

Generalized multifractality at Anderson-Mott transitions

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- Anderson model and transitions
- symmetry classes
- generalized multifractality

[Anderson (1958); Abrahams et al. (1979)]

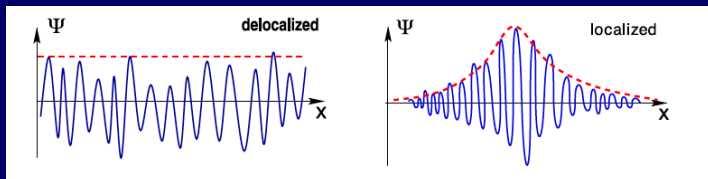
- Anderson model on lattice

$$H = - \sum_{\langle i,j \rangle} (a_i^\dagger a_j + a_j^\dagger a_i) + \sum_i \epsilon_i a_i^\dagger a_i, \quad \epsilon_i \in [-W/2, W/2]$$

- all states are localized in $d = 1$
- metallic to localization crossover in $d = 2$
- Anderson transition between delocalized and localized states in $d = 3$

$W < W_c$

$W > W_c$



courtesy of P. Nosov

[Anderson (1958); Abrahams et al. (1979)]

- a single electron in a random potential

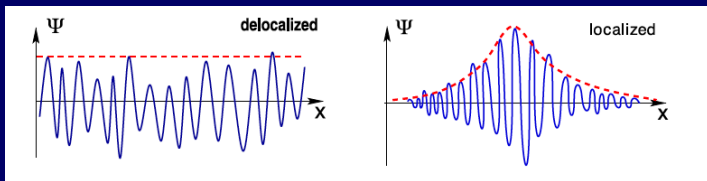
$$H = -\frac{\nabla^2}{2m} + V(\mathbf{r}), \quad H\psi = E\psi$$

- Anderson transition is a continuous quantum phase transition with divergent correlation length at the mobility edge,

$$\xi \sim |E - E_c|^{-\nu}$$

$$E < E_c$$

$$E > E_c$$



courtesy of P. Nosov

[Zirnbauer (1996); Altland, Zirnbauer (1997)]

- random single-particle Hamiltonians with/without spin rotational, time reversal and chiral symmetries can be classified in accordance with classification of symmetric spaces

Ham. class	RMT	T	S	compact symmetric space	non-compact symmetric space	σ -model B F	σ -model compact sector \mathcal{M}_F
Wigner-Dyson classes							
A	GUE	-	\pm	$U(N) \times U(N) / U(N) \equiv U(N)$	$GL(N, \mathbb{C}) / U(N)$	AIII AIII	$U(2n) / U(n) \times U(n)$
AI	GOE	+	+	$U(N) / O(N)$	$GL(N, \mathbb{R}) / O(N)$	BD CII	$Sp(4n) / Sp(2n) \times Sp(2n)$
AII	GSE	+	-	$U(2N) / Sp(2N)$	$U^*(2N) / Sp(2N)$	CII BDI	$O(2n) / O(n) \times O(n)$
chiral classes							
AIII	chGUE	-	\pm	$U(p+q) / U(p) \times U(q)$	$U(p, q) / U(p) \times U(q)$	A A	$U(n)$
BDI	chGOE	+	+	$SO(p+q) / SO(p) \times SO(q)$	$SO(p, q) / SO(p) \times SO(q)$	AI AII	$U(2n) / Sp(2n)$
CII	chGSE	+	-	$Sp(2p+2q) / Sp(2p) \times Sp(2q)$	$Sp(2p, 2q) / Sp(2p) \times Sp(2q)$	AII AI	$U(n) / O(n)$
Bogoliubov - de Gennes classes							
C		-	+	$Sp(2N) \times Sp(2N) / Sp(2N) \equiv Sp(2N)$	$Sp(2N, \mathbb{C}) / Sp(2N)$	DIII CI	$Sp(2n) / U(n)$
CI		+	+	$Sp(2N) / U(N)$	$Sp(2N, \mathbb{R}) / U(N)$	D C	$Sp(2n)$
BD		-	-	$SO(N) \times SO(N) / SO(N) \equiv SO(N)$	$SO(N, \mathbb{C}) / SO(N)$	CI DIII	$O(2n) / U(n)$
DIII		+	-	$SO(2N) / U(N)$	$SO^*(2N) / U(N)$	C D	$O(n)$

