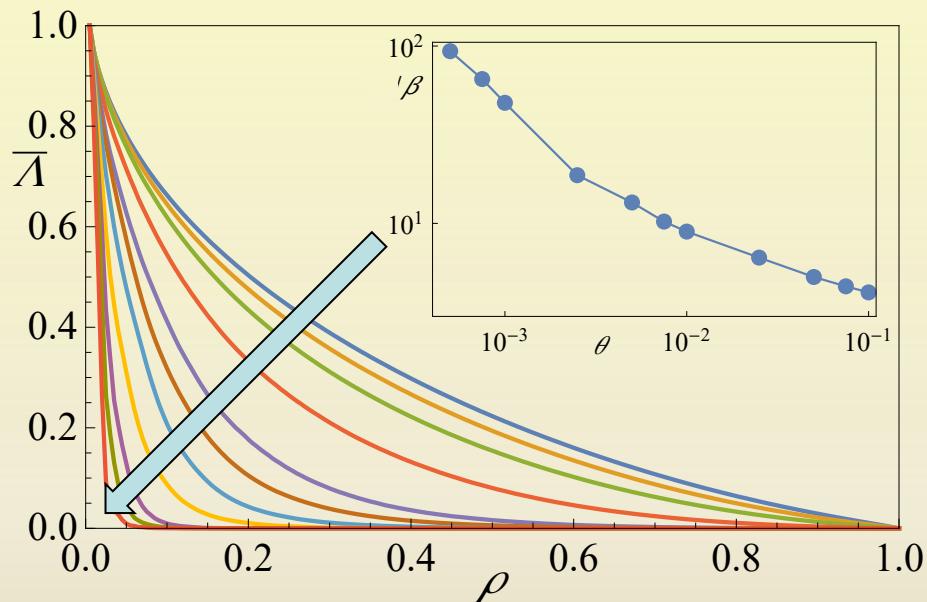
$\bar{\Lambda}(\rho)$ : analytic function in the LRN limit

$$\bar{\lambda}(\rho) = (1 - \rho^\alpha)e^{-\beta\rho}$$

$\alpha$ ,  $\beta$  and  $\kappa$  stay constant nonzero for  $g \rightarrow 0$



## SRN, two diverging scales



$\bar{\Lambda}(\rho)$ : non-analytic function in the SRN limit

$$\bar{\lambda}(\rho) = (1 - \rho^\alpha)e^{-\beta\rho}$$

$\beta$  diverges and  $\kappa$  vanishes for  $\theta \rightarrow 0$

# Josephson junction networks in d=1,2,3



Gabriel Lando, SF  
arXiv:2306.14398

$$H = \sum \frac{p_n^2}{2} + E_J[1 - \cos(q_n - q_{n-1})]$$

**Number of sites (volume) : N**

**Energy density:  $h = H/N$**



Josephson junction network, energy density  $h$ :  $h/E_J \ll 1$

$$H = \sum \frac{p_n^2}{2} + E_J [1 - \cos(q_n - q_{n-1})]$$

$$H_0 = \sum \frac{p_n^2}{2} + \frac{E_J}{2} (q_n - q_{n-1})^2 : \text{harmonic chain}$$