[adopted from Evers, Mirlin (2008)]

[Wegner (1980,1987); Kravtsov, Lerner (1985); Pruisken(1985); Castellani, Peliti (1986)]

- local density of states (LDoS) in the cube of size L

$$\rho(E, \mathbf{r}) = \sum_{\alpha} |\psi_{\alpha}(\mathbf{r})|^2 \delta(E - \epsilon_{\alpha})$$

where $\psi_{\alpha}(\mathbf{r})$ and ϵ_{α} w. f. and energy for a given disorder realization

- scaling of the moments of LDoS

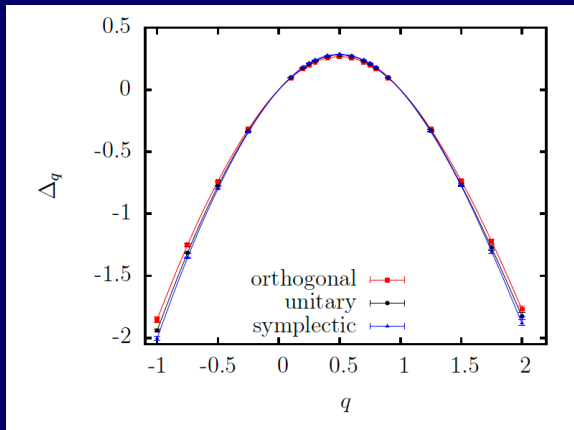
$$\left\langle [\rho(E, \mathbf{r})]^q \right\rangle_{\text{dis}} \sim L^{-x(q)}, \quad q = 0, 1, 2, \dots, \quad x(q) = qx_1 + \Delta_q$$

- normalization conditions: $\Delta_0 = \Delta_1 = 0$
- naive expectation for a metal: $x_1 = \Delta_q = 0$
- the exponent $\Delta_q \leq 0$ is nonlinear function of q

[for a review, see Evers&Mirlin (2008)]

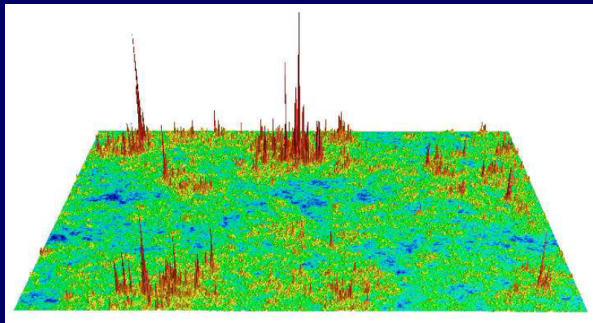
NB the statistics of energy levels ϵ_{α} (global density of states) across the Anderson transition is not discussed in this talk

- multifractal exponents at $d = 3$ Anderson transition from numerical calculations



[Ujfalusi, Varga (2015)]

- let α be a random number such that $|\psi_\alpha(\mathbf{r})|^2 \sim L^{-a}$ then its distribution (i.e. distribution of w.f.) is given as $\mathcal{P}(a) \sim L^{f(a)}$



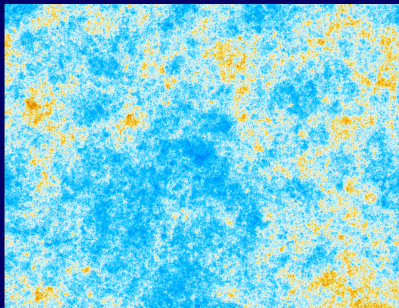
[courtesy by Evers, Mildenerger, Mirlin]

- the singularity spectrum function is related by Legendre transform with $\tau_q = d(q - 1) + \Delta_q$

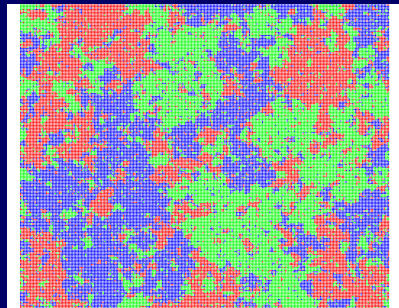
$$f(\alpha) = \alpha q - \tau_q, \quad \alpha = \frac{d\tau_q}{dq}, \quad q = \frac{df(\alpha)}{d\alpha}$$

- comparison of wave function at Anderson transition and spin in the 3-state critical Potts model

multifractal



fractal



[courtesy by M. Puschmann and M. Lehmkuhler]

- an infinite set of local pure scaling operators

$$O_\lambda \sim L^{-x_\lambda}$$

[Höf&Wegner (1986)]

where $\lambda = (\lambda_1, \dots, \lambda_k)$, $\lambda_1 \geq \dots \geq \lambda_k$ is the set of partitions of an integer $|\lambda| = \lambda_1 + \dots + \lambda_k$.

- different exponents x_λ are related by Weyl symmetry, $x_\lambda = x_{w\lambda}$
 [Gruzberg, Ludwig, Mirlin, Zirnbauer (2011), Gruzberg, Mirlin, Zirnbauer (2013), Karcher, Charles, Gruzberg, Mirlin (2021), Karcher, Gruzberg, Mirlin (2022)]
- simplest example of operators (class A)

$$L^{2d} \langle |\psi_\alpha(\mathbf{r})|^4 \rangle_{\text{dis}} \sim L^{-x_{(2)}}, \quad L^{2d} \left\langle \left| \psi_\alpha(\mathbf{r}_1) \psi_\beta(\mathbf{r}_2) - \psi_\beta(\mathbf{r}_1) \psi_\alpha(\mathbf{r}_2) \right|^2 \right\rangle_{\text{dis}} \sim L^{-x_{(1,1)}}$$

- examples of Weyl symmetry relations,

$$x_{(q)} = x_{(1-q)} \text{ and } x_{(q_1, q_2)} = x_{(1-q_1, q_2)} = x_{(q_1, 3-q_2)} \text{ for class A}$$

$$x_{(q)} = x_{(3-q)} \text{ and } x_{(q_1, q_2)} = x_{(3-q_1, q_2)} = x_{(q_1, 7-q_2)} \text{ for class C}$$

- Anderson transitions in $d = 2$ occur at strong coupling
- conformal field theory is natural suggestion for a critical theory at Anderson transition
- assumption of local conformal invariance and abelian fusion leads to “parabolic” generalized multicritical exponents:

$$x_{\lambda}^{(CFT)} = -b\lambda(\lambda + \rho_b)$$

where a vector ρ_b is specific for a particular symmetry class whereas b is specific for a particular CFT.

[Bondesan, Wieczorek, Zirnbauer (2017); Karcher, Charles, Gruzberg, Mirlin (2021)]

- example: 2D Anderson transition in class A (integer quantum Hall effect)

$$x_{(q)}^{(CFT)} = -b_A q(q - 1), \quad x_{(q_1, q_2)}^{(CFT)} = -b_A [q_1(q_1 - 1) + q_3(q_3 - 3)]$$

- example: 2D Anderson transition in class A (integer quantum Hall effect)

$$x_{(q)}^{(CFT)} = b_C q(3 - q), \quad x_{(q_1, q_2)}^{(CFT)} = b_C [q_1(3 - q_1) + q_2(7 - q_2)]$$

Generalized multifractality: why do we bother

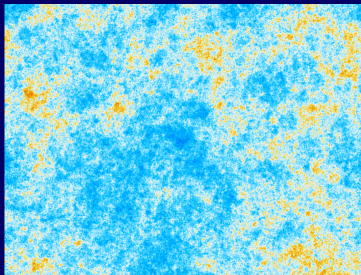
- generalized parabolicity for 2D Anderson transitions in classes A (integer qHe) and C (spin qHe) is not consistent neither with numerical results nor with analytics (for class C)

[Karcher, Charles, Gruzberg, Mirlin (2021); Puschmann, Hernangómez-Pérez, Lang, Bera, Evers (2021)]