$$H_1 = -\frac{E_J}{4} \sum (q_n - q_{n-1})^4 : \text{quartic anharmonicity}$$

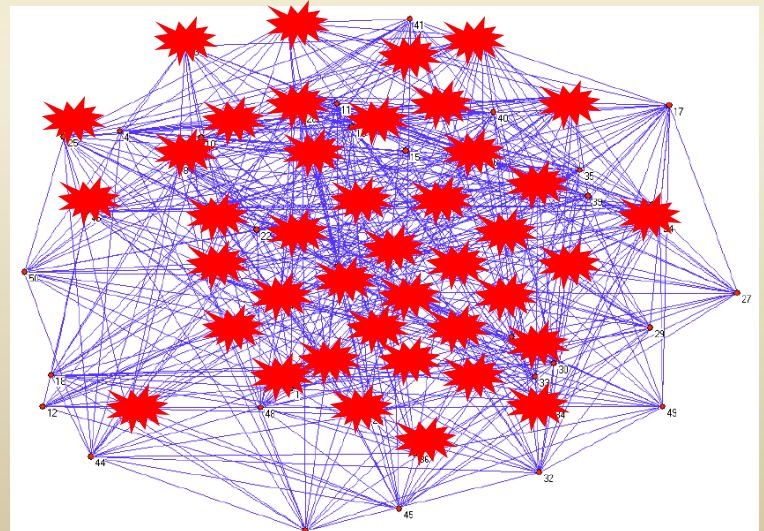
$$L = 3, R \sim N^2$$

→ Long Range Network

**long range network:**

$$Q_q = \sqrt{2J_q} \sin \Theta_q, P_q = \omega_q \sqrt{2J_q} \cos \Theta_q$$

$$j_q = -E_J \sum_{q_1, q_2, q_3} \omega_{q_1} \omega_{q_2} \omega_{q_3} A_{q, q_1, q_2, q_3} \sqrt{J_q J_{q_1} J_{q_2} J_{q_3}} \cos \Theta_q \sin \Theta_{q_1} \sin \Theta_{q_2} \sin \Theta_{q_3}$$



# Long Range Network



Gabriel Lando, SF  
arXiv:2306.14398

- exists due to weak nonlinear all-to-all interactions between normal modes
- nonintegrable nonlinearity local in real space, normal modes extended

long range network:

$$Q_q = \sqrt{2J_q} \sin \Theta_q , \quad P_q = \omega_q \sqrt{2J_q} \cos \Theta_q$$

$$\dot{J}_q = -E_J \sum_{q_1, q_2, q_3} \omega_{q_1} \omega_{q_2} \omega_{q_3} A_{q, q_1, q_2, q_3} \sqrt{J_q J_{q_1} J_{q_2} J_{q_3}} \cos \Theta_q \sin \Theta_{q_1} \sin \Theta_{q_2} \sin \Theta_{q_3}$$

# Short Range Network



Gabriel Lando, SF  
arXiv:2306.14398

Josephson junction network,  $h/E_J \gg 1$

$$H = \sum \frac{p_n^2}{2} + E_J[1 - \cos(q_n - q_{n-1})]$$

$$H_0 = \sum \frac{p_n^2}{2} : \text{free rotors}$$

$$H_1 = E_J \sum [1 - \cos(q_n - q_{n-1})] : \text{nearest neighbour coupling}$$

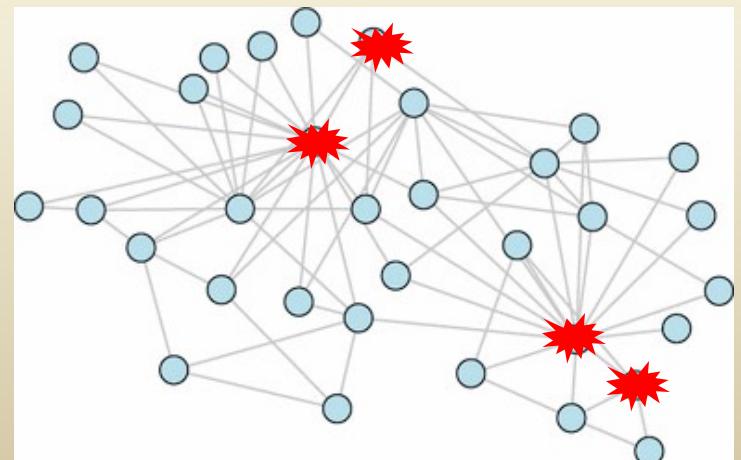
$$L = 1, R = 2$$

→ Short Range Network

**short range network:**

$$q_n = \Theta_n, p_n = J_n$$

$$\dot{J}_n = -E_J (\sin(\Theta_n - \Theta_{n-1}) + \sin(\Theta_n - \Theta_{n+1}))$$



## Short Range Network



Gabriel Lando, SF  
arXiv:2306.14398

→ exists due to underlying lattice model structure

→ nonintegrable lattice coupling is local, rotor actions are local

short range network:

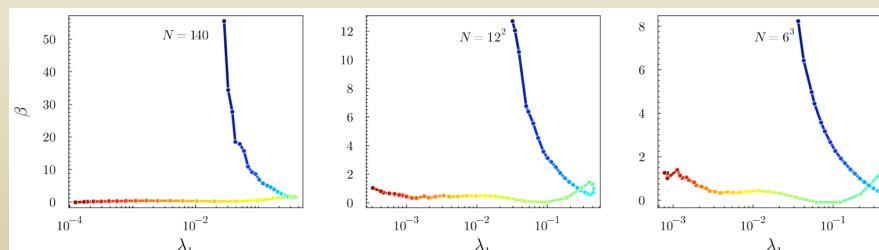
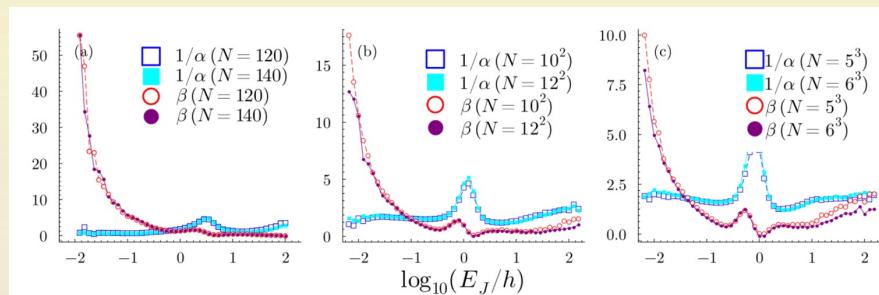
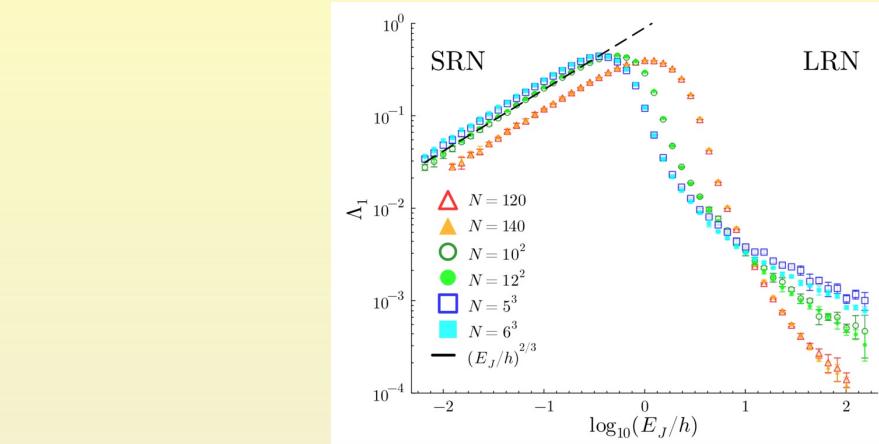
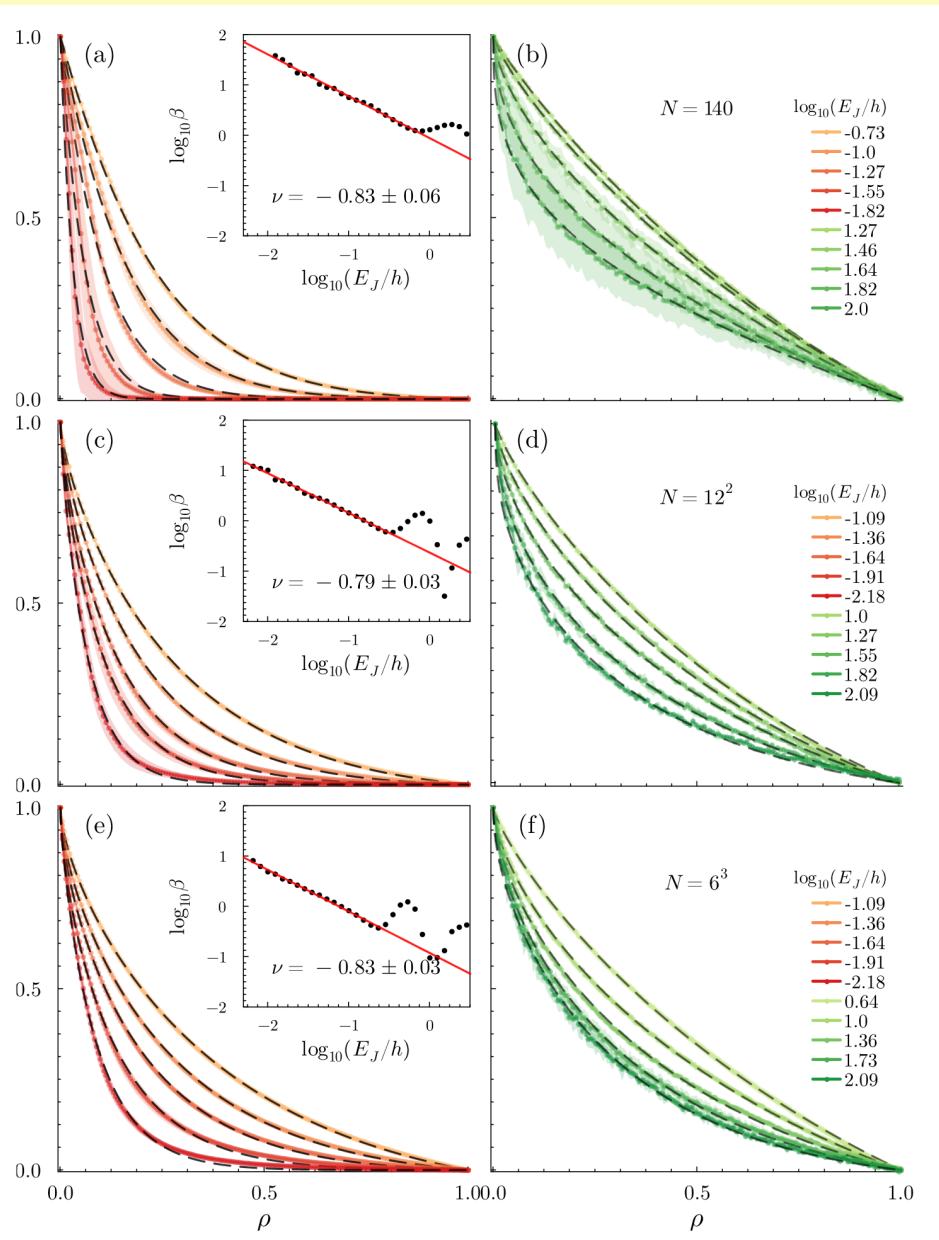
$$q_n = \Theta_n , \ p_n = J_n$$