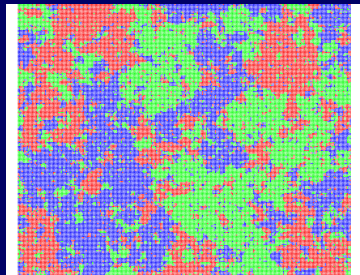
- in particular, it holds for Wess-Zumino- Novikov-Witten models suggested for the iqHe criticality

[Zirnbauer (1999); Kettemann, Tselik (1999); Zirnbauer (2019)]

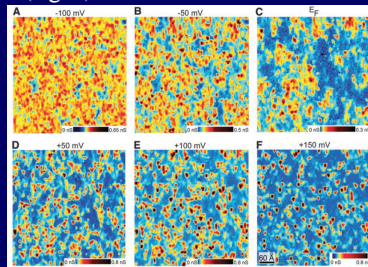
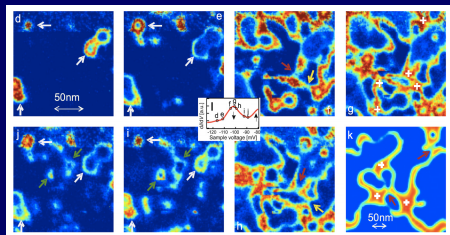
scale invariance



conformal invariance



- differential conductance map in InSb at $B = 12$ T (left) and at surface of $\text{Ga}_{1-x}\text{Mn}_x\text{As}$ with $x = 1.5$ %



[Morgenstern et al. (2012); Richardella et al. (2010)]

What's about electron-electron interaction?

How does electron-electron interaction affect
generalized multifractality in class C?

- symmetries
 - time reversal symmetry - No
 - spin rotation symmetry - Yes
 - particle-hole (BdG) symmetry - Yes
- current of z -component of the spin along x direction in the presence Zeeman field along z direction

$$j_x^{(z)} = -\sigma_{xy}^{(s)} \frac{dB_z(y)}{dy}, \quad \sigma_{xy}^{(s)} = \frac{n}{4\pi}$$

- possible physical realization:
 $d_{x^2-y^2} + id_{xy}$ disordered superconductors

[Volovik JETP Lett. (1997), Kagalovsky et al., PRL (1999), Senthil, Marston, Fisher, PRB (1999)]

- pure scaling operators are straightforward generalization of pure scaling operators of noninteracting case
- pure scaling operators can be formulated in terms of Green's function correlations rather than wave functions
- symmetry relations for Δ_λ holds within second order in $\epsilon = d - 2$ expansion (two-loop approximation)

[Burmistrov, Gornyi, Mirlin (2013&2015), Repin, Burmistrov (2016)]

- Finkel'stein NL σ M for class C

$$S = \frac{g}{16} \int_{\mathbf{x}} \text{Tr}(\nabla Q)^2 - Z_\omega \int_{\mathbf{x}} \text{Tr} \hat{\varepsilon} Q + \frac{\pi T \Gamma_t}{4} \sum_{\alpha, n} \int_{\mathbf{x}} \text{Tr}(I_n^\alpha \vec{s} Q) \text{Tr}(I_{-n}^\alpha \vec{s} Q)$$

[see Bruno, Toschi, Dell'Anna, Castellani (2005); Dell'Anna (2006)]

- matrix notations (n, m - Matsubara, α, β - replica)

$$(I_k^\gamma)^{\alpha\beta} = \delta_{n-m, k} \delta^{\alpha\beta} \delta^{\alpha\gamma} s_0, \quad \hat{\varepsilon}_{nm}^{\alpha\beta} = \varepsilon_n \delta_{nm} \delta^{\alpha\beta} s_0, \quad \Lambda_{nm}^{\alpha\beta} = \text{sgn} \varepsilon_n \delta_{nm} \delta^{\alpha\beta} s_0$$

- symmetries and constraints

$$Q^\dagger = Q, \quad \boxed{Q = -\bar{Q}}, \quad Q^2(\mathbf{x}) = 1, \\ \bar{Q} = s_y L_0 Q^T L_0 s_y, \quad (L_0)_{nm}^{\alpha\beta} = \delta_{\varepsilon_n, -\varepsilon_m} \delta^{\alpha\beta}$$

- target manifold

$$Q \in G/K = \text{Sp}(2N)/\text{U}(N), \quad N = 2N_r N_m \\ \alpha = 1, \dots, N_r, \quad \varepsilon_n = \pi T(2n+1), \quad n = -N_m, \dots, N_m - 1$$

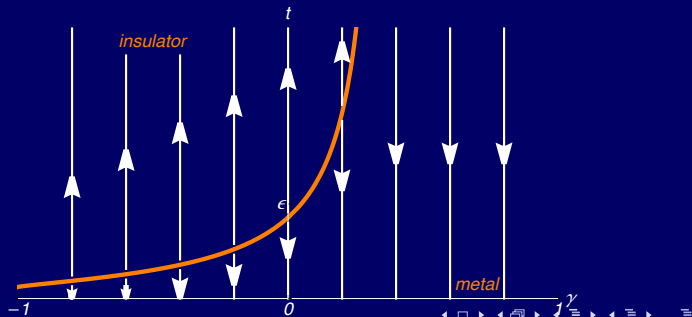
- RG equations in $d = 2 + \epsilon$ to the lowest order in $t = 1/(\pi g)$

$$\frac{dt}{d \ln L} = -\epsilon t + [1 + 6f(\gamma)]t^2 + O(t^3), \quad \frac{d\gamma}{d \ln L} = 0 + O(t^2)$$

$$\frac{d \ln Z_\omega}{d \ln L} = -(1 - 3\gamma)t + O(t^2)$$

where $\gamma = \Gamma_t/Z_\omega$ and $f(\gamma) = 1 - (1 + 1/\gamma) \ln(1 + \gamma)$.

[Jeng, Ludwig, Senthil, Chamon (2001); Dell'Anna (2006); Liao, Levchenko, Foster (2017)]



- dimensionless interaction parameter $\gamma = \Gamma_t/Z_\omega$. It is not renormalized to the first order in γ at noninteracting fixed point

$$\frac{d\gamma}{d \ln L} = \underbrace{(x_{(1)} - x_{(2)})}_{=0} \gamma + O(\gamma^2)$$

- local derivativeless operator with q matrices Q involved

$$\mathcal{K}_q(E_1, \dots, E_q) = \frac{1}{4^q} \sum_{p_1, \dots, p_q = \pm} \left(\prod_{j=1}^q p_j \right) \mathcal{P}_q^{\alpha_1, \dots, \alpha_q; p_1, \dots, p_q}(E_1, \dots, E_q),$$

where $\alpha_j \neq \alpha_k$ if $j \neq k$ for $j, k = 1, \dots, q$ and $\mathcal{P}_q^{\alpha_1, \dots, \alpha_q; p_1, \dots, p_q}$ is obtained by analytic continuation $\varepsilon_{n_j} \rightarrow E_j + ip_j 0^+$ from

$$P_q^{\alpha_1, \dots, \alpha_q}(i\varepsilon_{n_1}, \dots, i\varepsilon_{n_q}) = \sum_{\{k_1, \dots, k_s\}} \mu_{k_1, \dots, k_s} R_{k_1, \dots, k_s},$$

$$R_{k_1, \dots, k_s} = \prod_{r=k_1}^{k_s} \text{tr} Q_{n_{j_1} n_{j_2}}^{\alpha_{j_1} \alpha_{j_2}} Q_{n_{j_2} n_{j_3}}^{\alpha_{j_2} \alpha_{j_3}} \dots Q_{n_{j_r} n_{j_1}}^{\alpha_{j_r} \alpha_{j_1}},$$

where $\{k_1, \dots, k_s\}$ are partitions of q : $k_1 + k_2 + \dots + k_s = q$ and $k_1 \geq k_2 \geq \dots \geq k_s > 0$