$$\dot{J}_n = -E_J (\sin(\Theta_n - \Theta_{n-1}) + \sin(\Theta_n - \Theta_{n+1}))$$

# Rescaled Lyapunov spectrum for $d=1,2,3$



Gabriel Lando, SF  
arXiv:2306.14398



## Take Home Messages



Merab Malishava, SF  
PRL 128 134102 (2022)  
Chaos 32 063113 (2022)



Weihua Zhang  
Gabriel Lando  
Barbara Dietz  
SF  
arXiv:2306.14398  
work in progress

We observe two distinct universality classes

Classifier: nonintegrable perturbation network type

Long range - one LLE controls all thermalization time scales  
- renormalized Lyapunov spectrum: analytic function

Short range - one LLE and one (length) scale control the thermalization  
- renormalized Lyapunov spectrum: non-analytic function

Disorder and Anderson localization induce transition from LRN to SRN  
(work in progress)

Explains finite time average observations

Works in any lattice dimension

# Outlook

Are there more classes?

Quantitative theories, explanations, predictions?

Other interesting integrable limits: Toda, Ablowitz-Ladik, ideal gas,  
symplectic integrators for integrable systems

PHYSICAL REVIEW LETTERS 128, 134102 (2022)

Lyapunov Spectrum Scaling for Classical Many-Body Dynamics Close to Integrability

Merab Malishava<sup>\*</sup> and Sergej Flach<sup>†</sup>

arXiv > cond-mat > arXiv:2306.14398

Condensed Matter > Statistical Mechanics

[Submitted on 26 Jun 2023]

Thermalization Slowing-Down in Multidimensional Josephson Junction Networks

Gabriel M. Lando, Sergej Flach

arXiv > nlin > arXiv:2308.08921

Nonlinear Sciences > Chaotic Dynamics

[Submitted on 17 Aug 2023]

Thermalization Universality-Class Transition Induced by Anderson Localization

Weihua Zhang, Gabriel M. Lando, Barbara Dietz, Sergej Flach

Chaos ARTICLE scitation.org/journal/cha

Thermalization dynamics of macroscopic weakly nonintegrable maps 

Cite as: Chaos 32, 063113 (2022); doi: 10.1063/5.0092032  
Submitted: 20 March 2022 Accepted: 16 May 2022  
Published Online: 3 June 2022

arXiv > nlin > arXiv:2308.00443

Nonlinear Sciences > Chaotic Dynamics

[Submitted on 1 Aug 2023]

Dynamical chaos in the integrable Toda chain induced by time discretization

Carlo Danieli, Emil A. Yuzbashyan, Boris L. Altshuler, Aniket Patra, Sergej Flach