- Example:

$$P_2^{\alpha_1 \alpha_2}(i\varepsilon_n, i\varepsilon_m) = \text{tr} Q_{nn}^{\alpha_1 \alpha_1}(\mathbf{r}) \text{tr} Q_{mm}^{\alpha_2 \alpha_2}(\mathbf{r}) + \mu_2 \text{tr} [Q_{nm}^{\alpha_1 \alpha_2}(\mathbf{r}) Q_{mn}^{\alpha_2 \alpha_1}(\mathbf{r})]$$

more examples:

$$P_3 = \text{tr} Q_{kk}^{\alpha\alpha} \text{tr} Q_{nn}^{\beta\beta} \text{tr} Q_{mm}^{\mu\mu} + \mu_{2,1} \text{tr} Q_{kk}^{\alpha\alpha} \text{tr} Q_{nm}^{\beta\mu} Q_{mn}^{\mu\beta} \\ + \mu_3 \text{tr} Q_{kn}^{\alpha\beta} Q_{nm}^{\beta\mu} Q_{mk}^{\mu\alpha}$$

$$P_4 = \text{tr} Q_{kk}^{\alpha\alpha} \text{tr} Q_{nn}^{\beta\beta} \text{tr} Q_{mm}^{\mu\mu} \text{tr} Q_{ll}^{\nu\nu} + \mu_{2,1,1} \text{tr} Q_{kn}^{\alpha\beta} Q_{nk}^{\beta\alpha} \text{tr} Q_{mm}^{\mu\mu} \text{tr} Q_{ll}^{\nu\nu} \\ + \mu_{3,1} \text{tr} Q_{kn}^{\alpha\beta} Q_{nm}^{\beta\mu} Q_{mk}^{\mu\alpha} \text{tr} Q_{ll}^{\nu\nu} + \mu_{2,2} \text{tr} Q_{kn}^{\alpha\beta} Q_{nk}^{\beta\alpha} \text{tr} Q_{ml}^{\mu\nu} Q_{lm}^{\nu\mu} \\ + \mu_4 \text{tr} Q_{kn}^{\alpha\beta} Q_{nm}^{\beta\mu} Q_{ml}^{\mu\nu} Q_{lk}^{\nu\alpha}$$

NB in the noninteracting case one can average over global $U(N)$ rotations, $Q \rightarrow R^{-1}QR$ with $[R, \Lambda] = 0$

- renormalization of the pure scaling operator with q matrices Q :

$$\langle \mathcal{K}_q \rangle = Z^q M_q \mathcal{K}_q[\Lambda]$$

- LDoS renormalization factor within one-loop approximation ($\langle \langle Q \rangle \rangle = Z\Lambda$):

$$\eta_{(1)} = -\frac{d \ln Z}{d \ln L} = [1 - 3 \ln(1 + \gamma)]t + O(t^2)$$

- anomalous dimensions within two-loop approximation

$$\eta^{(\mu_{2,1,\dots,1})} = -\frac{d \ln M_q}{d \ln L} = \mu_{2,1,\dots,1} t [1 + 3c(\gamma)t] + O(t^3),$$
$$c(\gamma) = 2 + \frac{1 + \gamma}{2\gamma} \ln^2(1 + \gamma) + \frac{2 + \gamma}{\gamma} \text{li}_2(-\gamma)$$

- coefficient $\mu_{2,1,\dots,1}$ is the same as in noninteracting case

$$\mu_{2,1,\dots,1} = \frac{1}{2} \sum_{j=1}^s k_j (-c_j - 1 - k_j), \quad c_j = 1 - 4j.$$

Results: examples of pure scaling operators for class C

$q = 2$		$q = 3$			$q = 4$				
λ	μ_2	λ	$\mu_{2,1}$	μ_3	λ	$\mu_{2,1,1}$	$\mu_{3,1}$	$\mu_{2,2}$	μ_4
(2)	-1	(3)	-3	2	(4)	-6	8	3	-6
(1,1)	2	(2,1)	1	-2	(3,1)	-1	-2	-2	4
		(1,1,1)	6	8	(2,2)	2	-8	7	-2
					(2,1,1)	5	4	-2	-8
					(1,1,1,1)	12	32	12	48

[cf. Karcher, Charles, Gruzberg, Mirlin (2021)]

- renormalization of the pure scaling operator with q matrices Q :

$$\langle \mathcal{K}_\lambda \rangle \sim L^{-x_\lambda}, \quad x_\lambda = qx_1 + \Delta_\lambda \quad x_1 = \eta_{(1)}^*, \quad \Delta_\lambda = \eta_\lambda^*.$$

- criticality in $d = 2 + \epsilon$ at $t_* \simeq \epsilon / (1 + 6f(\gamma))$ and arbitrary γ :

$$x_\lambda \simeq \frac{\epsilon}{2(1 + 6f(\gamma))} \sum_{j=1}^s k_j (-c_j - 3 \ln(1 + \gamma) - k_j)$$

- symmetry relations between exponents x_λ breaks down at $\gamma \neq 0$.
Examples:

$$x_{(1)} \simeq \epsilon \frac{2 - 3 \ln(1 + \gamma)}{2(1 + 6f(\gamma))} \neq x_{(2)} \simeq \epsilon \frac{1 - 3 \ln(1 + \gamma)}{(1 + 6f(\gamma))}$$

$$x_{(3)} \simeq -\epsilon \frac{9 \ln(1 + \gamma)}{2(1 + 6f(\gamma))} \neq 0.$$

Conclusions:

- we developed the theory of generalized multifractality in class C in the presence of interaction
- we constructed the pure scaling derivativeless operators in the Finkel'stein NL σ M in class C
- we computed the anomalous dimensions of the pure scaling operators within two-loop approximation
- interaction breaks the exact symmetry relations between generalized multifractal exponents x_λ known in the absence of interaction

more details in Phys. Rev. B 106, 125424 (2023)

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- mapping to classical 2D percolation for some subset of pure scaling operators in class C

$$x_{(1)} = x_{(2)} = 1/4$$

$$x_{(0)} = x_{(3)} = 0$$

$$x_{(1,1)} = x_{(2,1)} = 5/4$$

$$x_{(1,1,1)} = x_{(2,1,1)} = 35/12$$

$$x_{(1,1,1,1)} = x_{(2,1,1,1)} = 21/4$$

$$x_{(1,1,1,1,1)} = x_{(2,1,1,1,1)} = 33/4$$

[Gruzberg, Ludwig, Read (1999); Beamond, Cardy, Chalker (2002); Mirlin, Evers, Mildenerger (2003); Subramaniam, Gruzberg, Ludwig (2008); Karcher, Gruzberg, Mirlin (2022)]

- gen. parabolicity does not work:

$$x_{(2)} = 1/4 \rightarrow b_C = 1/8 \text{ but } x_{(1,1)} = 5/4 \rightarrow b_C = 5/32!$$

NB it suggests absence of local conformal invariance at criticality

- determinant pure scaling operators $\lambda = (1, \dots, 1) \equiv (1^m)$

$$\mathcal{P}_{(1^m)}[\psi] = \det \begin{pmatrix} \psi_{1,\uparrow}(r_1) & \dots & \psi_{m,\uparrow}(r_1) & \psi_{\bar{1},\uparrow}(r_1) & \dots & \psi_{\bar{m},\uparrow}(r_1) \\ \psi_{1,\uparrow}(r_m) & \dots & \psi_{m,\uparrow}(r_m) & \psi_{\bar{1},\uparrow}(r_m) & \dots & \psi_{\bar{m},\uparrow}(r_m) \\ \psi_{1,\downarrow}(r_1) & \dots & \psi_{m,\downarrow}(r_1) & \psi_{\bar{1},\downarrow}(r_1) & \dots & \psi_{\bar{m},\downarrow}(r_1) \\ \psi_{1,\downarrow}(r_m) & \dots & \psi_{m,\downarrow}(r_m) & \psi_{\bar{1},\downarrow}(r_m) & \dots & \psi_{\bar{m},\downarrow}(r_m) \end{pmatrix}$$

- arbitrary pure scaling operators $\lambda = (k_1, \dots, k_s)$

$$\mathcal{P}_\lambda[\psi] = (\mathcal{P}_{(1^1)}[\psi])^{k_1 - k_2} (\mathcal{P}_{(1^2)}[\psi])^{k_2 - k_3} \dots (\mathcal{P}_{(1^{s-1})}[\psi])^{k_{s-1} - k_s} (\mathcal{P}_{(1^s)}[\psi])^{k_s}$$

[Karcher, Charles, Gruzberg, Mirlin (2021), Karcher, Gruzberg, Mirlin (2022)]